

# Parameterized $AC^0$ – Some upper and lower bounds

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September 19<sup>th</sup>, 2017 @ Shonan

## AC<sup>0</sup>

A family of Boolean circuits  $(C_n)_{n \in \mathbb{N}}$  are AC<sup>0</sup>-circuits if for every  $n \in \mathbb{N}$

- (i)  $C_n$  computes a Boolean function from  $\{0, 1\}^n$  to  $\{0, 1\}$ ;
- (ii) the depth of  $C_n$  is bounded by a fixed constant;
- (iii) the size of  $C_n$  is polynomially bounded in  $n$ .

### Remark

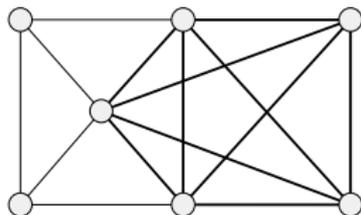
1. Without (ii), we get a family of polynomial-size circuits  $(C_n)_{n \in \mathbb{N}}$ , which decides a language in P/poly.
2. If  $C_n$  is computable by a TM in time  $O(\log n)$ , then  $(C_n)_{n \in \mathbb{N}}$  is **dlogtime-uniform**, which corresponds to FO( $<, +, \times$ ) [Barrington, Immerman, and Straubing, 1990].

## The $k$ -clique problem

### Definition

Let  $G$  be a graph and  $k \in \mathbb{N}$ . Then a subset  $C \subseteq V(G)$  is a  $k$ -clique if

- (i) for every two vertices  $u, v \in V(G)$  either  $u = v$  or  $\{u, v\} \in E(G)$ ,
- (ii) and  $|C| = k$ .



A graph with a 5-clique.

## $k$ -clique by $AC^0$

Let  $n \in \mathbb{N}$  and we encode a graph  $G$  with  $V(G) = [n]$  as follows. For every  $1 \leq i < j \leq n$  the **Boolean variable**  $X_{\{i,j\}}$  is defined by

$$X_{\{i,j\}} = \begin{cases} 1 & \text{if there is an edge between } i \text{ and } j \\ 0 & \text{otherwise.} \end{cases}$$

Then the  $k$ -clique problem can be computed by circuits

$$C_{\binom{n}{2}} = \bigvee_{\kappa \in \binom{[n]}{k}} \bigwedge_{\{i,j\} \in \binom{\kappa}{2}} X_{\{i,j\}}.$$

- (i)  $C_{\binom{n}{2}} : \{0, 1\}^{\binom{n}{2}} \rightarrow \{0, 1\}$ .
- (ii) The depth of  $C_{\binom{n}{2}}$  is 2.
- (iii)  $C_{\binom{n}{2}}$  has size  $n^{k+O(1)}$ .

## Rossman's Theorem

Theorem (Rossman, 2008)

Let  $k \in \mathbb{N}$ . There are no  $AC^0$ -circuits  $(C_{\binom{n}{2}})_{n \in \mathbb{N}}$  of size  $O(n^{k/4})$  such that for every  $n$ -vertex graph  $G$

$$G \text{ has a } k\text{-clique} \iff C_{\binom{n}{2}}(G) = 1.$$

## A uniform version

### Corollary

There are no circuits  $(C_{\binom{n}{2},k})_{n,k \in \mathbb{N}}$  which satisfy the following conditions.

- (i) The size of  $C_{\binom{n}{2},k}$  is bounded by  $f(k) \cdot n^{k/4}$ .
- (ii) The depth of  $C_{\binom{n}{2},k}$  is bounded by  $g(k)$ .
- (iii) Let  $G$  be an  $n$ -vertex graph  $G$  and  $k \in \mathbb{N}$ . Then

$$G \text{ has a } k\text{-clique} \iff C_{\binom{n}{2}}(G) = 1.$$

### Remark

1. It is about the circuit complexity of the *parameterized clique problem*.
2. If true without (ii), then the parameterized clique problem is not fixed-parameter tractable. Thus it is an  $AC^0$  version of  $FPT \neq W[1]$ .

# Outline

1. Parameterized  $AC^0$
2. Some lower bounds
  - ▶ for fpt-approximation of the clique problem.
3. Some upper bounds:
  - ▶ a descriptive characterizations of parameterized  $AC^0$ ,
  - ▶ the color coding technique in parameterized  $AC^0$ .

Parameterized  $AC^0$

## Parameterized problems

### Definition

A **parameterized problem**  $(Q, \kappa)$  consists of a classical problem  $Q \subseteq \Sigma^*$  and a function  $\kappa : \Sigma^* \rightarrow \mathbb{N}$ , the **parameterization**, computable in polynomial time.

### Example

#### $p$ -CLIQUE

*Input:* A graph  $G$  and  $k \in \mathbb{N}$ .

*Parameter:*  $k$ .

*Problem:* Does  $G$  contain a clique of size  $k$ ?

#### $p$ -DOMINATING-SET

*Input:* A graph  $G$  and  $k \in \mathbb{N}$ .

*Parameter:*  $k$ .

*Problem:* Does  $G$  contain a dominating set of size  $k$ ?

## Parameterized AC<sup>0</sup>

Definition (Bannach, Stockhusen, and Tantau, 2015)

A parameterized problem  $(Q, \kappa)$  is in **para-AC<sup>0</sup>** if there exists a family  $(C_{n,k})_{n,k \in \mathbb{N}}$  of circuits such that:

1. The depth of every  $C_{n,k}$  is bounded by a fixed constant.
2.  $|C_{n,k}| \leq f(k) \cdot n^{O(1)}$  for every  $n, k \in \mathbb{N}$ .
3. Let  $x \in \Sigma^*$ . Then  $(x \in Q$  if and only if  $C_{|x|, \kappa(x)}(x) = 1$ ).
4. There is a TM that on input  $(1^n, 1^k)$  computes the circuit  $C_{n,k}$  in time  $g(k) + O(\log n)$ .

Both  $f, g : \mathbb{N} \rightarrow \mathbb{N}$  are computable functions.

## Some equivalent characterizations

### Proposition

Let  $(Q, \kappa)$  be a parameterized problem with  $\kappa$  computable by  $AC^0$ -circuits. Then all the following are equivalent.

(i)  $(Q, \kappa) \in \text{para-}AC^0$ .

(ii) **[ $AC^0$  after a precomputation]** There is a computable function  $pre : \mathbb{N} \rightarrow \Sigma^*$  and  $dlogtime$ -uniform  $AC^0$ -circuits  $(C_n)_{n \in \mathbb{N}}$  such that for  $x \in \Sigma^*$ ,

$$x \in Q \iff C_{|(x, pre(\kappa(x)))|}(x, pre(\kappa(x))) = 1.$$

(iii) **[Eventually in  $AC^0$ ]**  $Q$  is decidable and there is a computable function  $h : \mathbb{N} \rightarrow \mathbb{N}$  and  $dlogtime$ -uniform  $AC^0$ -circuits  $(C_n)_{n \in \mathbb{N}}$  such that for every  $x \in \Sigma^*$  with  $|x| \geq h(\kappa(x))$ ,

$$x \in Q \iff C_{|x|}(x) = 1.$$

## Some Lower Bounds

Theorem (Rossman, 2008)

$p$ -CLIQUE  $\notin$  para-AC<sup>0</sup>.

By appropriate reductions, i.e., para-AC<sup>0</sup>-reductions:

Corollary

1.  $p$ -DOMINATING-SET  $\notin$  para-AC<sup>0</sup>, an AC<sup>0</sup> version of FPT  $\neq$  W[2].
2.  $p$ -WSAT( $\Gamma_{t,d}$ )  $\notin$  para-AC<sup>0</sup> for  $t + d \geq 3$ , an AC<sup>0</sup> version of FPT  $\neq$  W[t].

Inapproximability of  $p$ -CLIQUE by para-AC<sup>0</sup>

A major open problem in parameterized complexity

Can we approximate  $p$ -CLIQUE in fpt time?

## Approximation of $\rho$ -CLIQUE

Let  $\rho : \mathbb{N} \rightarrow \mathbb{R}_{\geq 1}$  be a computable function with nondecreasing and unbounded  $k \mapsto k/\rho(k)$ .

### Definition

An algorithm  $\mathbb{A}$  is a **parameterized approximation for  $\rho$ -CLIQUE with approximation ratio  $\rho$**  if for every graph  $G$  and  $k \in \mathbb{N}$  with  $\omega(G) \geq k$  the algorithm  $\mathbb{A}$  computes a clique  $C$  of  $G$  such that  $|C| \geq k/\rho(k)$ .

$\omega(G)$  is the size of a maximum clique of  $G$ .

### Conjecture

$\rho$ -CLIQUE *has no parameterized approximation for any  $\rho$ .*

Theorem (Chalermsook, Cygany, Kortsarz, Laekhanukit, Manurangsi, Nanongkai, and Trevisan, 2017)

*Under the **gap Exponential Time Hypothesis**,  $p$ -CLIQUE has no parameterized approximation for any  $\rho$ .*

#### Remark

*The **gap Exponential Time Hypothesis** might require the construction of **linear PCP**, which seems to be out of reach at this point.*

## Approximation in para-AC<sup>0</sup>

$\rho$ -GAP $_{\rho}$ -CLIQUE

*Input:* A graph  $G$  and  $k \in \mathbb{N}$  such that either  $k \leq \omega(G)/\rho(\omega(G))$  or  $k > \omega(G)$ .

*Parameter:*  $k$ .

*Problem:* Is  $k \leq \omega(G)/\rho(\omega(G))$ ?

### Lemma

If  $\rho$ -GAP $_{\rho}$ -CLIQUE  $\notin$  FPT, then  $\rho$ -CLIQUE has no parameterized approximation with ratio  $\rho$ .

### Theorem (C. and Flum, 2016)

$\rho$ -GAP $_{\rho}$ -CLIQUE  $\notin$  para-AC<sup>0</sup> for any  $\rho$ .

The proof is based on an AC<sup>0</sup> version of the **planted clique conjecture** with respect to Erdős-Rényi random graphs.

# Erdős-Rényi random graphs

## Definition

Let  $n \in \mathbb{N}$  and  $p \in \mathbb{R}$  with  $0 \leq p \leq 1$ . Then  $G \in \text{ER}(n, p)$  is the Erdős-Rényi random graph on vertex set  $[n]$  constructed by adding every edge  $e \in \binom{[n]}{2}$  independently with probability  $p$ .

## Example

$\text{ER}(n, 1/2)$  is the **uniform distribution** on graphs with vertex set  $[n]$ .

Let  $G \in \text{ER}(n, 1/2)$ . Then the expected  $\omega(G)$  is approximately  $2 \cdot \log n$ .

## Erdős-Rényi random graphs with a planted clique

### Definition

Let  $n \in \mathbb{N}$  and  $p \in \mathbb{R}$  with  $0 \leq p \leq 1$ . Moreover let  $c \in [n]$ . Then  $(G + A) \in \text{ER}(n, p, c)$  is the distribution:

1. Pick  $G \in \text{ER}(n, p)$ .
2. Pick a uniformly random subset  $A \subseteq [n]$  with  $|A| = c$ .
3. Plant in  $G$  a clique  $C(A)$  on  $A$ , thus getting the graph  $G + C(A)$ .

### Example

With high probability, the maximum clique in  $G + C(A)$  with

$$(G + A) \in \text{ER}(n, 1/2, 4 \cdot \log n)$$

is the clique  $C(A)$ .

## The planted clique conjecture

Conjecture (Jerrum, 1992; Kucera, 1995)

For every polynomial time algorithm  $\mathbb{A}$  and for all sufficiently large  $n \in \mathbb{N}$

$$\Pr_{(G+A) \in \text{ER}(n, 1/2, 4 \cdot \log n)} \left[ \mathbb{A}(G + C(A)) \neq A \right] > \frac{1}{2}.$$

That is,  $\mathbb{A}$  fails to find the planted clique with high probability.

## An $AC^0$ version of the planted clique conjecture

Theorem (C. and Flum, 2016)

Let  $k : \mathbb{N} \rightarrow \mathbb{R}^+$  with  $\lim_{n \rightarrow \infty} k(n) = \infty$ , and  $c : \mathbb{N} \rightarrow \mathbb{N}$  with  $c(n) \leq n^\xi$  for some  $0 \leq \xi < 1$ . Then for all  $AC^0$ -circuits  $(C_n)_{n \in \mathbb{N}}$ ,

$$\lim_{n \rightarrow \infty} \Pr_{(G,A) \in ER(n, n^{-1/k(n)}, c(n))} [C_n(G) = C_n(G + C(A))] = 1.$$

Let  $(G, A) \in ER(n, n^{-1/k(n)}, c(n))$ , then

$$\frac{\omega(G + C(A))}{\omega(G)}$$

can be arbitrarily large. Hence

Theorem (C. and Flum, 2016)

$p$ -GAP $_{\rho}$ -CLIQUE  $\notin$  para- $AC^0$ .

# Inapproximability of $\rho$ -DOMINATING-SET by para-AC<sup>0</sup>

Theorem (C. and Lin, 2017)

$\rho$ -GAP $_{\rho}$ -DOMINATING-SET  $\notin$  para-AC<sup>0</sup> for

$$\rho(k) = \frac{\log k}{\omega(\log \log k)}.$$

FPT \ para-AC<sup>0</sup> ≠ ∅

*p*-STCONN

*Input:* A graph  $G$ ,  $s, t \in V(G)$ , and  $k \in \mathbb{N}$ .

*Parameter:*  $k$ .

*Problem:* Does  $G$  contain a path from  $s$  to  $t$  of length  $\leq k$ ?

Theorem (Beame, Impagliazzo, and Pitassi, 1995)

*p*-STCONN is not in parameterized AC<sup>0</sup>, *even on graphs of degree at most 2*.

## Some Upper Bounds

$p$ -VERTEX-COVER

*Input:* A graph  $G$  and  $k \in \mathbb{N}$ .

*Parameter:*  $k$ .

*Problem:* Does  $G$  contain a vertex cover of size  $k$ ?

Theorem (Bannach, Stockhusen, and Tantau, 2015)

$p$ -VERTEX-COVER is in parameterized  $AC^0$ .

Remark

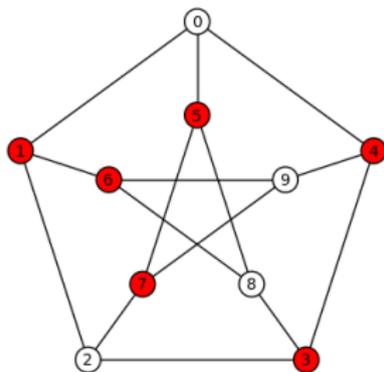
The proof of Bannach et al. is direct by circuits, which can be rephrased in first-order logic by a *descriptive characterization* of  $\text{para-}AC^0$ .

## The $k$ -vertex-cover problem

### Definition

Let  $G$  be a graph and  $k \in \mathbb{N}$ . Then a subset  $C \subseteq V(G)$  is a  $k$ -vertex-cover if

- (i) for every edge  $\{u, v\} \in E(G)$  either  $u \in C$  or  $v \in C$ ,
- (ii) and  $|C| = k$ .



The peterson graph with a 6-vertex-cover.

## $k$ -vertex-cover by FO

$G$  has a  $k$ -vertex-cover  $\iff G \models \psi_k$

$$\text{where } \psi_k = \exists x_1 \cdots \exists x_k \left( \bigwedge_{1 \leq i < j \leq k} x_i \neq x_j \right. \\ \left. \wedge \forall u \forall v (Euv \rightarrow \bigvee_{i \in [k]} (u = x_i \vee v = x_i)) \right).$$

Can we do better?

## Better in what sense?

The **quantifier rank** of

$$\psi_k = \exists x_1 \cdots \exists x_k \left( \bigwedge_{1 \leq i < j \leq k} x_i \neq x_j \right. \\ \left. \wedge \forall u \forall v (Euv \rightarrow \bigvee_{i \in [k]} (u = x_i \vee v = x_i)) \right)$$

is  $\text{qr}(\psi_k) = k + 2$ .

There is an algorithm which checks whether

$$\mathcal{A} \models \varphi$$

in time  $O(|\varphi| \cdot \|\mathcal{A}\|^{\text{qr}(\varphi)})$ .

### Definition

Let  $q \in \mathbb{N}$ . Then  $\text{FO}_q$  is the fragment of FO consisting of all formulas of quantifier rank at most  $q$ .

## By simple Ehrenfeucht-Fraïssé games

### Theorem

*There is no  $\varphi \in \text{FO}_{k-1}$  such that for every graph  $G$*

$$G \text{ has a } k\text{-vertex cover} \iff G \models \varphi.$$

## With arithmetics

Theorem (C. , Flum, and Huang, 2017)

For every  $k \in \mathbb{N}$  there is a  $\psi_k \in \text{FO}_{17}$  such that for every graph  $G$

$$G \text{ has a } k\text{-vertex cover} \iff (G, <, +, \times, \mathbf{0}, \dots, \mathbf{k}') \models \psi_k.$$

Moreover, the mapping is

$$k \mapsto \psi_k$$

is computable (hence, so is  $k \mapsto k'$ ).

## The slicewise definability of the vertex cover problem

### Theorem

$p$ -VERTEX-COVER is *slicewise definable* in  $\text{FO}_{17}$ . That is, for every  $k \in \mathbb{N}$ , the  $k$ th slice of VERTEX-COVER i.e., the  $k$ -vertex-cover problem, is definable by some  $\psi_k \in \text{FO}_{17}$ .

Moreover,  $k \mapsto \psi_k$  is computable.

## The descriptive characterization of $\text{para-AC}^0$

Theorem (C. , Flum, and Huang, 2017)

*Let  $(Q, \kappa)$  be a parameterized problem. Then  $(Q, \kappa)$  is slicewise definable in  $\text{FO}_q$  for some  $q \in \mathbb{N}$  if and only if  $(Q, \kappa) \in \text{para-AC}^0$ .*

## The main theorem

### Theorem

$p$ -VERTEX-COVER is slicewise definable in  $\text{FO}_{17}$ .

## The proof strategy

1. There is a polynomial time algorithm  $\mathbb{K}$  which for every graph  $G$  and  $k \in \mathbb{N}$  computes a graph  $G'$  and  $k'$  such that
  - 1.1  $G$  has  $k$ -vertex-cover if and only if  $G'$  has a  $k'$ -vertex-cover.
  - 1.2  $|V(G')| \leq k^2 + k$  and  $k' \leq k$ .

$\mathbb{K}$  is known as **Buss' kernelization** of VERTEX-COVER.

2. We show that  $\mathbb{K}$  can be implemented in  $\text{FO}_{17}$ .
3. Any class of graphs with at most  $k^2 + k$  vertices can be defined in  $\text{FO}_0$  with the constants  $\mathbf{0}, \dots, \mathbf{k}^2 + \mathbf{k}$ .

## Buss' kernelization

1. If  $v$  is a vertex of degree at least  $k + 1$ , then  $v$  must be in every  $k$ -vertex cover. Thus we can remove all such  $v$  and decrease  $k$  accordingly.
2. Remove all isolated vertices.
3. Let  $G'$  and  $k'$  be the resulting instance. If

$$|V(G')| > k^2 + k \geq k'(k + 1),$$

then  $G'$ , and hence also  $G$ , is a no instance.

## Implementing Buss' kernelization in $FO_{17}$ ?

The main difficulty is how to count in  $FO_{17}$ , e.g. how to identify a vertex  $v$  with degree at least  $k + 1$ .

$$\exists x_1 \cdots \exists x_{k+1} \left( \bigwedge_{1 \leq i < j \leq k+1} x_i \neq x_j \wedge \bigwedge_{i \in [k]} E v x_i \right)$$

would not work.

## Color coding

### Lemma (Alon, Yuster, and Zwick, 1995)

*For every sufficiently large  $n \in \mathbb{N}$ , it holds that for all  $k \leq n$  and for every  $k$ -element subset  $X$  of  $[n]$ , there exists a prime  $p < k^2 \cdot \log_2 n$  and  $q < p$  such that the function  $h_{p,q} : [n] \rightarrow \{0, \dots, k^2 - 1\}$  given by*

$$h_{p,q}(m) := (q \cdot m \pmod{p}) \pmod{k^2}$$

*is injective on  $X$ .*

## Color coding in $\text{FO}_q$

### Corollary

Let  $k \in \mathbb{N}$  and  $\varphi(\bar{x}, y)$  be an FO-formula. Then there exists an FO-formula  $\chi_{\varphi, k}(\bar{x})$  of the form

$$\rho \vee \exists p \exists q \left( \bigvee_{0 \leq i_1 < \dots < i_k < k^2} \bigwedge_{j \in [k]} \exists y ("h_{p,q}(y) = i_j" \wedge \varphi(\bar{x}, y)) \right),$$

such that

1. for every graph  $G$  and  $\bar{u} \in V(G)^{|\bar{x}|}$  there are  $k$  vertices  $v$  in  $G$  satisfying  $\varphi(\bar{u}, v)$  if and only if

$$(G, <, +, \times, \mathbf{0}, \dots, \mathbf{k}^3) \models \chi_{\varphi, k}(\bar{u}),$$

2. and  $\text{qr}(\chi_{\varphi, k}) = \max \{12, \text{qr}(\varphi) + 3\}$ .

## Degree constraints by color coding

Let

$$\varphi(x, y) = E_{xy}.$$

Then for every  $k \in \mathbb{N}$ , every graph  $G$  and  $v \in V(G)$

$$(G, <, +, \times, \mathbf{0}, \dots, \mathbf{k}^3) \models \chi_{\varphi, k}(v) \iff \text{the degree of } v \text{ in } G \text{ is at least } k.$$

Moreover,  $\text{qr}(\chi_{\varphi, k}) = 12$ .

## Recall Buss' kernelization

1. If  $v$  is a vertex of degree  $\geq k + 1$ , then  $v$  must be in every  $k$ -vertex cover. Thus we can remove all such  $v$  and decrease  $k$  accordingly.
2. Remove all isolated vertices.
3. Let  $G'$  and  $k'$  be the resulting instance. If  $|V(G')| > k^2 + k \geq k'(k + 1)$ , then  $G'$ , and hence also  $G$ , is a no instance.

## Corollary

For every  $k \in \mathbb{N}$  and  $k' \leq k$  there are

$$\varphi_{\text{vertex}}(x), \varphi_{\text{edge}}(x, y), \varphi_{\text{kernel}} \in \text{FO}_{17},$$

such that for every graph  $G$  if we define  $G'$  with

$$V(G') := \{v \in V(G) \mid G \models \varphi_{\text{vertex}}(v)\},$$

$$E(G') := \{ \{u, v\} \mid u, v \in V(G'), u \neq v, \text{ and } G \models \varphi_{\text{edge}}(u, v) \},$$

then

$G$  has a  $k$ -vertex-cover

$$\iff (G, <, +, \times, \mathbf{0}, \dots) \models \varphi_{\text{kernel}} \text{ and } G' \text{ has a } k' \text{-vertex-cover.}$$

Moreover,  $|V(G')| \leq k^2 + k$  if  $(G, <, +, \times, \mathbf{0}, \dots) \models \varphi_{\text{kernel}}$ .

## The final step

### Lemma

Let  $H$  be a graph with  $|V(H)| = k$ . Then there is an  $\text{FO}_0$ -sentence  $\varphi_H$  such that for every graph  $G$

$$G \text{ and } H \text{ are isomorphic} \iff (G, \mathbf{0}, \dots, \mathbf{k}) \models \varphi_H.$$

### Corollary

Let  $K$  be a *finite* class of graphs closed under isomorphisms. Then there is an  $\text{FO}_0$ -sentence  $\varphi_K$  such that for every graph  $G$

$$G \in K \iff (G, \mathbf{0}, \dots) \models \varphi_K.$$

Recall:

### Corollary

For every  $k \in \mathbb{N}$  and  $k' \leq k$  there are  $\varphi_{\text{vertex}}(x)$ ,  $\varphi_{\text{edge}}(x, y)$ ,  $\varphi_{\text{kernel}} \in \text{FO}_{17}$ , such that for every graph  $G$  if we define  $G'$  with

$$\begin{aligned}V(G') &:= \{v \in V(G) \mid G \models \varphi_{\text{vertex}}(v)\}, \\E(G') &:= \{\{u, v\} \mid u, v \in V(G'), u \neq v, \text{ and } G \models \varphi_{\text{edge}}(u, v)\},\end{aligned}$$

then

$G$  has a  $k$ -vertex-cover  $\iff G \models \varphi_{\text{kernel}}$  and  $G'$  has a  $k'$ -vertex-cover

Moreover,  $|V(G')| \leq k^2 + k$  if  $G \models \varphi_{\text{kernel}}$ .

But how to define  $0, 1, \dots$  of  $G'$  in  $G$ ?

## Final step by color-coding

What we really need to define a finite graph is to say, e.g.,

there is an edge between the first and the twelfth vertices.

So if we know the subgraph  $G'$  of  $G$  constructed by Buss' kernelization, and its size  $\ell$ , then for some  $p$  and  $q$ , and  $0 \leq i_1 < \dots < i_\ell < \ell^2$  we have

$$h_{p,q}(V(G')) = \{i_1, \dots, i_\ell\}.$$

Thus we can say, the first, the second,  $\dots$ , vertices in  $G'$  in FO<sub>17</sub>.

## Hitting set problems with bounded hyperedge size

### $d$ -HITTING-SET

*Input:* A hypergraph  $H$  in which every hyperedge has size at most  $d$  and  $k \in \mathbb{N}$ .

*Problem:* Does  $G$  contain a vertex set of size at most  $k$  such that it intersects every hyperedge?

### Theorem

Let  $d \in \mathbb{N}$ . Then  $d$ -HITTING-SET is slicewise definable in  $\text{FO}_q$  with  $q = O(d^2)$ .

## What makes vertex-cover/ $d$ -hitting-set slicewise definable?

Let  $X$  be a **set variable**. Then  $p$ -VERTEX-COVER is **Fagin-defined** by

$$\varphi(X) := \forall x \forall y (\neg E_{xy} \vee X_x \vee X_y).$$

More precisely, for every graph  $G$  and  $S \subseteq V(G)$

$$S \text{ is a vertex cover of } G \iff G \models \varphi(S).$$

$p$ -CLIQUE is Fagin-defined by

$$\forall x \forall y (x = y \vee E_{xy} \vee \neg X_x \vee \neg X_y).$$

It is not slicewise definable in any  $\text{FO}_q$  [Rossman, 2008].

$p$ -DOMINATING-SET is Fagin-defined by

$$\forall x \exists y (X_y \wedge (x = y \vee E_{xy})).$$

It is not slicewise definable in any  $\text{FO}_q$  [C. and Flum, 2016].

## A meta-theorem

Theorem (C. , Flum, and Huang, 2017)

Let  $\varphi(X)$  be a formula in which *the set variable  $X$  does not occur in the scope of an existential quantifier or negation symbol*. Then the problem Fagin-defined by  $\varphi(X)$  is slicewise definable in  $\text{FO}_q$ , where  $q$  only depends on  $\varphi$ .

## Another meta-theorem

Theorem (C. and Flum, 2017)

Let  $\mathbf{K}$  be a class of graphs of *bounded tree depth*. Then

$p$ -MC( $\mathbf{K}$ , MSO)

*Input:* A graph  $G \in \mathbf{K}$  and  $\varphi \in \text{MSO}$ .

*Parameter:*  $|\varphi|$ .

*Problem:* Decide whether  $G \models \varphi$ .

is in  $\text{para-AC}^0$ .

If  $\mathbf{K}$  has unbounded tree depth, and is *closed under subgraphs*, then  $p\text{-MC}(\mathbf{K}, \text{FO}) \notin \text{para-AC}^0$ .

## Some lower bounds

Building on [Håstad, 1988],

Theorem (C. , Flum, and Huang, 1988)

*Let  $q \in \mathbb{N}$ . Then there is a problem slicewise definable in  $\text{FO}_{q+1}$  but not in  $\text{FO}_q$ .*

## Conclusions

1. As in classical  $AC^0$ -complexity, we can prove many unconditional para- $AC^0$  lower bounds. They might increase our confidence in those parameterized complexity assumptions.
2. Proving classical  $AC^0$ -lower bounds likely leads to lower bounds for para- $AC^0$ . Conversely, proving lower bounds for para- $AC^0$  might require proving optimal  $AC^0$ -lower bounds.
3. Can we go beyond  $AC^0$ , e.g., circuits with **modular counting gates**?