

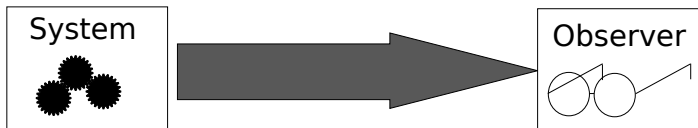
Downward closures and complexity

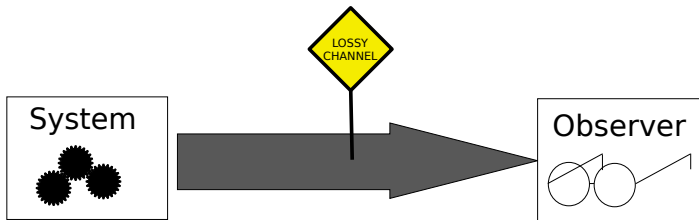
Georg Zetsche¹

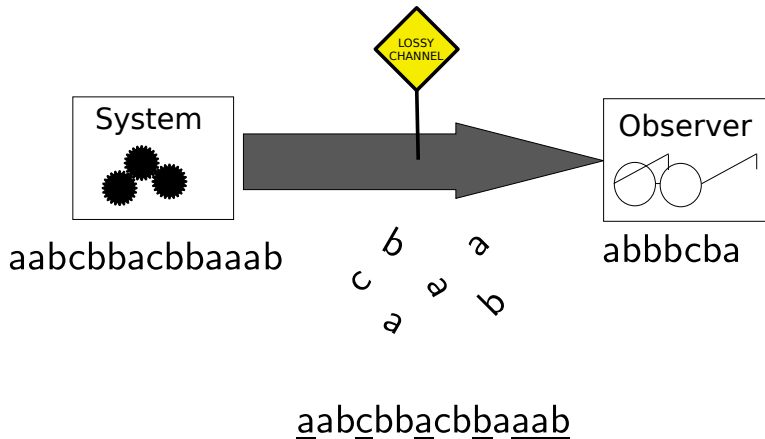
LSV, CNRS & ENS Cachan
Université Paris-Saclay

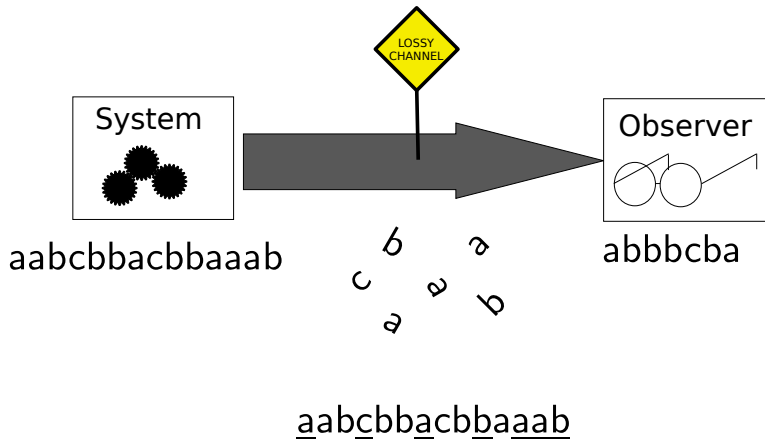
Higher-Order Model Checking
Shonan Meeting, March 14-17, 2016

¹Supported by a fellowship within the Postdoc-Program of the German Academic Exchange Service (DAAD).









Downward Closures

- $u \leq v$: u is a subsequence of v
- $L \downarrow = \{u \in X^* \mid \exists v \in L: u \leq v\}$
- Observer sees precisely $L \downarrow$

Downward Closures

Theorem (Higman/Haines)

For every language $L \subseteq X^$, $L \downarrow$ is regular.*

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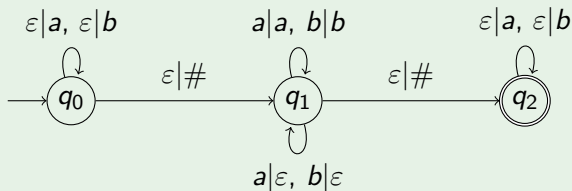
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Problem

- Finite automaton for $L\downarrow$ exists for every L .
- How can we compute it?

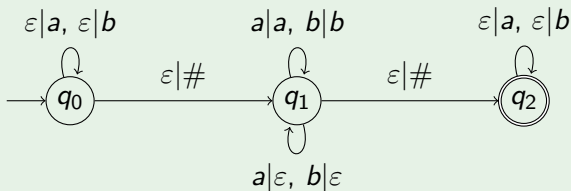
A general approach

Example (Transducer)



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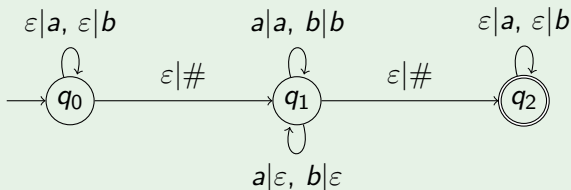
Example (Transducer)



$$T(A) = \{(x, u\#v\#w) \mid u, v, w, x \in \{a, b\}^*, v \leq x\}$$

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Definition

- *Rational transduction*: set of pairs given by a finite state transducer.
- For rational transduction $T \subseteq X^* \times Y^*$ and language $L \subseteq Y^*$, let

$$TL = \{y \in Y^* \mid \exists x \in L : (x, y) \in T\}$$

Definition

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Theorem (Z., ICALP 2015)

If \mathcal{C} is a full trio, then downward closures are computable for \mathcal{C} if and only if the *simultaneous unboundedness problem* is decidable:

Given A language $L \subseteq a_1^* \cdots a_n^*$ in \mathcal{C}

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Equivalently, we check whether it is true that:

for each $k \geq 0$, there are $x_1, \dots, x_n \geq k$ with $a_1^{x_1} \cdots a_n^{x_n} \in L$

Theorem (Jullien 1969, Abdulla et. al. 2004)

Every language $L \downarrow$ can be written as a finite union of *ideals*:

$$Y_0^* \{x_1, \varepsilon\} Y_1^* \cdots \{x_n, \varepsilon\} Y_n^*,$$

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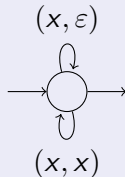
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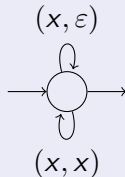
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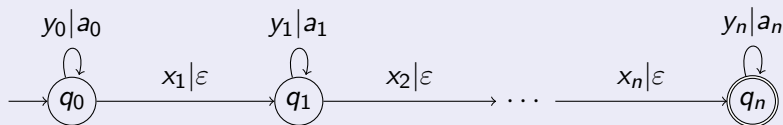
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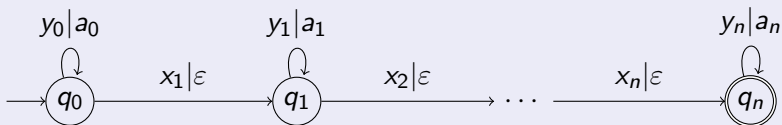
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y_i : word containing each letter of Y_i once. Then:

$$T(L \downarrow) \downarrow = a_0^* \cdots a_n^* \quad \text{iff} \quad Y_0^* \{x_1, \varepsilon\} Y_1^* \cdots \{x_n, \varepsilon\} Y_n^* \subseteq L \downarrow$$

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- Igor's talk: higher-order recursion schemes

Higher-Order Pushdown Automata

Let Γ be a stack alphabet.

S_n^Γ is the set of order- n stacks:

$$S_0^\Gamma = \Gamma \qquad S_{k+1}^\Gamma = \{[s_1 \cdots s_m]_{k+1} \mid s_1, \dots, s_m \in S_k^\Gamma\}.$$

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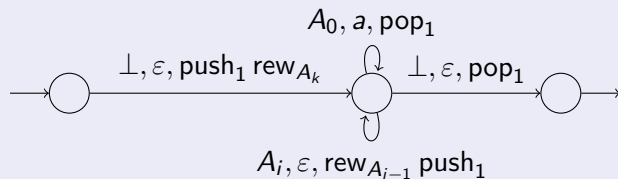
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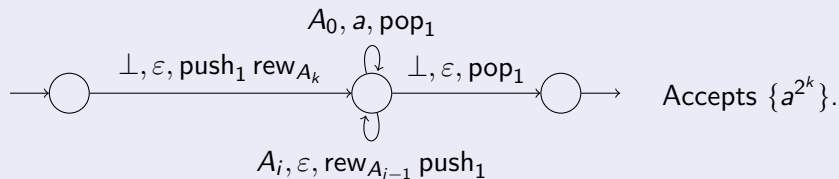
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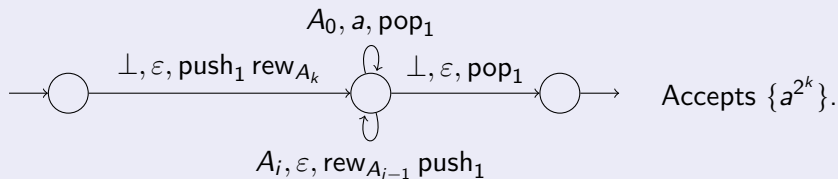
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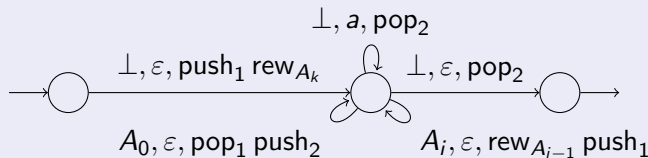
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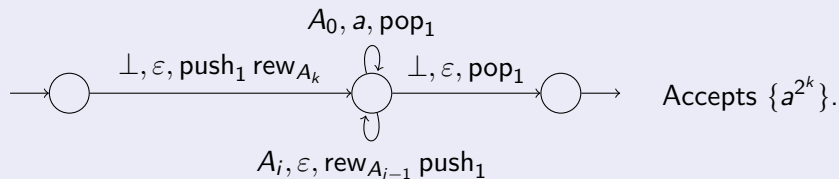
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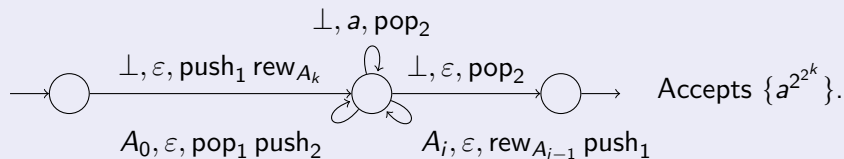
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Descriptive complexity

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For models \mathcal{M} and \mathcal{N} the *downward closure inclusion problem* $\mathcal{M} \subseteq_{\downarrow} \mathcal{N}$ is the following:

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For $I = Y_0^* \{x_1, \varepsilon\} Y_1^* \cdots \{x_n, \varepsilon\} Y_n^*$, the *length* $|I|$ of I is the smallest n such that it can be written in this form.

Witnesses

Suppose we have an NFA for the downward closure. “Short witness”:

Proposition (Bachmeier, Luttenberger, Schlund 2015)

If \mathcal{A} is an NFA and $K\downarrow \not\subseteq L(\mathcal{A})\downarrow$, then there exists a $w \in K\downarrow \setminus L(\mathcal{A})\downarrow$ with $|w| \leq |\mathcal{A}| + 1$.

- Suppose \mathcal{A} has parallel ε -edges
- For an input word $w = x_1 \cdots x_n$, consider the sets Q_i of words reachable by $x_1 \cdots x_i$. Then $Q_0 \supseteq Q_1 \supseteq \cdots$
- Hence, if $n > |\mathcal{A}| + 1$, then we can remove some symbol from w

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For $I = Y_0^* \{x_1, \varepsilon\} Y_1^* \cdots \{x_n, \varepsilon\} Y_n^*$, the *length* $|I|$ of I is the smallest n such that it can be written in this form. Measure for languages:

$$|L| = \min \left\{ \max_j |I_j| \quad : \quad L\downarrow = I_1 \cup \cdots \cup I_k \text{ for ideals } I_1, \dots, I_k \right\}$$

Pumping

Putting a bound on $|L|$ amounts to proving a pumping lemma:

$|L| \leq m$ if and only if for every $w \in L$, there is an ideal I such that $|I| \leq m$ and $w \in I \subseteq L \downarrow$.

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Let $I = Y_0^* \{x_1, \varepsilon\} Y_1^* \cdots \{x_n, \varepsilon\} Y_n^*$. Then the following are equivalent:

- 1 $I \subseteq L \downarrow$.
- 2 $w_{Y_0}^m x_1 w_{Y_1}^m \cdots x_n w_{Y_n}^m \in L \downarrow$ for every $m \geq |L| + 1$.
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Strategy for $K \downarrow \subseteq L \downarrow$

- Suppose $|K|$ is polynomial and $|L|$ exponential.
- Guess an ideal I of length $\leq |K|$ and verify $I \subseteq K$, $I \not\subseteq L$.
- Represent witness above succinctly.

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- Each DFA has to repeat a state, hence cycle on the last letter of w

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Theorem

Under mild conditions on the models \mathcal{M} and \mathcal{N} : Suppose for each n we have a description of $\{a^{t(n)}\}$ in \mathcal{M} and \mathcal{N} of polynomial size. Then $\mathcal{M} \subseteq_{\downarrow} \mathcal{N}$ is hard for $\text{coNTIME}(t)$.

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Corollary

The problem $\text{HOPA}_n \subseteq_{\downarrow} \text{HOPA}_n$ is co- n -NEXP-hard.

	Ideal	NFA	OCA	RBC _{k,r}	CFG	RBC
Ideal	$\in L$	NL	NL	NL	P	NP
NFA	NL	coNP	coNP	coNP	coNP	Π_2^P
OCA	NL	coNP	coNP	coNP	coNP	Π_2^P
RBC _{k,r}	NL	coNP	coNP	coNP	coNP	Π_2^P
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