

# Characterizing $\text{NC}^k$

from words to trees and back to words

Guillaume Bonfante, Reinhard Kahle, Jean-Yves Marion  
and Isabel Oitavem

Implicit Computational Complexity and applications:  
Resource control, security, real-number computation



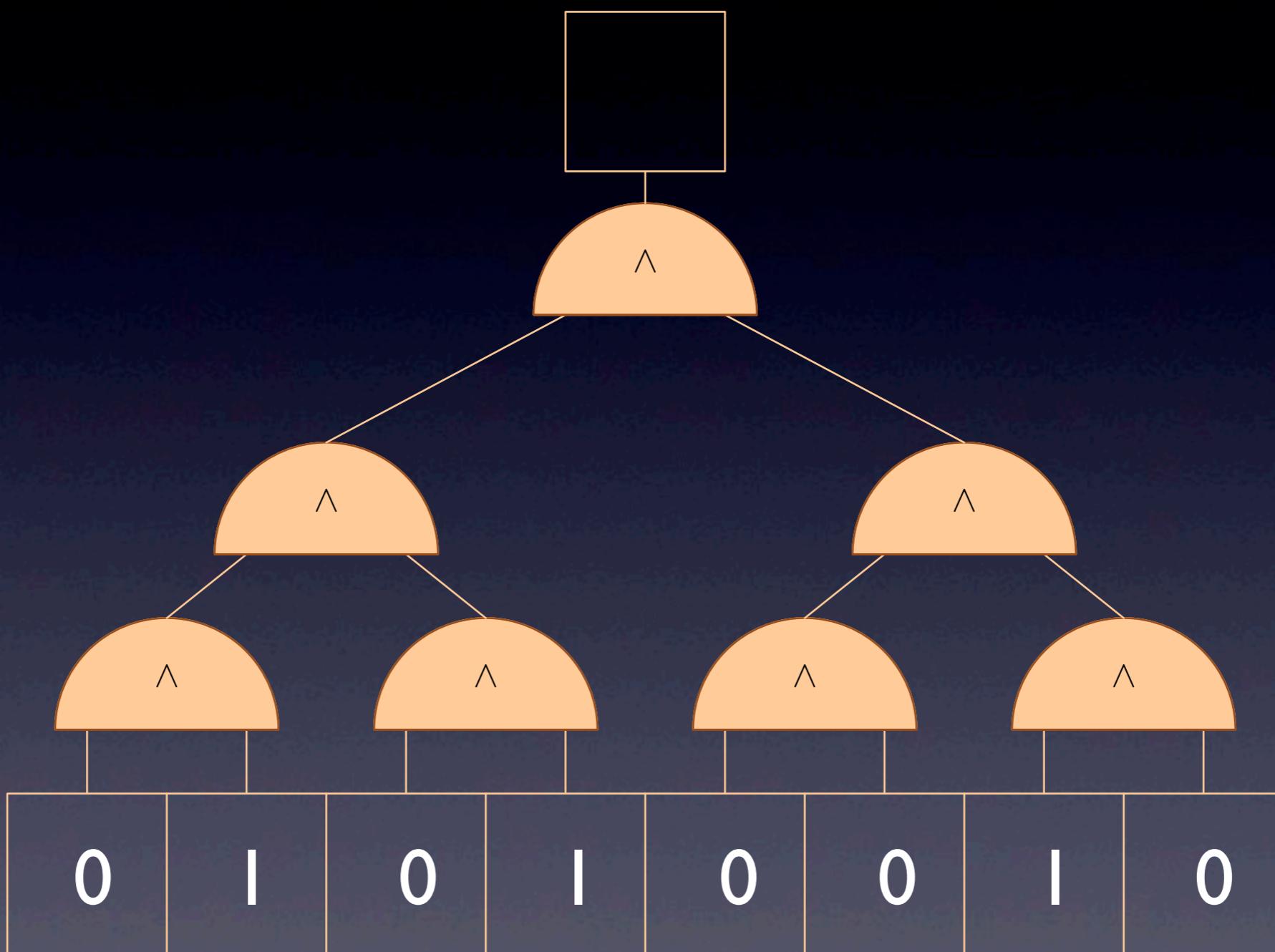
Shonan Village - 2013



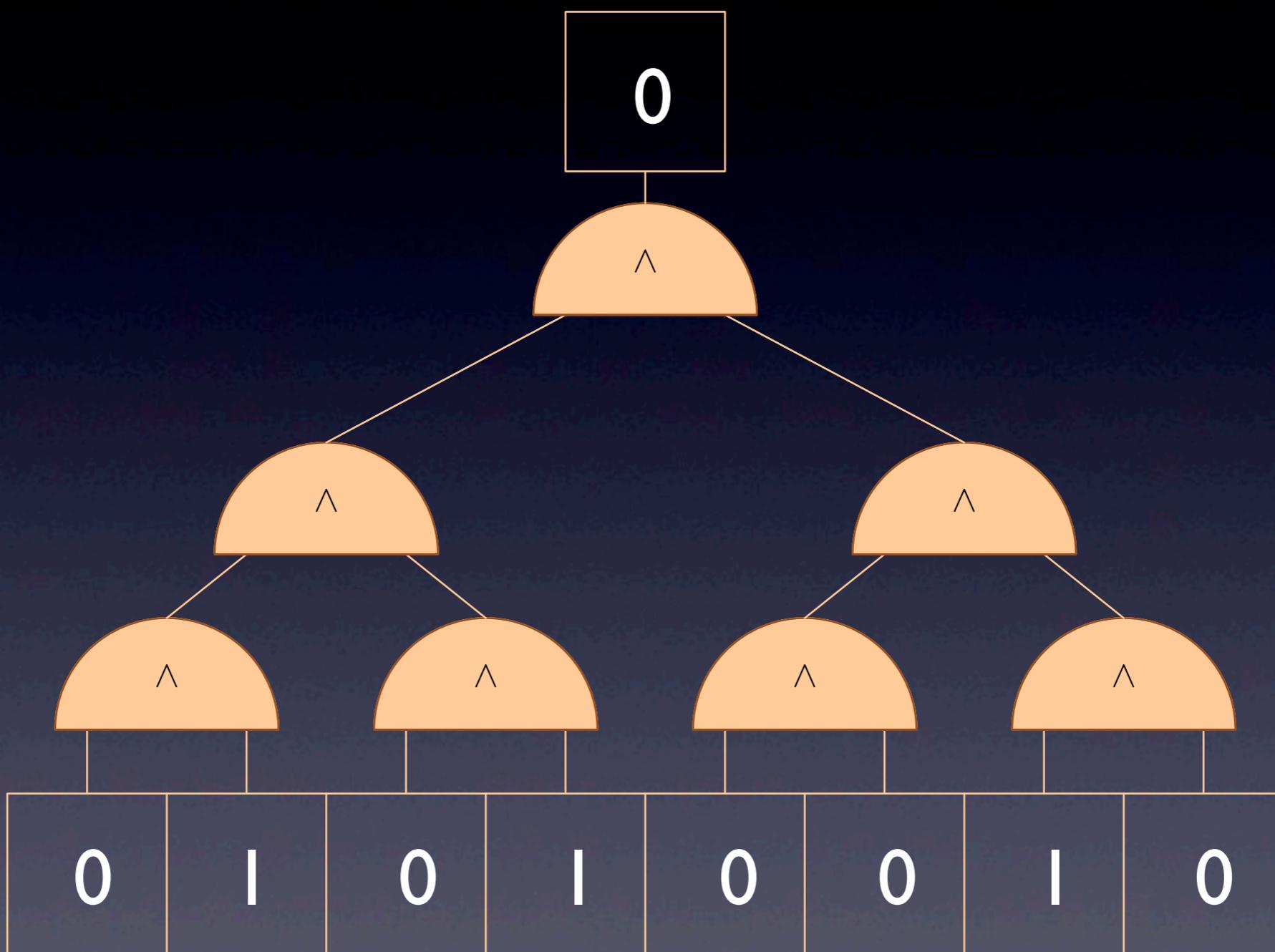
# Is there a 0?

0 | 0 | 0 | 0

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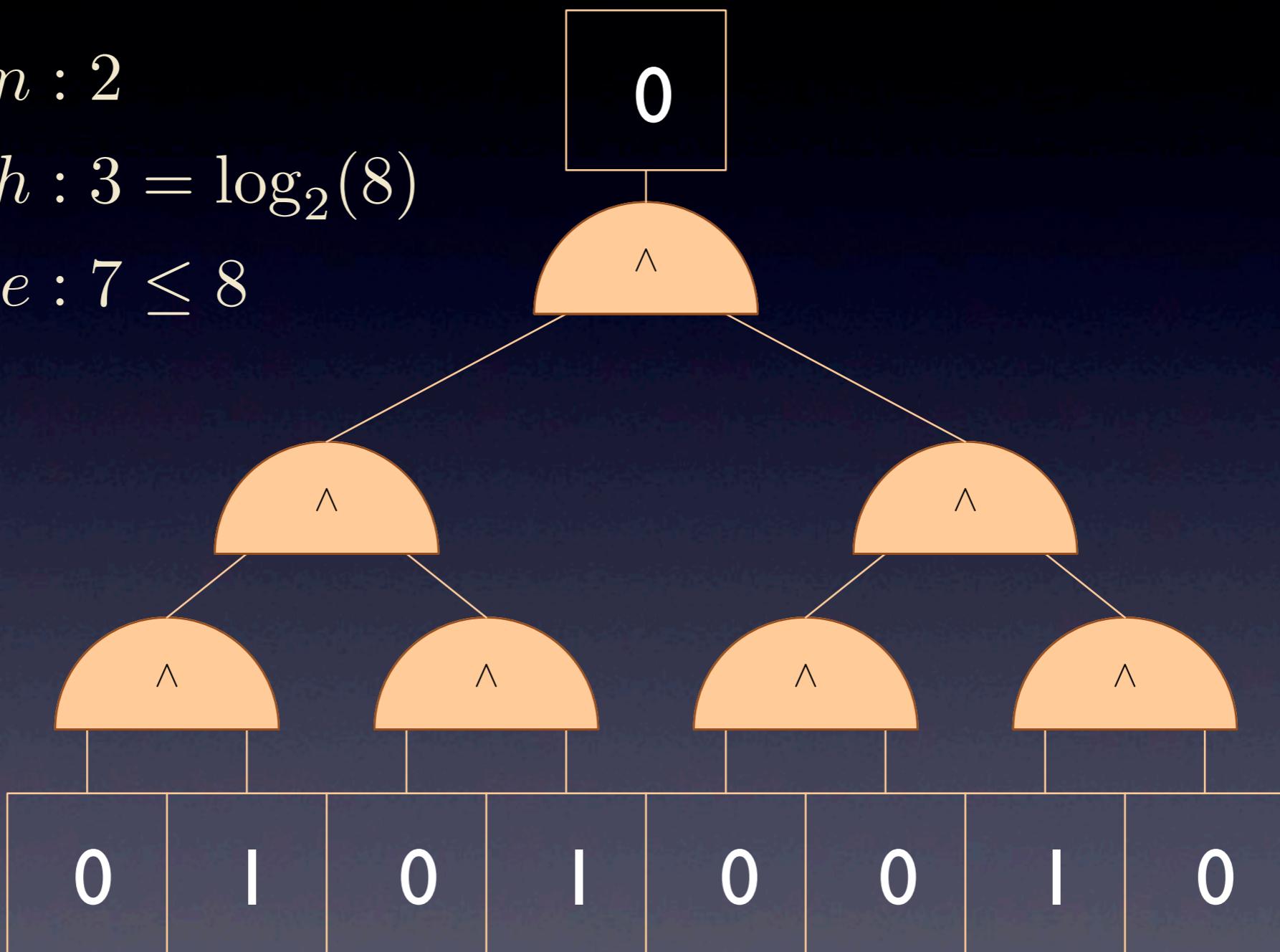


# Is there a 0?

*fan-in* : 2

*depth* :  $3 = \log_2(8)$

*size* :  $7 \leq 8$



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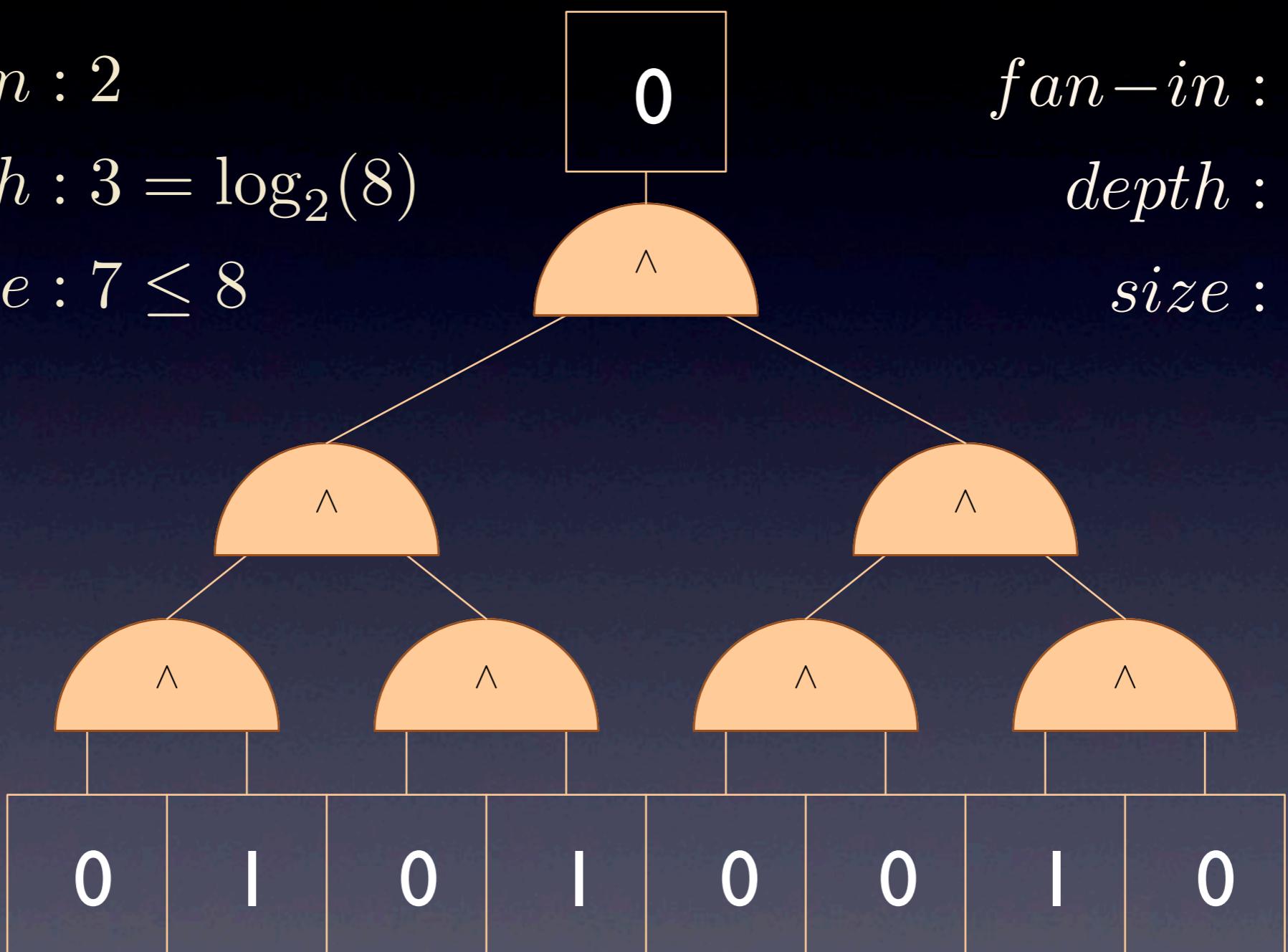
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*depth* :  $\log_2(n)$

*size* :  $n - 1$

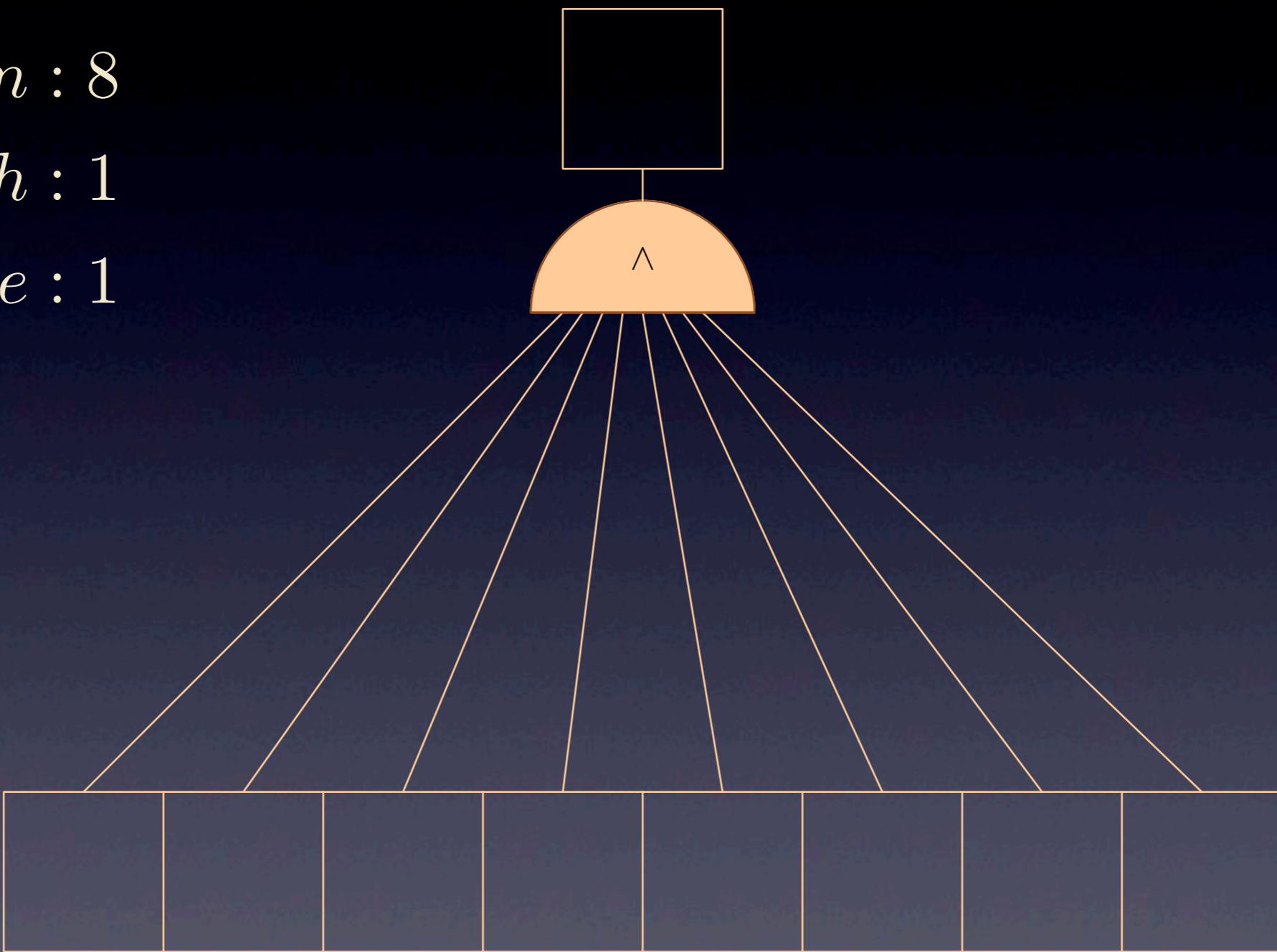


# Is there a 0?

*fan-in* : 8

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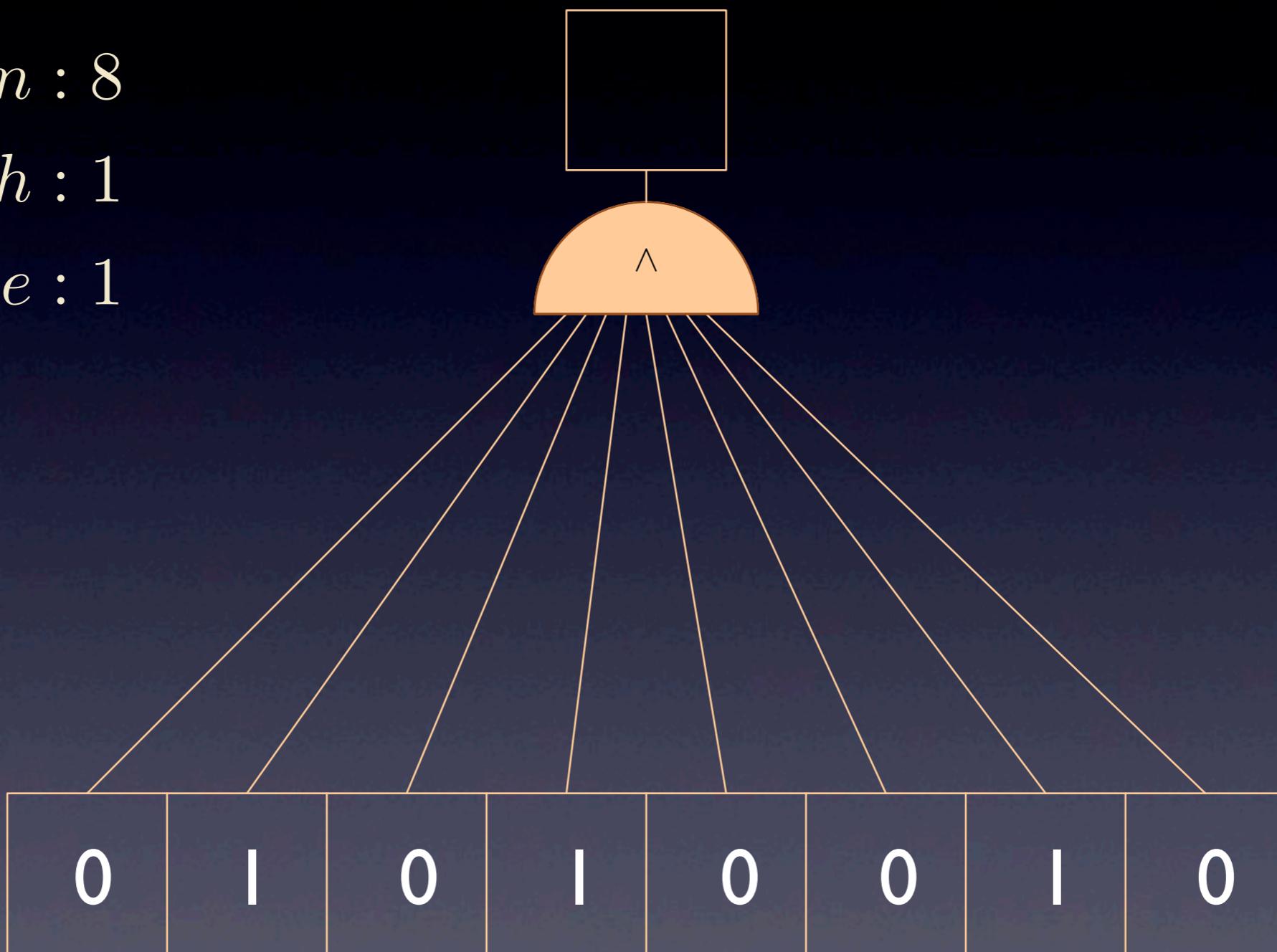


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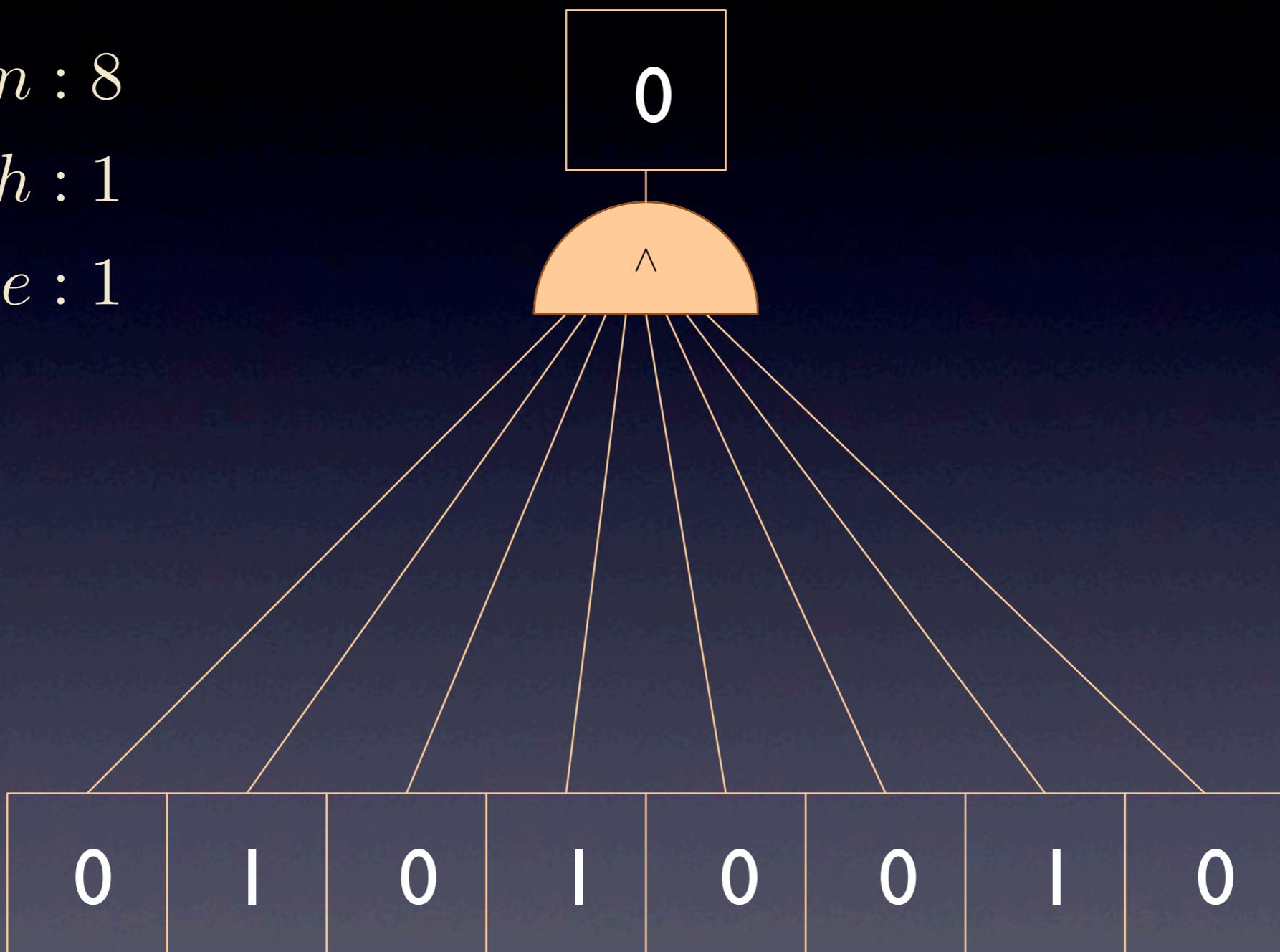


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# Circuits $\text{NC}^k$

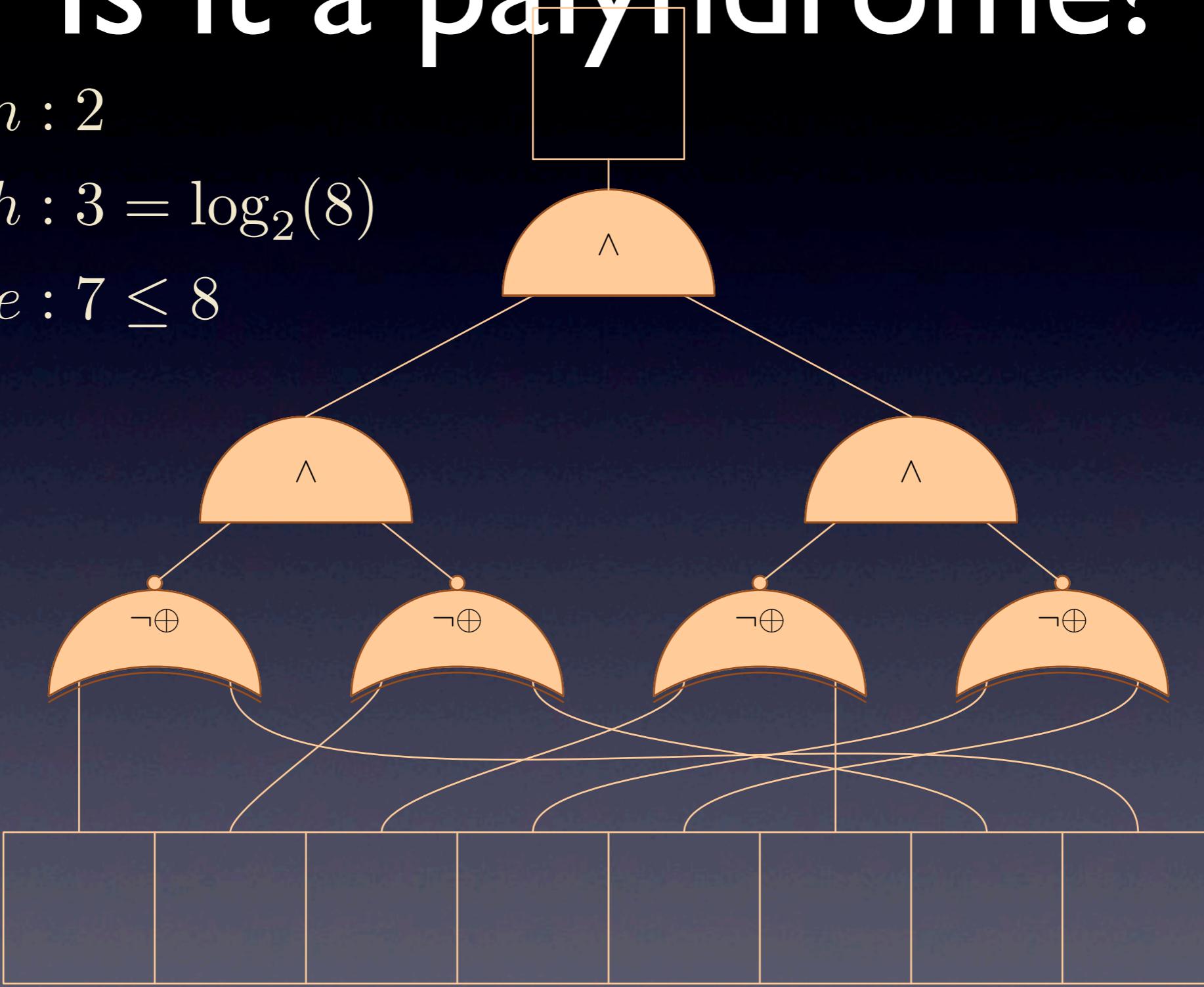
- For  $k \geq 1$ ,  $\text{NC}^k$  is the class of uniform boolean circuits such that:
  - constant fan-in,
  - polynomial size (w.r.t. the size of inputs)
  - depth is bounded by  $O(\log^k(n))$
  - LOGSPACE-Uniform

# Is it a palyndrome?

*fan-in* : 2

*depth* :  $3 = \log_2(8)$

*size* :  $7 \leq 8$

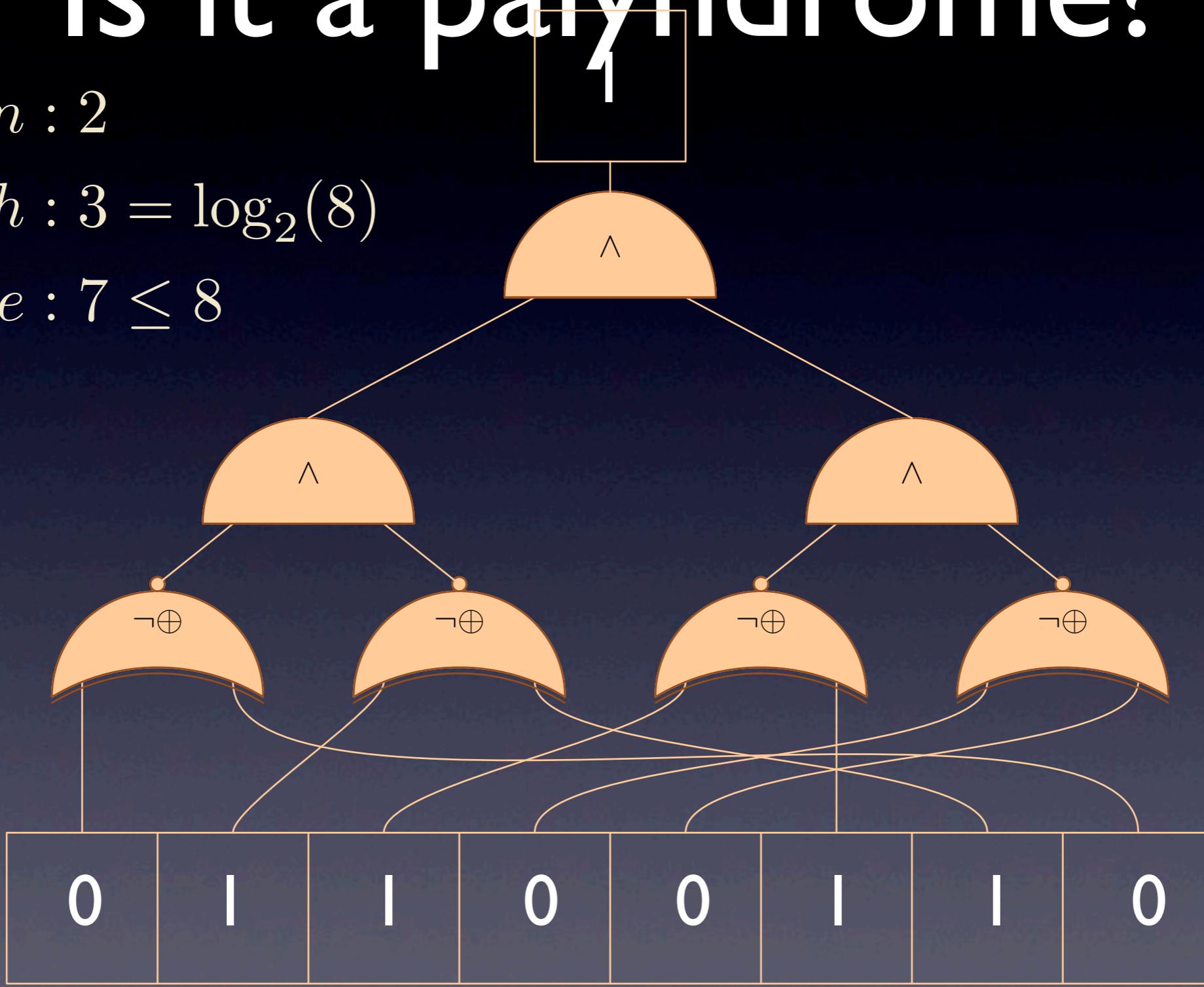


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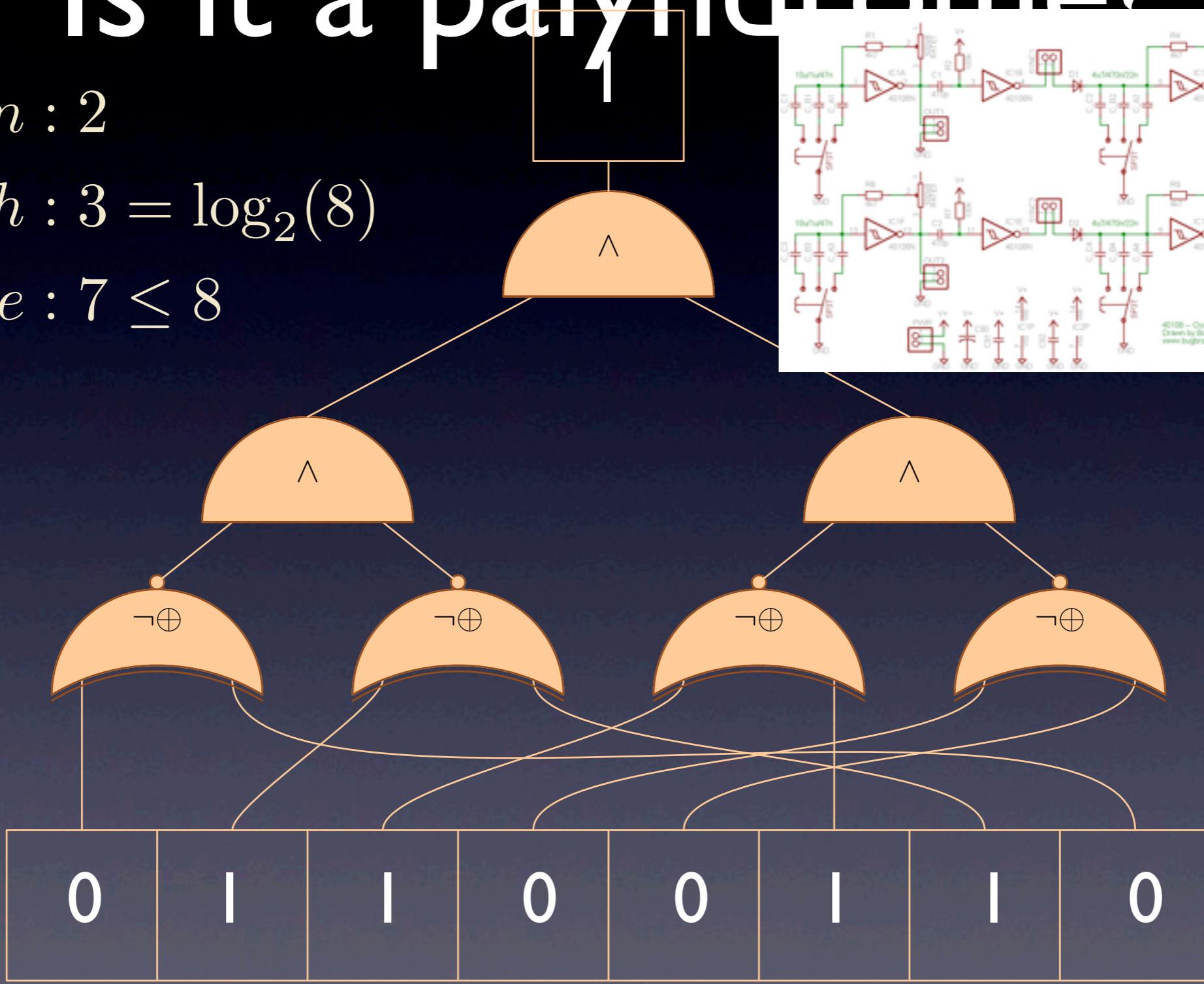


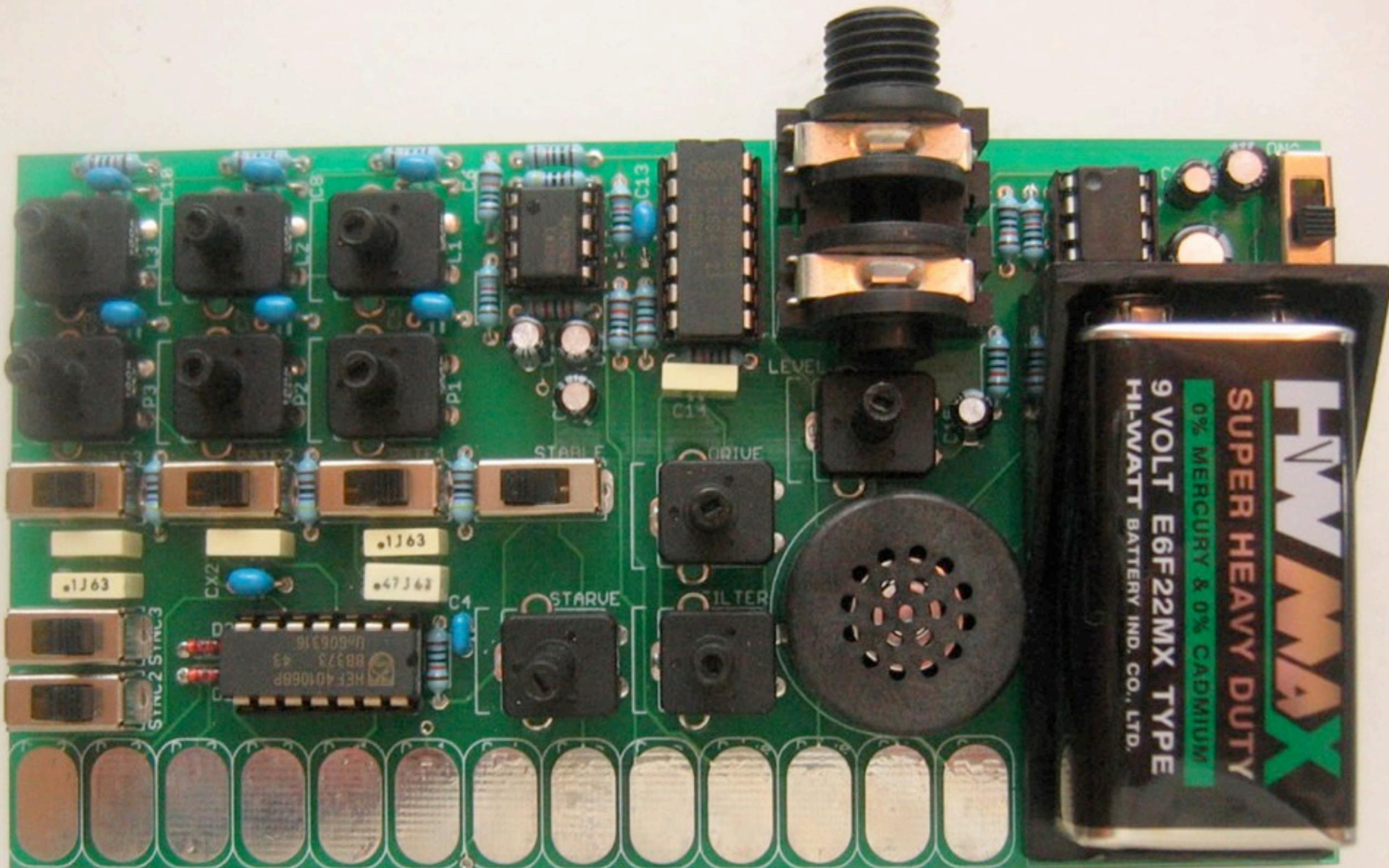
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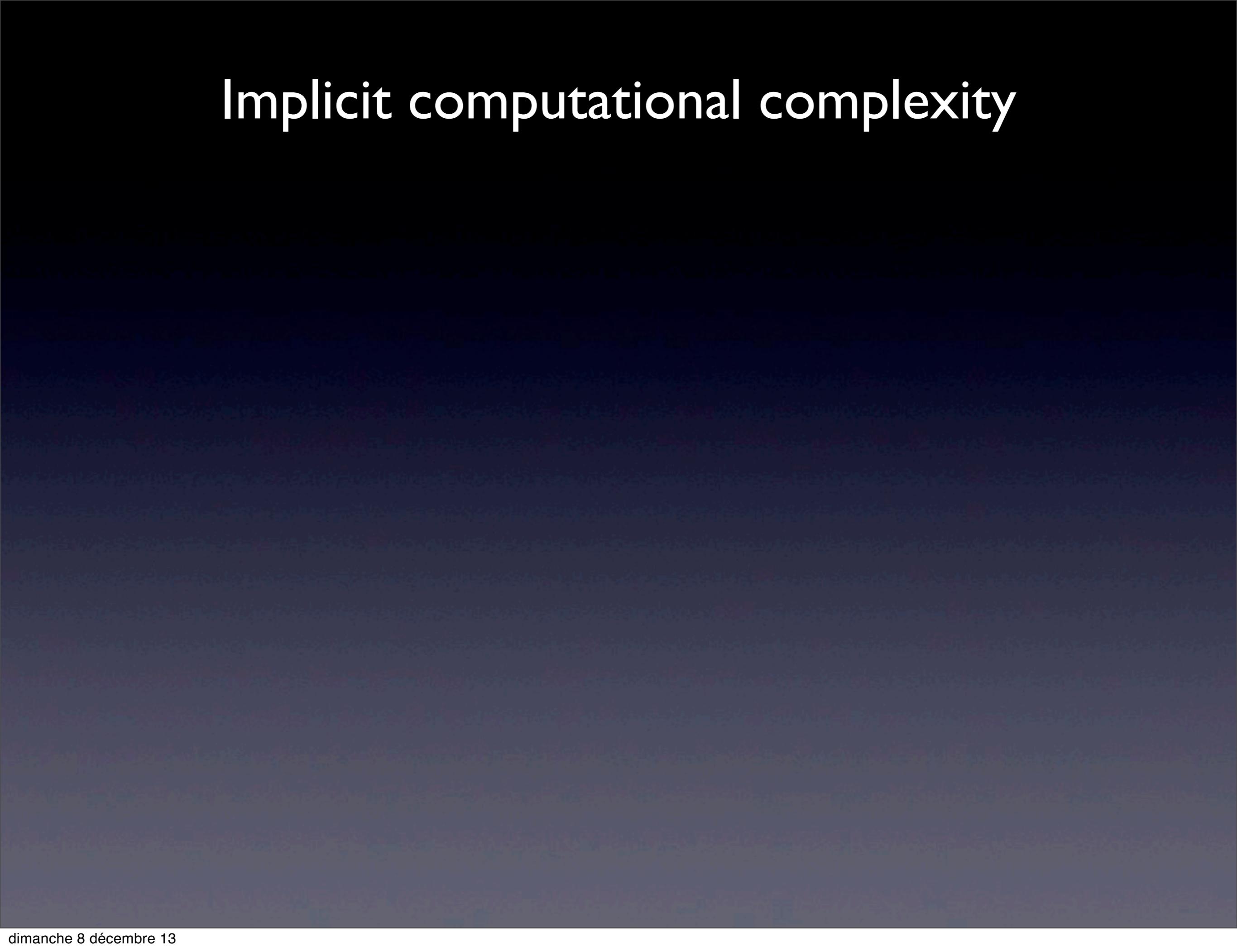
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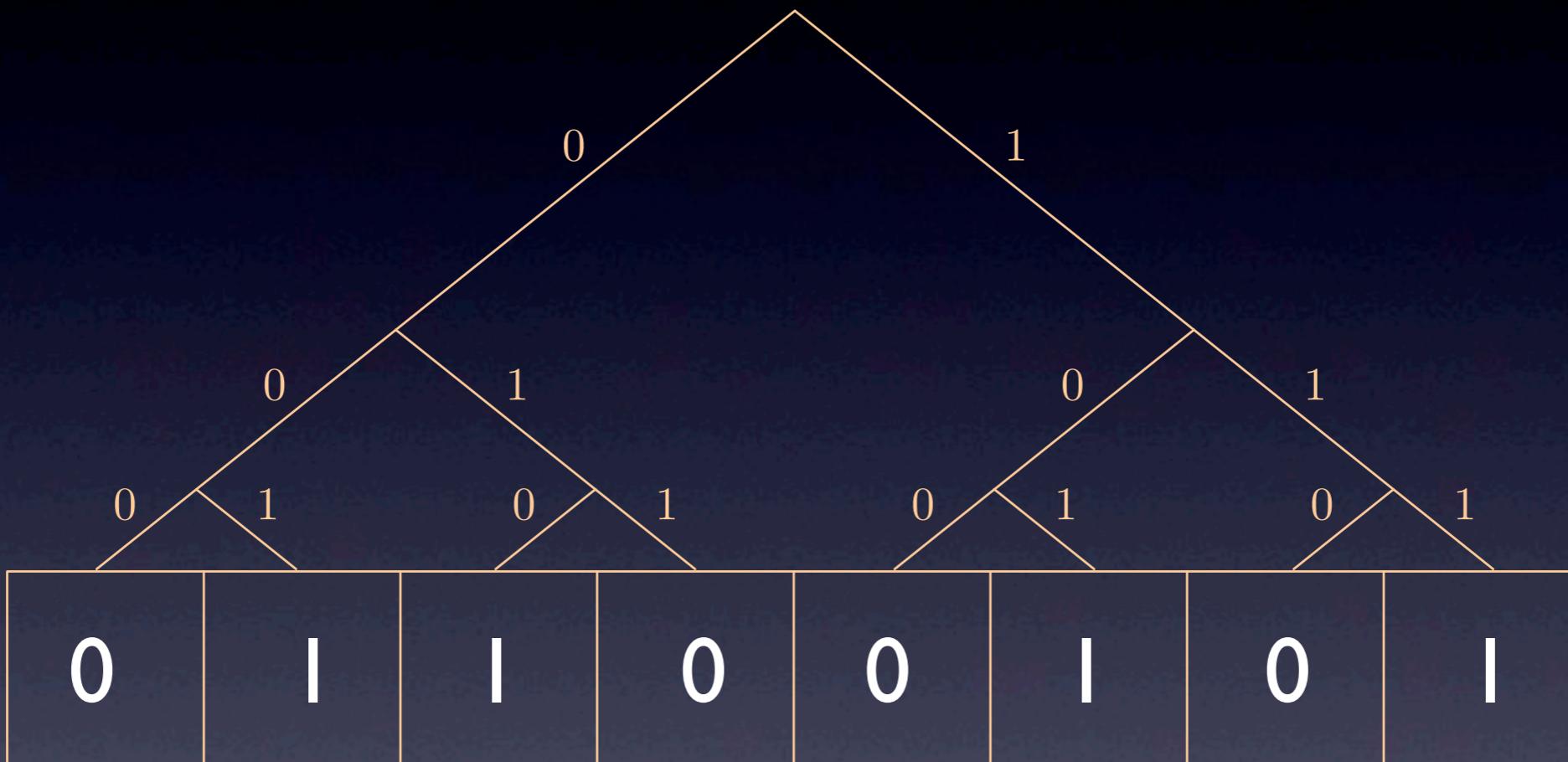
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  - without a priori bounds (cf. Clote, Cobham)
  - Infinite structures (cf Cook, Buss)
  - Logical approaches (cf. Mogbil)
  - Recursion Theory (Leivant, Bloch, Oitavem)

# Computing on trees

|   |  |  |   |   |  |   |  |
|---|--|--|---|---|--|---|--|
| 0 |  |  | 0 | 0 |  | 0 |  |
|---|--|--|---|---|--|---|--|

# Computing on trees

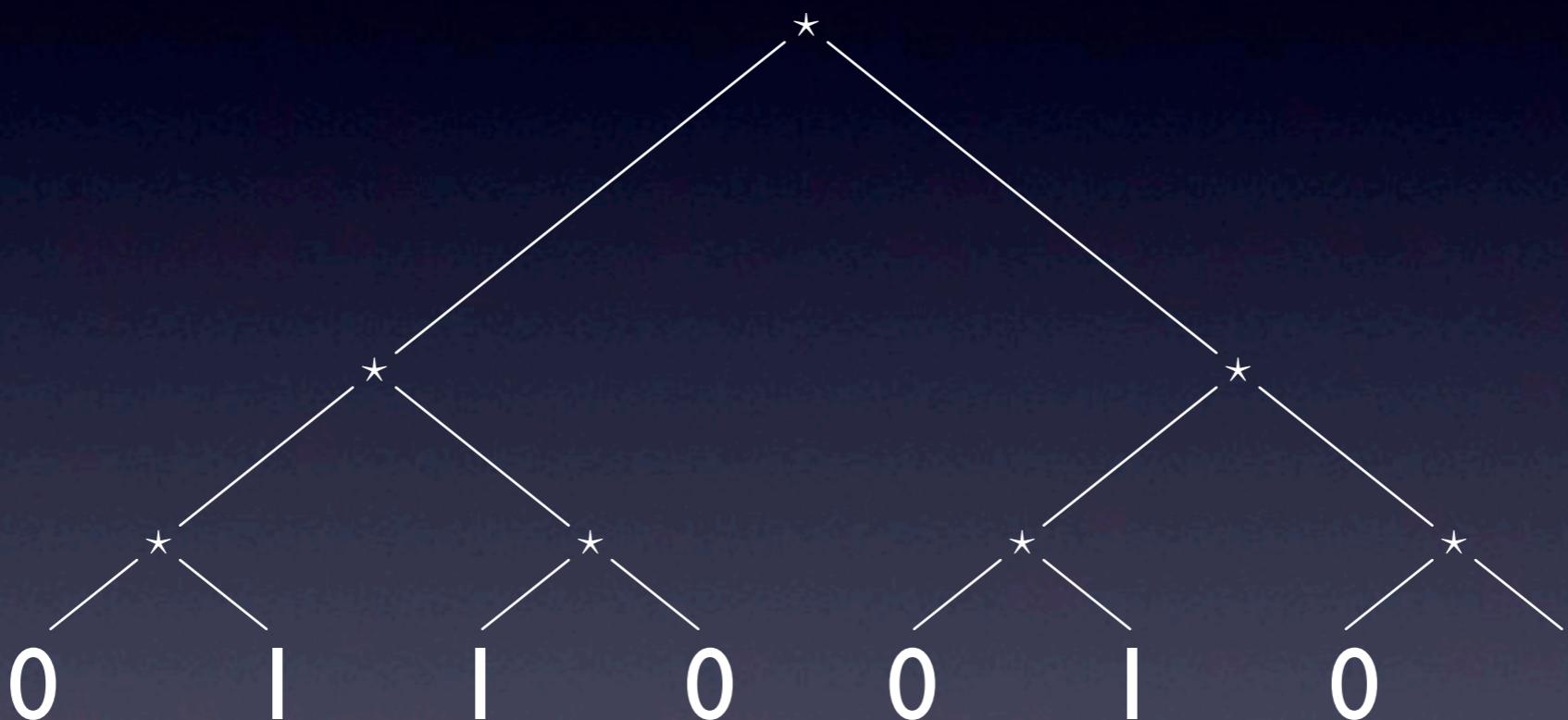


# Computing on trees

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| 0 | I | I | 0 | 0 | I | 0 | I |
|---|---|---|---|---|---|---|---|

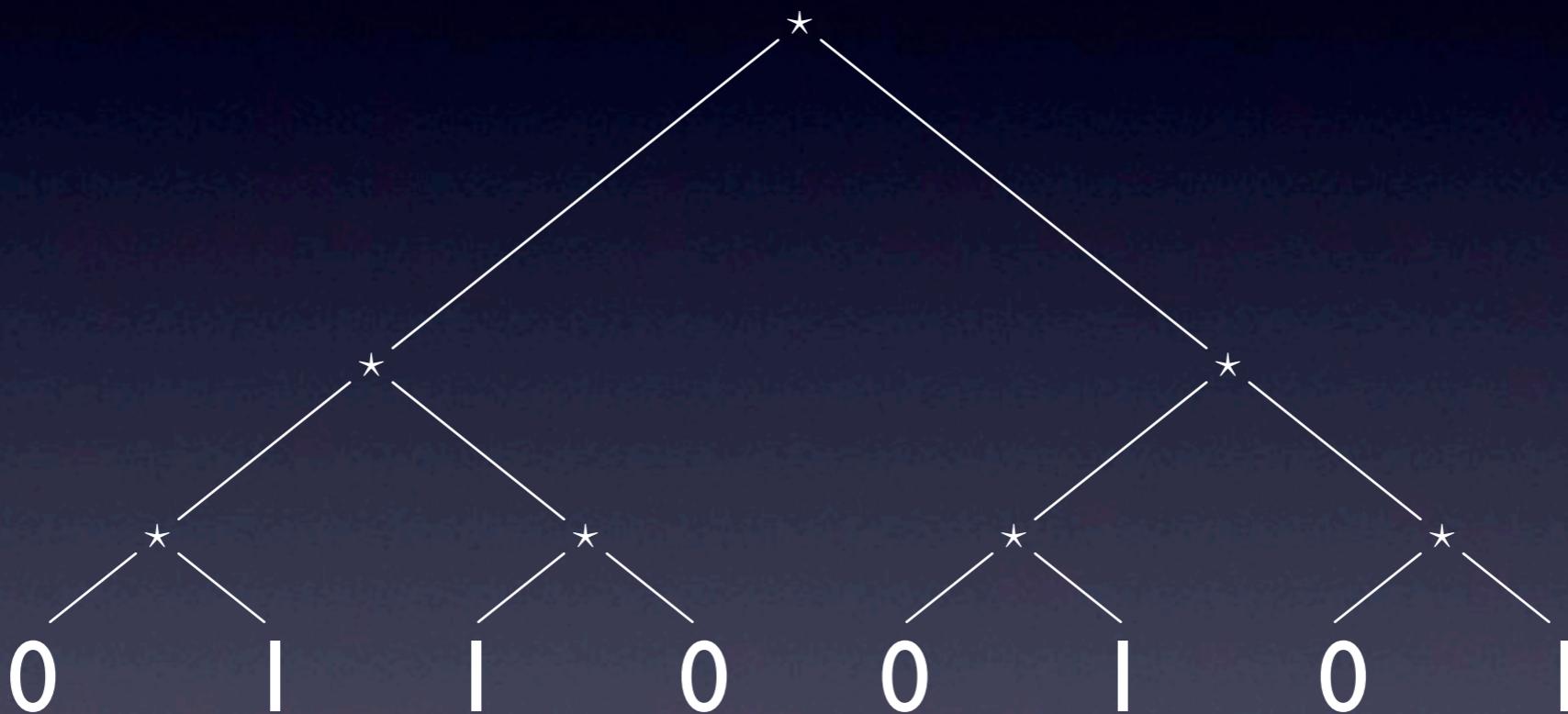
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|---|---|---|---|---|---|---|---|



# Computing on trees

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| 0 | I | I | 0 | 0 | I | 0 | I |
|---|---|---|---|---|---|---|---|



$$((0 \star 1) \star (1 \star 0)) \star ((0 \star 1) \star (0 \star 1))$$

# Basic functions

$$d_0(c) = d_1(c) = c, \quad c \in \{0, 1\}$$

$$d_0(t_0 \star t_1) = t_0,$$

$$d_1(t_0 \star t_1) = t_1,$$

$$\text{cond}(c, x_0, x_1, x_\star) = x_c, \quad c \in \{0, 1\}$$

$$\text{cond}(t_0 \star t_1, x_0, x_1, x_\star) = x_\star$$

# A characterization of NC by Leivant

## Ramified Schematic Recurrence

$$f(c, \vec{u}; \vec{x}) = g_c(\vec{u}; \vec{x})$$

$$\begin{aligned} f(t_0 \star t_1, \vec{u}; \vec{x}) &= g_\star(\vec{u}; f(t_0, \vec{u}; h_1(\vec{x})), \dots, f(t_0, \vec{u}; h_d(\vec{x})), \\ &\quad f(t_1, \vec{u}; h'_1(\vec{x})), \dots, f(t_1, \vec{u}; h'_{d'}(\vec{x}))) \end{aligned}$$

Theorem (Leivant):

RSR characterize NC-computable functions

# Mutual In-Place Recursion (MIP)

## Definition

$(f_i)_{i \in I}$  is defined by MIP if for all  $i$ :

$$f_i(t_0 \star t_1, \vec{u}) = f_j(t_0, \sigma_{i,0}(t_0 \star t_1, \vec{u})) \star f_k(t_1, \sigma_{i,1}(t_0 \star t_1, \vec{u}))$$

$$f_i(c, \vec{u}) = g_{i,c}(\vec{u})$$

$g_{i,c}(\vec{u})$  range in  $\{0, 1\}$

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# Computing the palyndrome

$$f_0(t_0 \star t_1) = f_1(t_0, t_1) \star f_1(t_1, t_0)$$

$$f_0(c) = 1$$

$$f_1(t_0 \star t_1, u) = f_1(t_0, d_1(u)) \star f_1(t_1, d_0(u))$$

$$f_1(c, c') = c == c'$$

with

$$d_0(t_0 \star t_1) = t_0$$

$$d_1(t_0 \star t_1) = t_1$$

# Computing the palyndrome

$$f_0(((0 \star 1) \star (1 \star 0)) \star ((0 \star 1) \star (1 \star 0)))$$

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$$= ((1 \star 1) \star (1 \star 1)) \star ((1 \star 1) \star (1 \star 1))$$

# Computing the palyndrome

$$f_0(((0 \star 1) \star (1 \star 0)) \star ((0 \star 1) \star (1 \star 0)))$$

$$= ((1 \star 1) \star (1 \star 1)) \star ((1 \star 1) \star (1 \star 1))$$

$$f(t_0 \star t_1, u) = f(t_0, d_0(u)) \star f(t_1, d_1(u)),$$

$$f(c, u) = \wedge(c, \wedge(d_0(u), d_1(u)));$$

$$\text{AND}(t_0 \star t_1) = f(t_0, t_0 \star t_1) \star f(t_1, t_0 \star t_1),$$

$$\text{AND}(c) = c$$

# Computing the palyndrome

**Claim:**

$$\text{AND}^{\log_2(n)}(t) = (((((b \star \dots) \dots)$$

with  $b = 0$  iff  $t$  contains a 0

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Thus

$$\text{AND}^{\log_2(n)}(f_0(t)) = (((((b \star \dots) \dots)$$

**with**  $b = 1$  iff  $t$  is a palyndrome

# Time iteration

$$f(t'_1 \star t''_1, t_2, \dots, t_k, s, \vec{u}) = h(f(t'_1, t_2, \dots, t_k, s, \vec{u}), \vec{u})$$

$$f(c_1, t'_2 \star t''_2, t_3, \dots, t_k, s, \vec{u}) = f(s, t'_2, t_3 \dots, t_k, s, \vec{u})$$

⋮

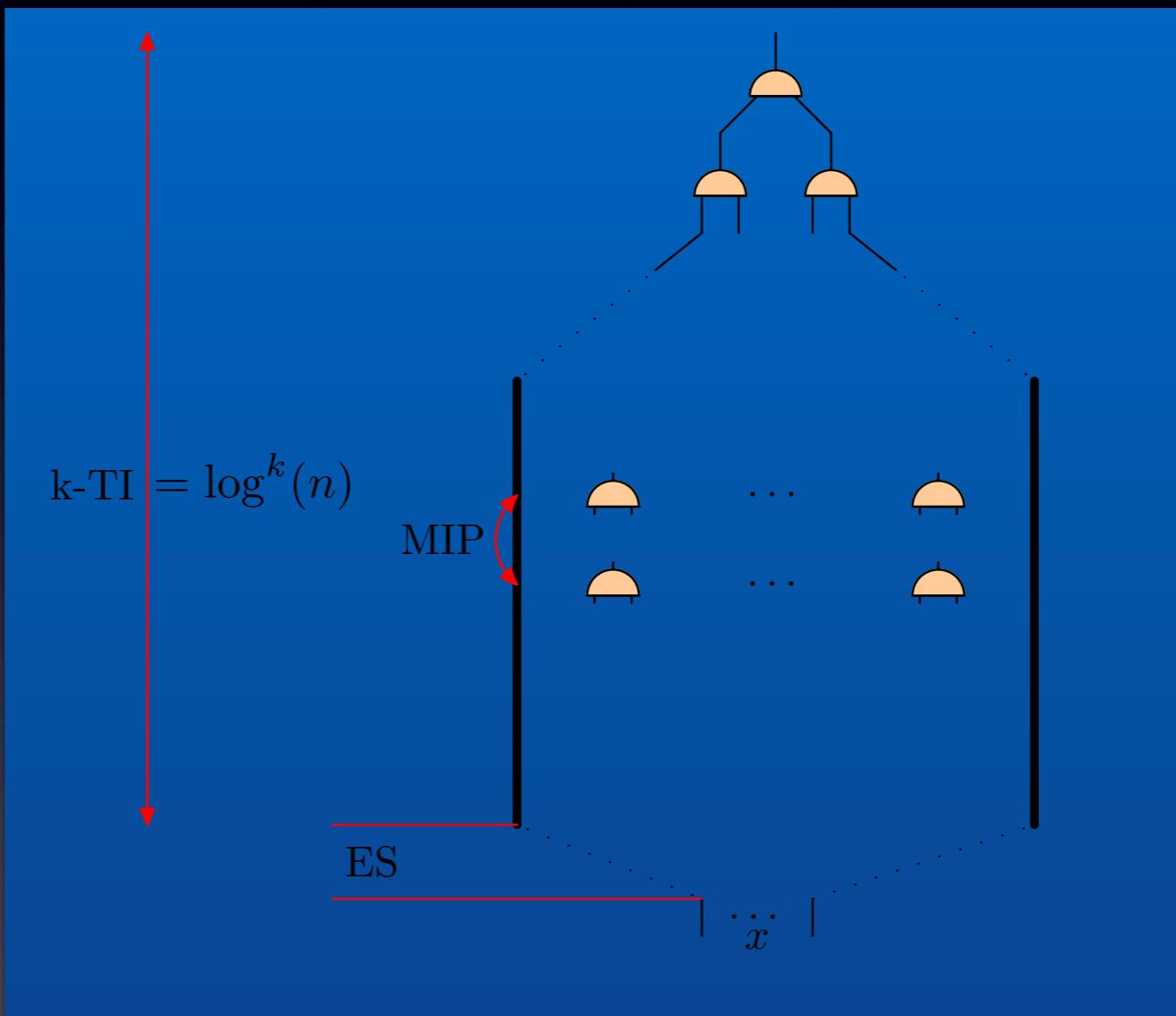
$$f(c_1, \dots, c_{i-1}, t'_i \star t''_i, t_{i+1}, \dots, t_k, s, \vec{u}) = f(c_1, \dots, c_{i-2}, s, t'_i, t_{i+1}, \dots, t_k, s, \vec{u})$$

⋮

$$f(c_1, \dots, c_k, s, \vec{u}) = g(s, \vec{u})$$

$$\mathbf{MIP+TI^k = NC^k}$$

Theorem (BKMO):  $\mathbf{MIP+TI^k = NC^k}$



# Rational Bitwise Equations

$$f(w_0, \dots, w_k) = w$$

$$|w_0| = |w|$$

$$w[p] = h(\phi_0(p), w_{e_1}[\phi_1(p)], \dots, w_{e_m}[\phi_m(p)])$$

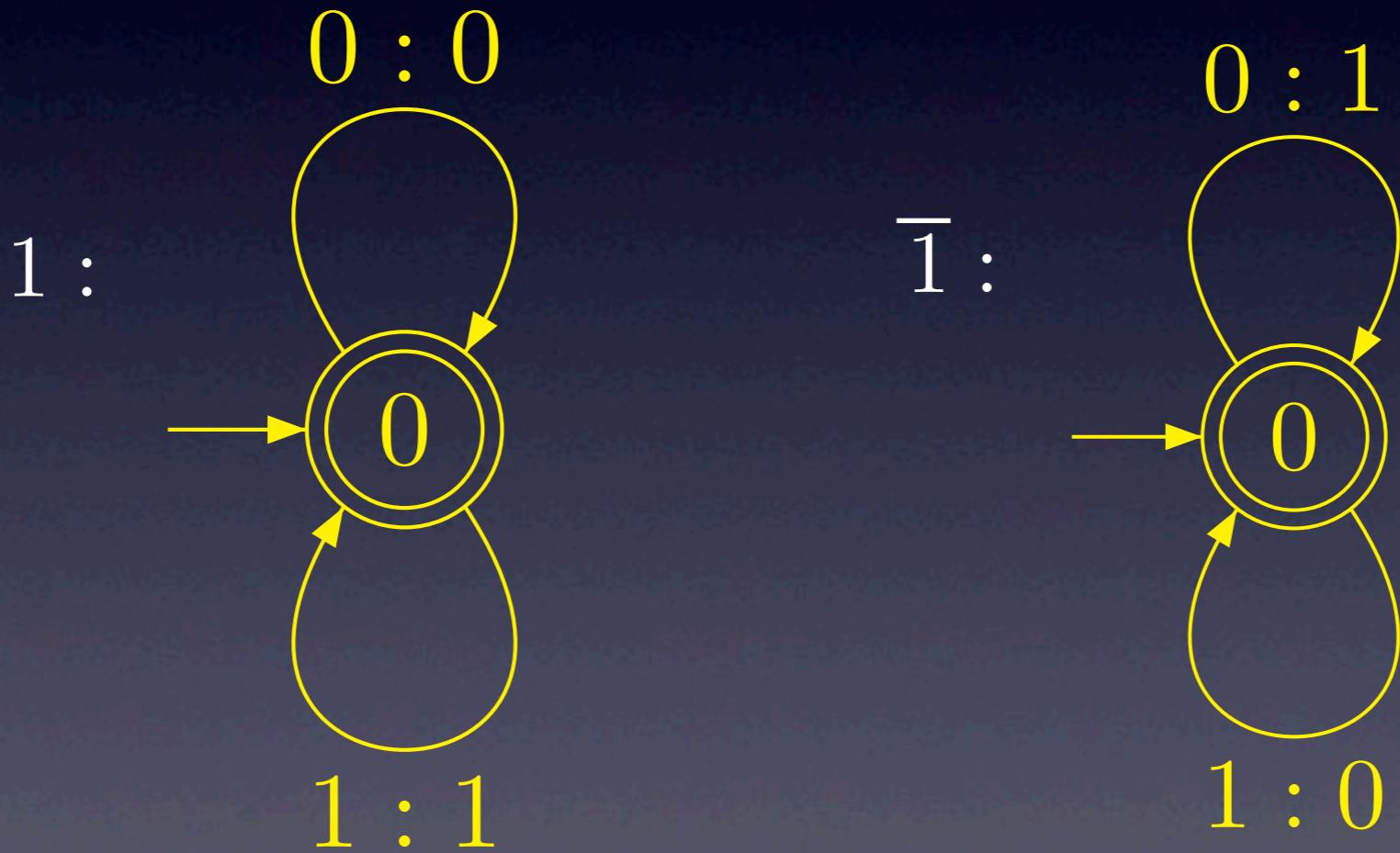
$\phi_0, \dots, \phi_m$  some functional transducers on  $\{0, 1\}^*$

$h$  is a finite mapping in  $\{0, 1\}$

# On the palyndrome

$$f(w_0) = w$$

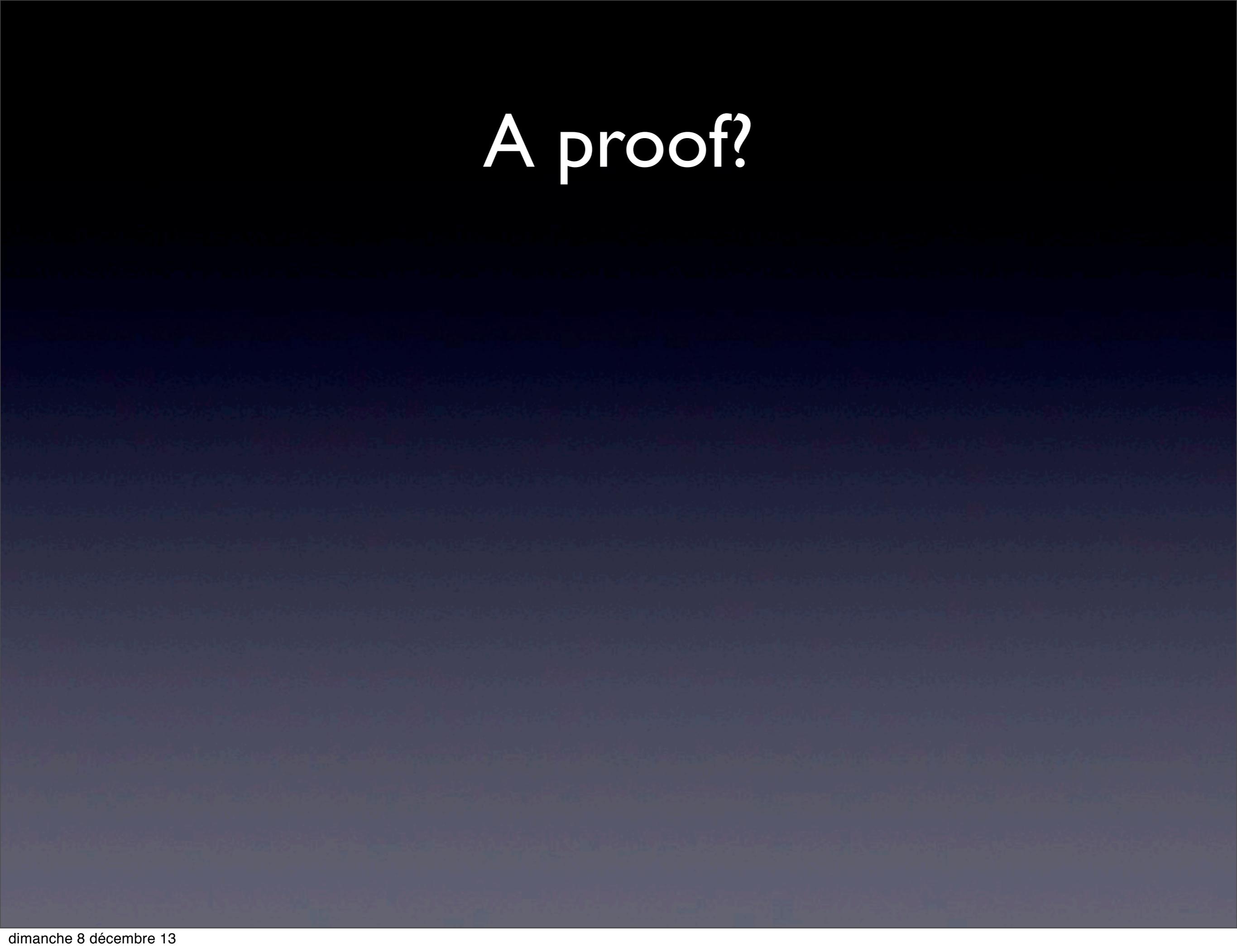
$$w[p] = \text{XNOR}(w_0[1(p)], w_0[\bar{1}(p)])$$



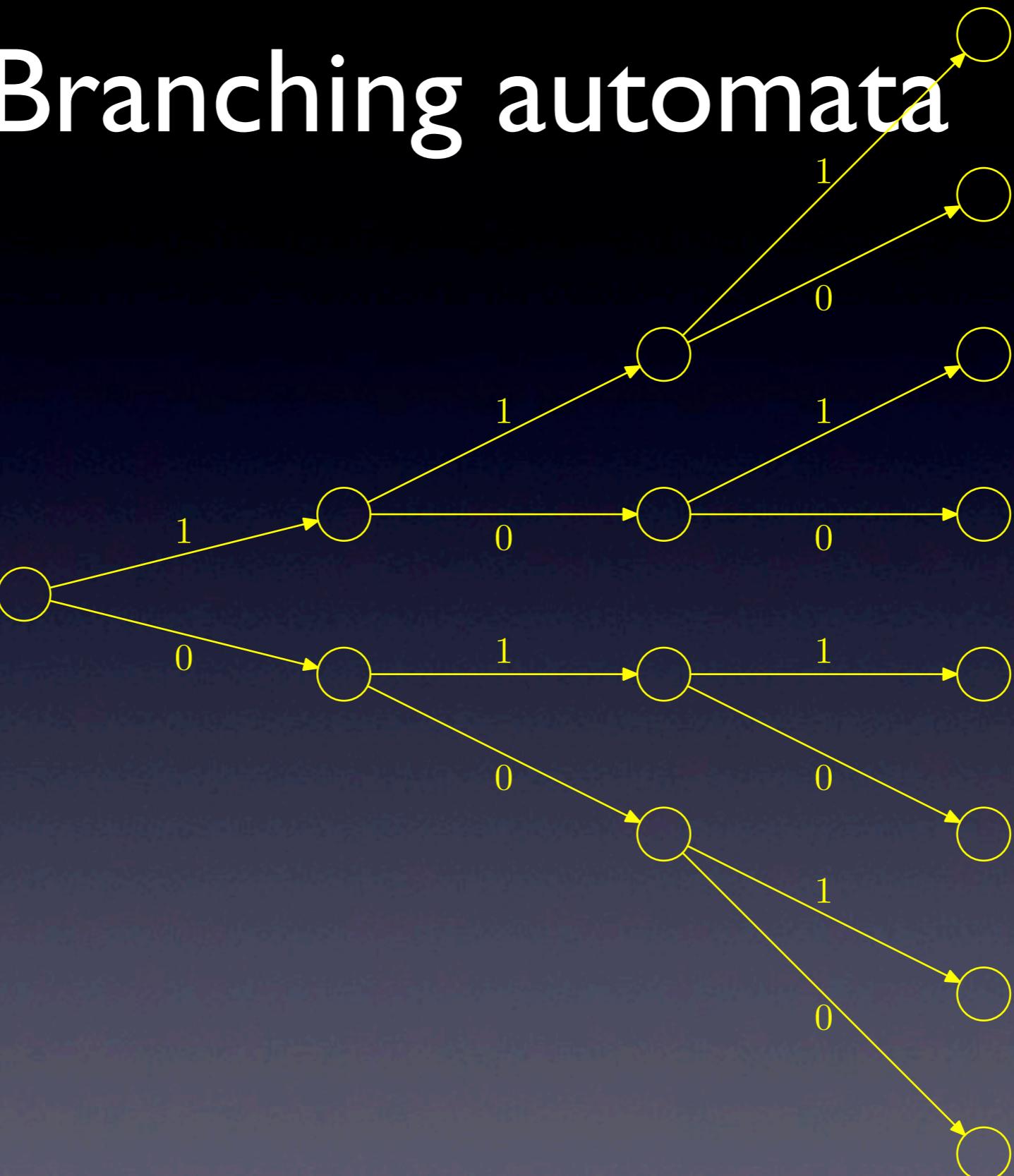
# MIP = RBE

Theorem : MIP = RBE

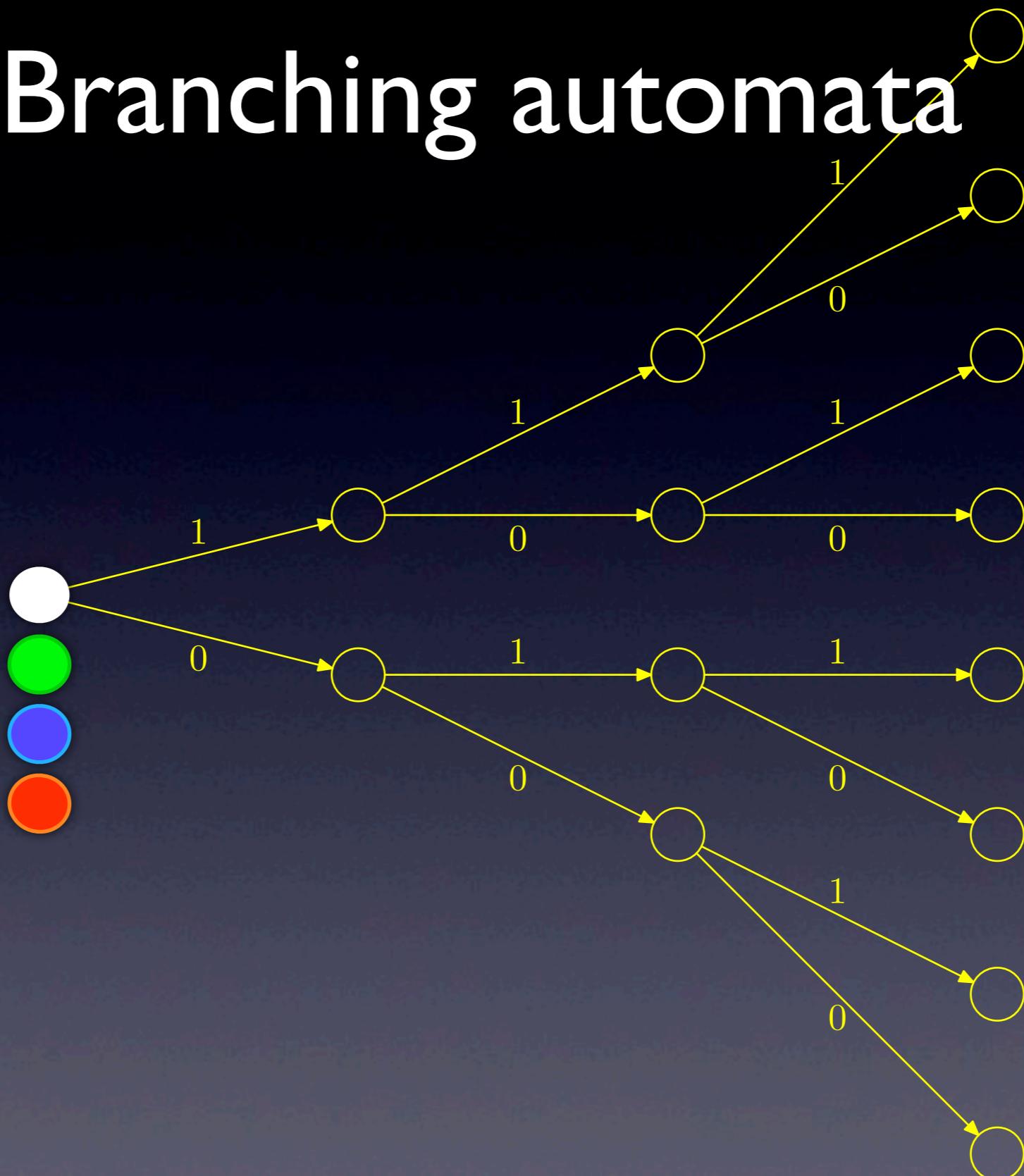
# A proof?



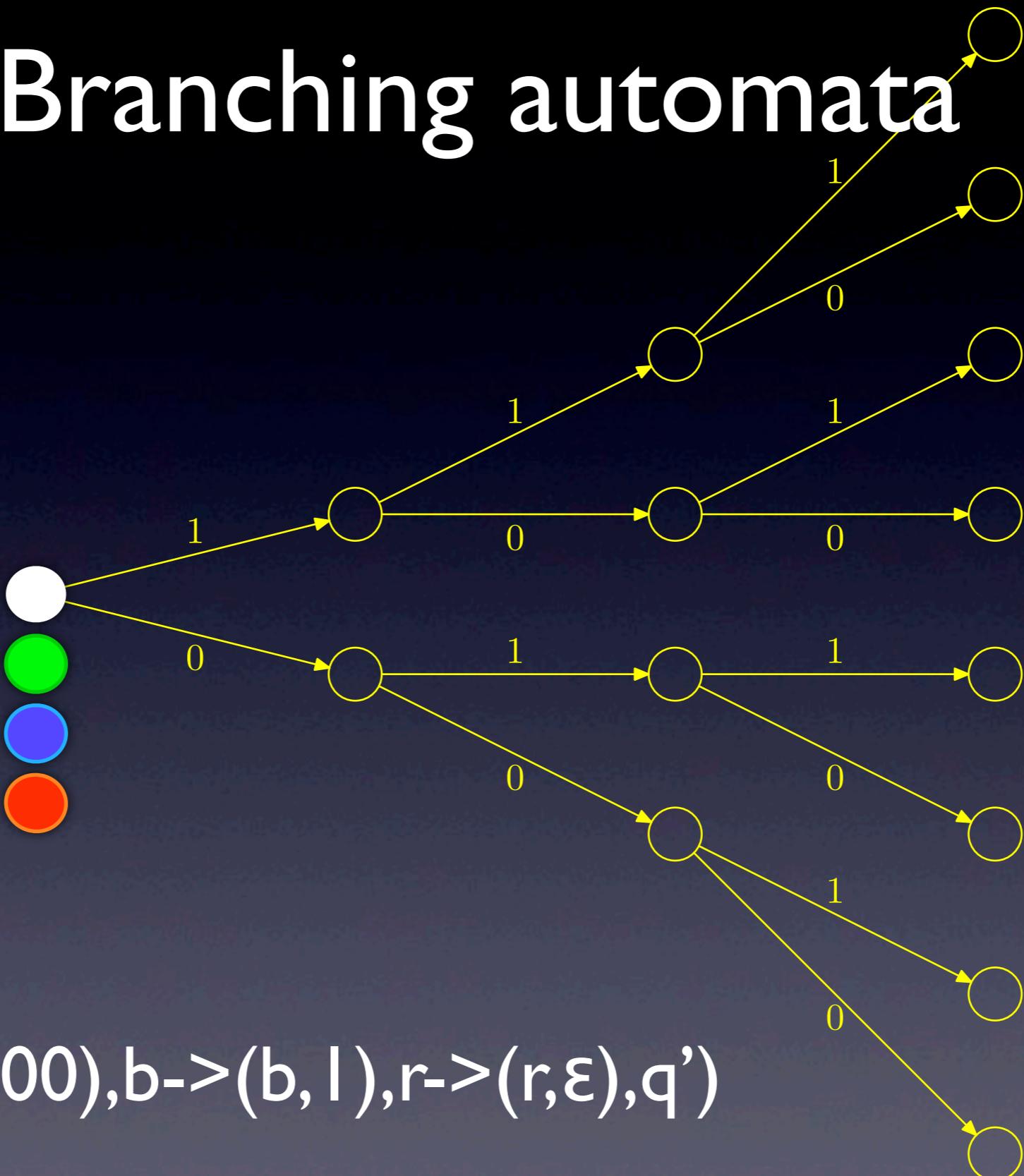
# Branching automata



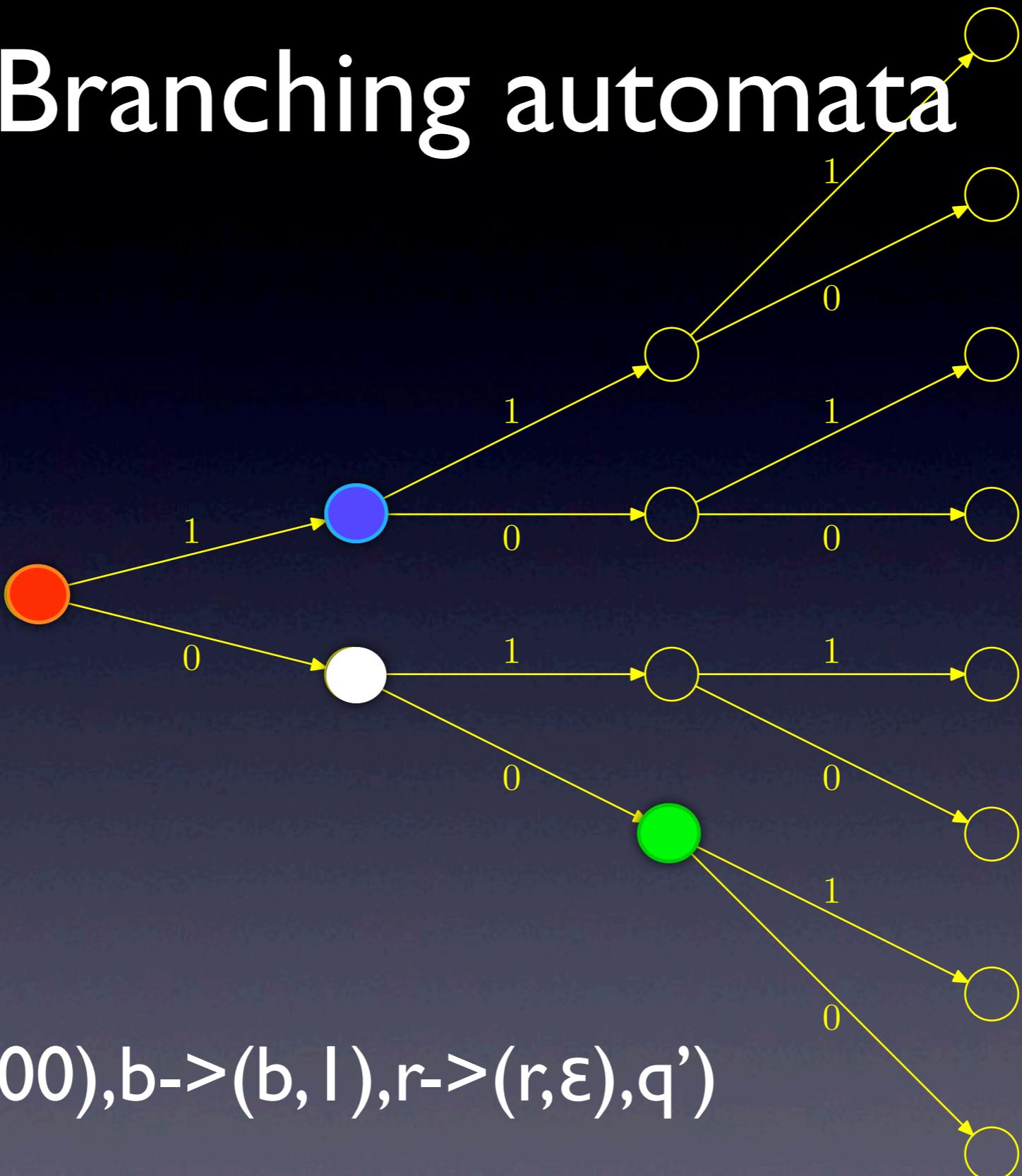
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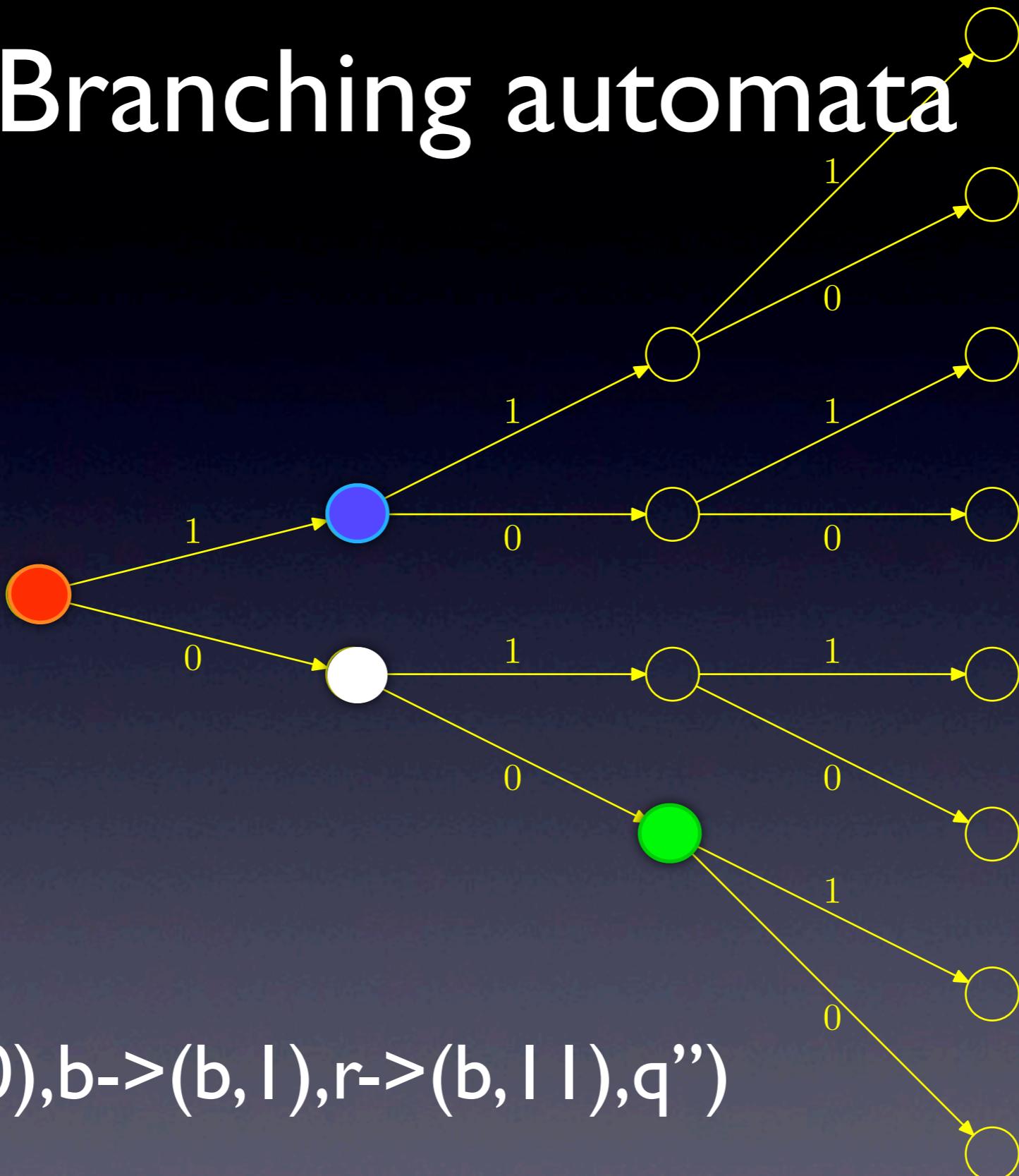


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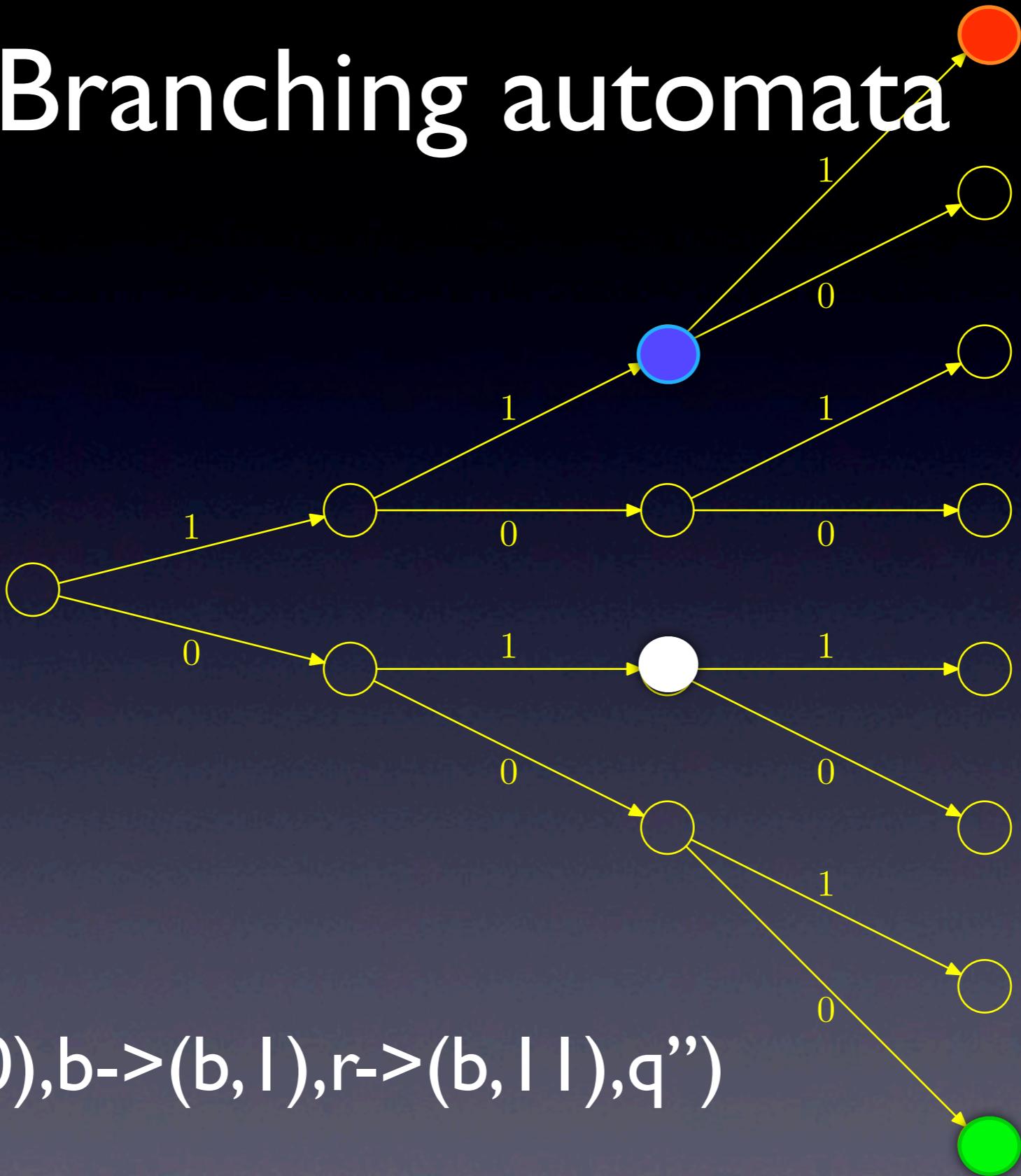


$(q_0, 0, g \rightarrow (r, 00), b \rightarrow (b, l), r \rightarrow (r, \varepsilon), q')$

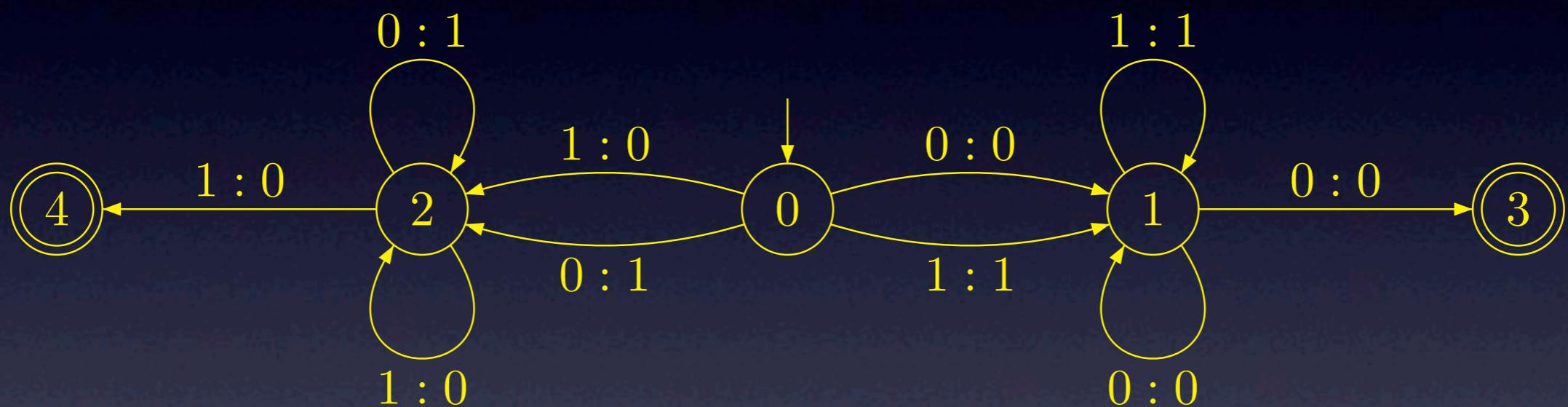
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# A proof?



# Functional transducers

MIP == RBE iff FT == BA

Theorem (Elgot and Mezei, 1965):

Rational functions are the composition of a sequential  
and a co-sequential function

Exercice:

compute the finite transducer above with a BA

# Conclusion

- A small step to  $\text{NC}^k$
- A longer way to  $\text{NC}^0$
- An even longer way to  $\text{AC}^k$