

Document Retrieval on General Sequence Collections

Gonzalo Navarro

`www.dcc.uchile.cl/gnavarro`

`gnavarro@dcc.uchile.cl`

Department of Computer Science
University of Chile

Why this Problem?

Document Listing

Top- k Retrieval

State of the Art

Why document retrieval?

- ▶ Huge collections of documents available
- ▶ Need very precise queries to retrieve useful information
- ▶ Only a few documents can be processed by users
- ▶ Typical example: Web search engines

How is it solved today?

- ▶ **Inverted indexes**: Limit queries to words and phrases, and precompute all the answers
- ▶ Very successful on **natural language** text collections
- ▶ Behind every text search engine out there

Why general sequences?

- ▶ The term **natural language** is more restrictive than what can be imagined, excluding
 - ▶ Oriental languages like Chinese, Korean, Thai, Japanese (Kanji), Lao, Vietnamese...
 - ▶ Highly synthetic languages like Finnish, Hungarian, Japanese, German...
- ▶ Other areas where document retrieval is of interest:
 - ▶ Bioinformatics: bases, amino acids, genes, ...
 - ▶ Software repositories: modules, functions, ...
 - ▶ Chemoinformatics: formulas
 - ▶ Multimedia sequences: MIDI notes, ...

Why not using inverted indexes?

- ▶ In these cases, we have a **general sequence of symbols**
- ▶ The query may appear as **any substring** in those sequences
- ▶ The inverted index cannot precompute the answer to **every** query
- ▶ **A different technology is needed**

Why not using string matching indexes?

- ▶ Suffix trees and suffix arrays can spot the **individual occurrences** of any substring in a general sequence collection
- ▶ But these are **too many** compared to the answer we really want
- ▶ We need an extension of those structures that can directly answer document retrieval problems efficiently.

Which problems we want to solve?

- ▶ **Document listing:** List the documents where a pattern $P[1, m]$ appears.
- ▶ **Document listing with frequency:** As above, but also give the frequency of P in each answer document.
- ▶ **Top- k document retrieval:** Give the k documents where P appears most often (or using some other relevance measure)

Why is the talk about?

- ▶ I will focus on the **algorithmic** issues of the problem
- ▶ I will describe the most interesting solutions to the problems described
- ▶ Most are mapped to another family of problems called **colored range retrieval**: given an array of colors, find distinct colors in a range
- ▶ This has its own set of application areas:
 - ▶ Web mining: distinct visitors to a page, frequently visited pages, common queries, ...
 - ▶ Database tuning: frequent queries, frequently accessed tables, ...
 - ▶ Business intelligence: itemset mining, e.g. items frequently bought together
 - ▶ Social behavior: words used on tweets, sites visited, topics queried, “likes”, posters in blogs, ...
 - ▶ Bioinformatics again: pattern discovery, e.g. frequent patterns in sequences

Why this Problem?

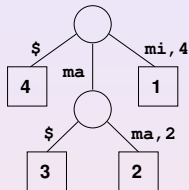
Document Listing

Top- k Retrieval

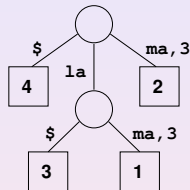
State of the Art

Suffix trees and suffix arrays

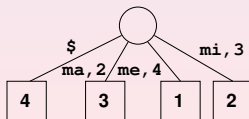
1 2 3 4
T1 mi ma ma \$



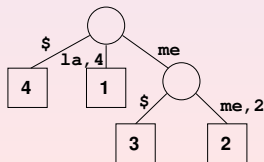
1 2 3 4
T2 la ma la \$



1 2 3 4
T3 me mi ma \$

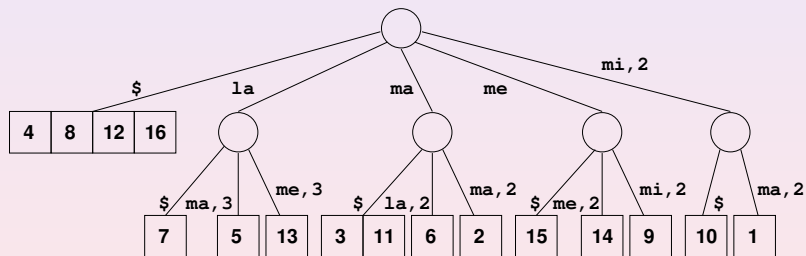


1 2 3 4
T4 la me me \$



Suffix trees and suffix arrays

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16
T mi ma ma \$ la ma la \$ me mi ma \$ la me me \$



Suffix trees and suffix arrays

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
<i>T</i>	mi	ma	ma	\$	la	ma	la	\$	me	mi	ma	\$	la	me	me	\$

<i>A</i>	4	8	12	16	7	5	13	3	11	6	2	15	14	9	10	1
----------	---	---	----	----	---	---	----	---	----	---	---	----	----	---	----	---

Muthukrishnan's algorithm: listing colors

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
<i>T</i>	mi	ma	ma	\$	la	ma	la	\$	me	mi	ma	\$	la	me	me	\$

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
<i>A</i>	4	8	12	16	7	5	13	3	11	6	2	15	14	9	10	1

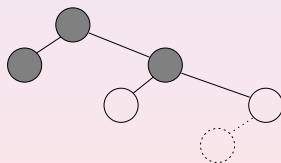
<i>C</i>	1	2	3	4	2	2	4	1	3	2	1	4	4	3	3	1
----------	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

<i>L</i>	0	0	0	0	2	5	4	1	3	6	8	7	12	9	14	11
----------	---	---	---	---	---	---	---	---	---	---	---	---	----	---	----	----



Muthukrishnan's algorithm: listing colors

C	1	2	3	4	2	2	4	1	3	2	1	4	4	3	3	1
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
	L	0	0	0	2	5	4	1	3	6	8	7	12	9	14	11



Sadakane's version: optimal space

T mi ma ma \$ la ma la \$ me mi ma \$ la me me \$

$$\mathbf{B} = \begin{bmatrix} 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$
CSA

4	8	12	16	7	5	13	3	11	6	2	15	14	9	10	1
---	---	----	----	---	---	----	---	----	---	---	----	----	---	----	---

 RMQ_L
$$y \quad 0 \quad 0 \quad 0 \quad 0$$

L	0	0	0	0	2	5	4	1	3	6	8	7	12	9	14	11
-----	---	---	---	---	---	---	---	---	---	---	---	---	----	---	----	----

Sadakane's version: term frequency

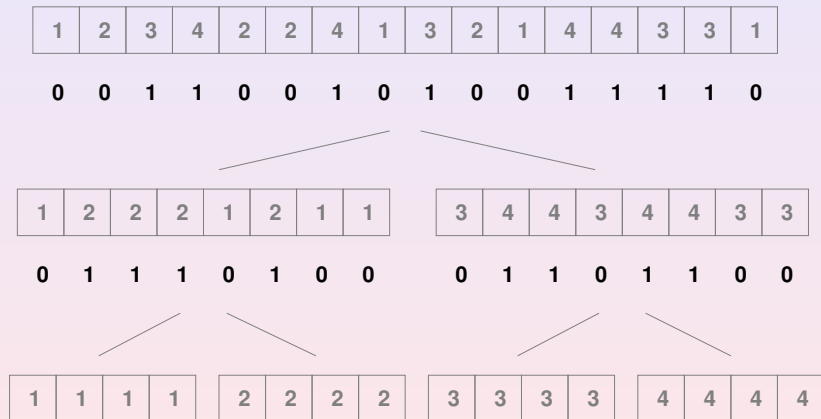
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
<i>T</i>	mi	ma	ma	\$	la	ma	la	\$	me	mi	ma	\$	la	me	me	\$
<i>B</i>	0	0	0	1	0	0	0	1	0	0	0	1	0	0	0	1

<i>A</i>	4	8	12	16	7	5	13	3	11	6	2	15	14	9	10	1
----------	---	---	----	----	---	---	----	---	----	---	---	----	----	---	----	---

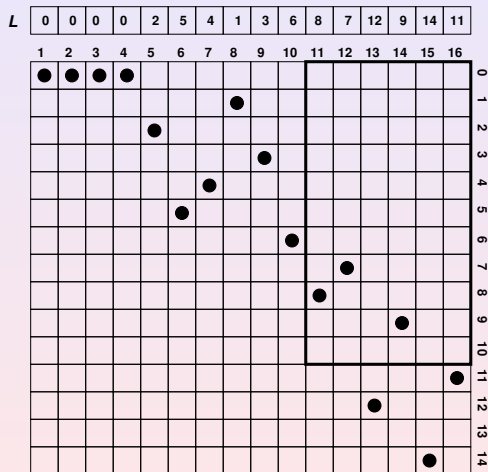
A_2	4	1	3	2
-------	---	---	---	---

A_2^{-1}	2	4	3	1
------------	---	---	---	---

Wavelet trees: frequency and importance



Document frequency: counting colors



Why this Problem?

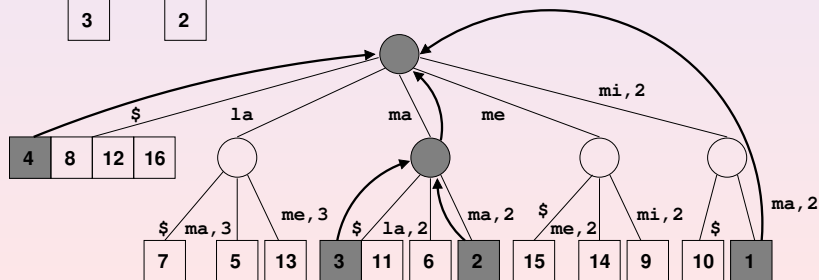
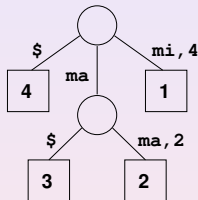
Document Listing

Top- k Retrieval

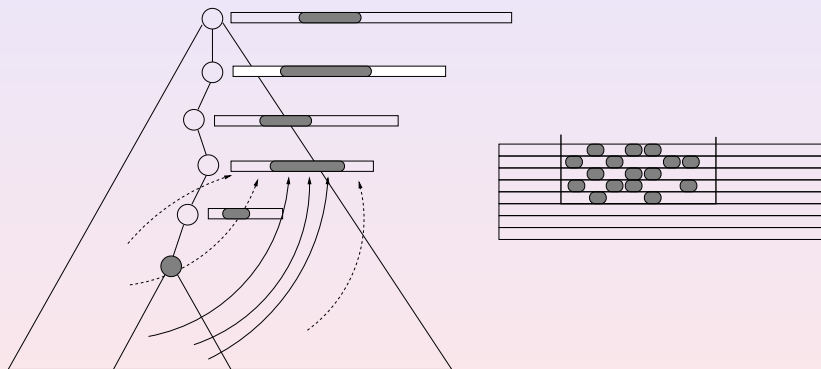
State of the Art

Hon, Shah, and Vitter's solution

	1	2	3	4
<i>T1</i>	mi	ma	ma	\$

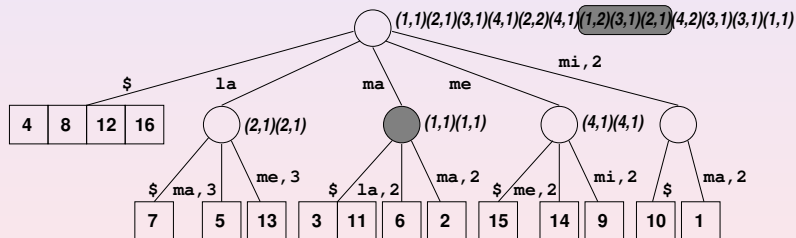


Hon, Shah, and Vitter's solution

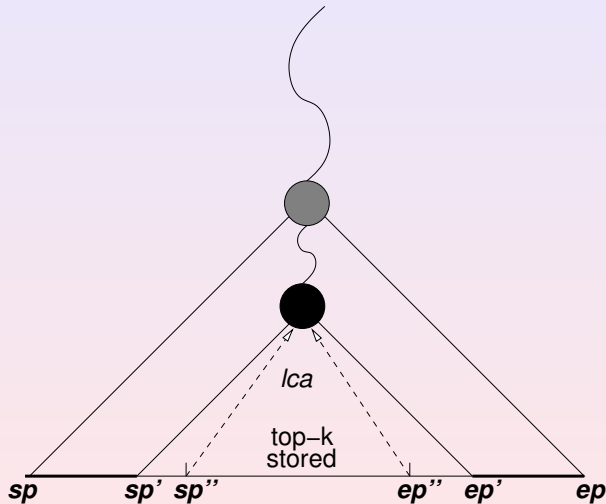


Hon, Shah, and Vitter's solution

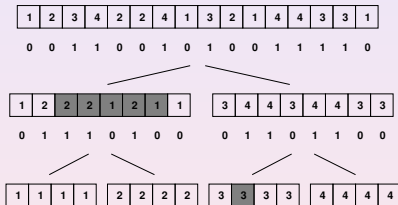
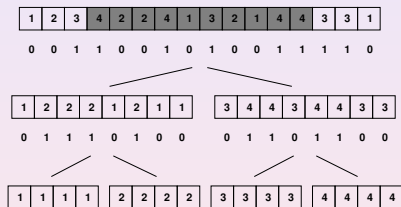
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16
 T mi ma ma \$ la ma la \$ me mi ma \$ la me me \$



Top- k in reduced space



Top-k colors in general: hard



Why this Problem?

Document Listing

Top- k Retrieval

State of the Art

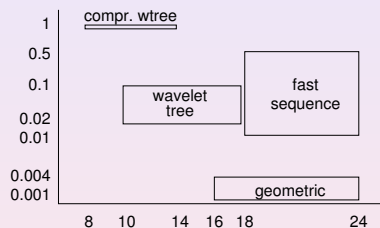
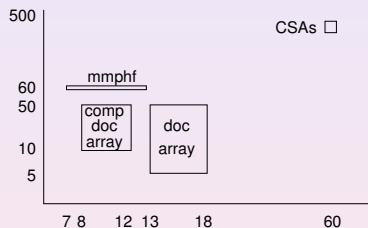
State of the art: Colors

Problem		Time	Space
Color Listing	Sadakane 2007	Real	Data + $O(n)$
Color Listing with Freqs	Belazzougui et al. 2013	Optimal	Data + $O(n \lg \lg D + D \lg n)$
Color Counting	Gupta et al. 1995; Bose et al. 2009	$\lg n / \lg \lg n$	$n \lg n + o(n \lg n)$
	Gagie et al. 2013	$\lg(ep - sp + 1)$	$n \lg D + o(n \lg D) + O(n)$
Top- k Heaviest Colors	Karpinski and Nekrich 2011	Real	$O(n \lg D)$
	Gagie et al. 2012	$k \lg(D/k)$	$n \lg D + o(n \lg D)$ *
Top- k Colors $((1+\epsilon)$ -app.)	Gagie et al. 2013	$k \lg D \lg(1/\epsilon)$	$O((n/\epsilon) \lg D \lg n)$

State of the art: Documents

Problem		Time	Space
Document Listing	Sadakane 2007	1	$ CSA + n \lg D$
	Hon et al. 2009	$\lg^{1+\epsilon} n$	$ CSA + o(n)$
Document Listing with Frequencies	Belazzougui et al. 2013	1	$ CSA + n \lg D$
	Sadakane 2007	$\lg^{1+\epsilon} n$	$2 CSA + O(n)$
	Belazzougui et al. 2013	$\lg^{1+\epsilon} n$	$ CSA + O(n \lg \lg D)$
	this survey	$\lg \text{docc} \lg^{1+\epsilon} n$	$ CSA + o(n)$
Document Frequency	Sadakane 2007	1	$ CSA + O(n)$
Top-k Most Important Documents	Karpinski and Nekrich 2011	1	$ CSA + O(n \lg D)$
	Gagie et al. 2012	$\lg(D/k)$	$ CSA + n \lg D$
	Belazzougui et al. 2013	$\lg k \lg^{1+\epsilon} n$	$ CSA + o(n)$
Top-k Documents	N. and Nekrich 2012	1	$O(n \lg D + n \lg \sigma)$
	N. and Thankachan 2013	$\lg^* k$	$ CSA + n \lg D$
	Hon et al. 2013	$\lg k \lg^{1+\epsilon} n$	$2 CSA + o(n)$
	N. and Thankachan 2013	$\lg^2 k \lg^{1+\epsilon} n$	$ CSA + o(n)$

State of the art: Documents



State of the art: Future challenges

- ▶ Practice: reduce the space!
- ▶ Theory: find the optimal space/time tradeoffs
- ▶ Extensions: multi-pattern queries (difficult)
- ▶ Applications: back to natural language?

Spaces, Trees, and Colors: The Algorithmic Landscape of Document Retrieval on Sequences.

<http://arxiv.org/abs/1304.6023>