

# Higher-Order Fixpoint Logic

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- 1 Order-0 Fixpoint Logic, aka the Modal  $\mu$ -Calculus
- 2 Higher-Order Fixpoint Logic
- 3 HFL's Model Theory
  - Complexity
  - Connection to Model Checking HORS
  - An Operational Semantics
  - Expressiveness
- 4 Further Work

## The Modal $\mu$ -Calculus

multi-modal logic + extremal fixpoint quantifiers

$$\varphi ::= q \mid X \mid \varphi \vee \psi \mid \neg \varphi \mid \langle a \rangle \varphi \mid \mu X. \varphi$$

usual abbreviations:  $\varphi \wedge \psi$ ,  $\varphi \rightarrow \psi$ ,  $[a]\varphi := \neg \langle a \rangle \neg \varphi$ ,  
 $\nu X. \varphi := \neg \mu X. \neg \varphi [\neg X / X]$

interpreted over transition system

$$\mathcal{T} = (S, \{\xrightarrow{a} \mid a \in A\}, L : S \rightarrow 2^P)$$

semantics usually given as  $\llbracket \varphi \rrbracket_\rho^{\mathcal{T}} \subseteq S$  with Knaster-Tarski

## Examples

typical  $\mathcal{L}_\mu$ -definable properties:

- $\nu X. \langle a \rangle X$

There is an infinite  $\langle a \rangle$ -path

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- $\nu X. \langle a \rangle X$
- $\mu X. p \vee (\diamond \text{tt} \wedge \square X)$  ( $\equiv \text{AF}p$  in CTL)

on every path somewhere  $p$

## Examples

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- $\nu X. \langle a \rangle X$
- $\mu X. p \vee (\diamond \text{tt} \wedge \square X)$  ( $\equiv \text{AF}p$  in CTL)
- $\mu X. [a]X$

all  $\Leftarrow$ -paths are finite

## Examples

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- $\nu X. \langle a \rangle X$
- $\mu X. p \vee (\diamond \text{tt} \wedge \square X)$  ( $\equiv \text{AF}p$  in CTL)
- $\mu X. [a]X$
- $\nu X. \mu Y. (p \wedge \diamond X) \vee \diamond Y$

there is a path on which  $p$  holds  
infinitely often

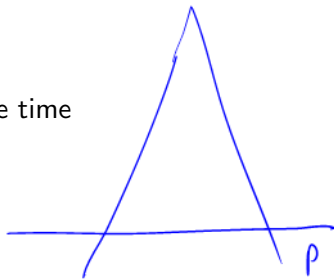
## The Expressive Power of $\mathcal{L}_\mu$

Theorem 1 (Emerson/Jutla '88; Janin/Walukiewicz '96)

A bisimulation-invariant tree language is  $\mathcal{L}_\mu$ -definable iff it is *regular*

typical properties that are **not**  $\mathcal{L}_\mu$ -definable:

- **uniform inevitability**,  
something holds on all paths at the same time





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typical properties that are **not**  $\mathcal{L}_\mu$ -definable:

- **uniform inevitability**,  
something holds on all paths at the same time
- unlimited **counting** like IO-buffer properties

Comp. to CFL  $\{w \in \{a,b\}^* \mid \forall v \preceq w : |v|_a \geq |v|_b\}$   
(Petrík)

$S \rightarrow b \mid aSS$  generates minimal counterexamples

## The Expressive Power of $\mathcal{L}_\mu$

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typical properties that are **not**  $\mathcal{L}_\mu$ -definable:

- **uniform inevitability**,  
something holds on all paths at the same time
- unlimited **counting** like IO-buffer properties
- **repetitions** of unbounded sequences of actions

comp. to CSL  $\{ww \mid w \in \{a,b\}^*\}$

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## Types

we need a simple **type system** with **variances**

$$\tau ::= \text{Pr} \mid \tau^v \rightarrow \tau$$

$$v ::= + \mid - \mid 0$$

because of right-associativity:  $\tau = \tau_1^{v_1} \rightarrow \dots \rightarrow \tau_m^{v_m} \rightarrow \text{Pr}$

for a partial order  $V = (M, \sqsubseteq)$  let

$$V^+ := (M, \sqsubseteq) \quad V^- := (M, \supseteq) \quad V^0 := (M, =)$$

each type induces a **complete lattice** over **transition system**  $\mathcal{T} = (\mathcal{S}, \rightarrow, L)$  using **pointwise orderings**  $\sqsubseteq$

$$\llbracket \text{Pr} \rrbracket := (2^{\mathcal{S}}, \sqsubseteq)$$

$$\llbracket \sigma^v \rightarrow \tau \rrbracket := (\llbracket \sigma \rrbracket^v \rightarrow_{\text{monotone}} \llbracket \tau \rrbracket, \sqsubseteq)$$

## Formulas

HFL = modal  $\mu$ -calculus + simply typed  $\lambda$ -calculus

[Viswanathan<sup>2</sup> '04]

$$\varphi ::= q \mid X \mid \varphi \vee \varphi \mid \neg \varphi \mid \langle a \rangle \varphi \mid \mu(X : \tau). \varphi \mid \lambda(X^\nu : \tau). \varphi \mid \varphi \varphi$$

well-formedness condition given by **type system**

needed to exclude  $\langle a \rangle q \langle b \rangle p$ ,  $\mu X. \neg X$ , etc.

## Negation is Trickier

why not simple condition as in the modal  $\mu$ -calculus

*every fixpoint variable occurs under an even number of negation symbols in its defining fixpoint formula*

e.g.  $\neg\mu X.\neg\mu Y.\langle a \rangle\neg X \vee \langle b \rangle Y$

$\lambda$ -abstraction can shift negations into different branches of the syntax tree, e.g.  $\mu X.(\lambda Y.\neg Y) X$

this formula is **not well-formed**

## The Typing Rules

$\varphi$  well-formed iff  $\emptyset \vdash \varphi : \text{Pr}$  is **derivable**

$$\frac{}{\Gamma \vdash q : \text{Pr}}$$

$$\frac{v \in \{0, +\}}{\Gamma, X^v : \tau \vdash X : \tau}$$

$$\frac{\Gamma^- \vdash \varphi : \text{Pr}}{\Gamma \vdash \neg \varphi : \text{Pr}}$$

$$\frac{\Gamma \vdash \varphi : \text{Pr} \quad \Gamma \vdash \psi : \text{Pr}}{\Gamma \vdash \varphi \vee \psi : \text{Pr}}$$

$$\frac{\Gamma \vdash \varphi : \text{Pr}}{\Gamma \vdash \langle a \rangle \varphi : \text{Pr}}$$

$$\frac{\Gamma, X^v : \sigma \vdash \varphi : \tau}{\Gamma \vdash \lambda(X^v : \sigma). \varphi : (\sigma^v \rightarrow \tau)}$$

$$\frac{\Gamma \vdash \varphi : (\sigma^+ \rightarrow \tau) \quad \Gamma \vdash \psi : \sigma}{\Gamma \vdash (\varphi \psi) : \tau}$$

$$\frac{\Gamma \vdash \varphi : (\sigma^- \rightarrow \tau) \quad \Gamma^- \vdash \psi : \sigma}{\Gamma \vdash (\varphi \psi) : \tau}$$

$$\frac{\Gamma \vdash \varphi : (\sigma^0 \rightarrow \tau) \quad \Gamma \vdash \psi : \sigma \quad \Gamma^- \vdash \psi : \sigma}{\Gamma \vdash (\varphi \psi) : \tau}$$

$$\frac{\Gamma, X^+ : \tau \vdash \varphi : \tau}{\Gamma \vdash \mu(X : \tau). \varphi : \tau}$$

## Semantics of HFL

semantics of formula  $\varphi$  with  $\emptyset \vdash \varphi : \tau$  is element of  $\llbracket \tau \rrbracket$  over transition system  $\mathcal{T} = (S, \rightarrow, L)$

$$\llbracket \Gamma \vdash q : \text{Pr} \rrbracket_{\eta}^{\mathcal{T}} = \{s \in S \mid q \in L(s)\}$$

$$\llbracket \Gamma \vdash X : \tau \rrbracket_{\eta}^{\mathcal{T}} = \eta(X)$$

$$\llbracket \Gamma \vdash \neg \varphi : \text{Pr} \rrbracket_{\eta}^{\mathcal{T}} = S \setminus \llbracket \Gamma \vdash \varphi : \text{Pr} \rrbracket_{\eta}^{\mathcal{T}}$$

~~$$\llbracket \Gamma \vdash \neg \varphi : \sigma^{\vee} \rightarrow \tau \rrbracket_{\eta}^{\mathcal{T}} = f \in \llbracket \sigma^{\vee} \rightarrow \tau \rrbracket \text{ s.t. } \bar{f} = \llbracket \Gamma \vdash \varphi : \sigma^{\vee} \rightarrow \tau \rrbracket_{\eta}^{\mathcal{T}}$$~~

$$\llbracket \Gamma \vdash \varphi \vee \psi : \text{Pr} \rrbracket_{\eta}^{\mathcal{T}} = \llbracket \Gamma \vdash \varphi : \text{Pr} \rrbracket_{\eta}^{\mathcal{T}} \cup \llbracket \Gamma \vdash \psi : \text{Pr} \rrbracket_{\eta}^{\mathcal{T}}$$

$$\llbracket \Gamma \vdash \langle a \rangle \varphi : \text{Pr} \rrbracket_{\eta}^{\mathcal{T}} = \{s \in S \mid s \xrightarrow{a} t \text{ for some } t \in \llbracket \Gamma \vdash \varphi : \text{Pr} \rrbracket_{\eta}^{\mathcal{T}}\}$$

$$\llbracket \Gamma \vdash \lambda(X^{\vee} : \sigma). \varphi : \sigma^{\vee} \rightarrow \tau \rrbracket_{\eta}^{\mathcal{T}} = f \in \llbracket \sigma^{\vee} \rightarrow \tau \rrbracket \text{ s.t. } \forall x \in \llbracket \sigma \rrbracket$$

$$f x = \llbracket \Gamma, X^{\vee} : \sigma \vdash \varphi : \tau \rrbracket_{\eta[X \mapsto x]}^{\mathcal{T}}$$

$$\llbracket \Gamma \vdash \varphi \psi : \tau \rrbracket_{\eta}^{\mathcal{T}} = \llbracket \Gamma \vdash \varphi : \sigma^{\vee} \rightarrow \tau \rrbracket_{\eta}^{\mathcal{T}} \llbracket \Gamma \vdash \psi : \sigma \rrbracket_{\eta}^{\mathcal{T}}$$

$$\llbracket \Gamma \vdash \mu(X : \tau) \varphi : \tau \rrbracket_{\eta}^{\mathcal{T}} = \prod \{x \in \llbracket \tau \rrbracket \mid \llbracket \Gamma, X^+ : \tau \vdash \varphi : \tau \rrbracket_{\eta[X \mapsto x]}^{\mathcal{T}} \sqsubseteq_{\tau} x\}$$



## Examples

what properties are expressed by the following formulas?

$(\mu F. \lambda X. X \vee \langle a \rangle (F \langle b \rangle X)) \ \text{tt}$

$\langle a^* b^* \rangle \text{tt}$

## Examples

what properties are expressed by the following formulas?

$(\mu F. \lambda X. X \vee (F \square X)) \text{ ff}$

$\text{ff} \vee \square \text{ff} \vee \square \square \text{ff} \vee \dots$

i.e. finite  
balanced tree

not the same as

$\mu X. \square X$

## Examples

what properties are expressed by the following formulas?

$$\varphi_{\text{word}} := \neg \bigvee_{a \neq b} (\mu F. \lambda X, Y. X \wedge Y \vee (F \diamond X \diamond Y)) \langle a \rangle \text{tt} \langle b \rangle \text{tt}$$

$$\neg \bigvee_{a \neq b} \left( \langle a \rangle \text{tt} \wedge \langle b \rangle \text{tt} \vee \langle a \rangle \text{tt} \wedge \langle a \rangle \text{tt} \wedge \langle b \rangle \text{tt} \vee \langle a \rangle \text{tt} \wedge \langle a \rangle \text{tt} \wedge \langle a \rangle \text{tt} \wedge \langle b \rangle \text{tt} \vee \dots \right)$$

There are no  $a \neq b$  s.t. both can be seen at the same distance, i.e. all paths are prefixes of one word

## Examples

what properties are expressed by the following formulas?

$$(\mu F. \lambda g, g', g''. g \circ g' \circ g'' \vee (F g \circ \langle a \rangle g' \circ \langle b \rangle g'' \circ \langle c \rangle)) \textit{id id id tt}$$

where  $\textit{id} := \lambda X. X$ ,  $\langle a \rangle := \lambda X. \langle a \rangle X$ , and  $f \circ g := \lambda X. f (g X)$

$$\langle a^n b^n c^n \rangle \textit{tt}$$

## Examples

what properties are expressed by the following formulas?

$(\nu F. \lambda X. [b]X \wedge [a](F (F X))) \text{ ff}$

no buffer underflow ( $b = \text{out}, a = \text{in}$ )

comp. to CFG  $S \rightarrow b \mid aSS$

## Examples

what properties are expressed by the following formulas?

$$(\mu F. \lambda g. g \circ g \vee \bigvee_{a \in \Sigma} (F g \circ \langle a \rangle)) \text{ id } \text{tt}$$

$$\bigvee_{w \in \Sigma^*} \langle ww \rangle \text{tt}$$

## Examples

what properties are expressed by the following formulas?

$\psi_m \psi_{m-1} \dots \psi_1 \diamond \Box \text{ff}$  where  $\psi_i := \lambda F. \lambda X. F (F X)$

Here is a maximal path of length  $2^{2^{\dots^2}}$



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## Goals

goal: understand HFL's **model theory** (and maybe even proof theory) as well as that of modal  $\mu$ -calculus

- complexity of model checking / satisfiability checking
- an operational semantics / computational model for HFL, e.g. **tree automata, model checking games**
  - useful: negation normal form
- connection to model checking  $\mu$ -calculus over higher-order recursion schemes
  - understanding **fixpoint alternation**
- expressive power

## Fragments by Type Order

type order:  $ord(\tau_1 \rightarrow \dots \rightarrow \tau_m \rightarrow \text{Pr}) = \max\{1 + ord(\tau_i)\}$

$\text{HFL}^{k,m}$  = well-formed formulas using type annotations of order at most  $k$  and at most  $m$  arguments

recall examples above:

order 1: “balanced tree”, “bisimilarity to a word”, <sup>(1)</sup> all CFL path properties, <sup>(2)</sup> some CSL path properties

(1) “there is a path whose label belongs to CFL  $L$ ” possible  
 “all paths belong to  $L$ ” is not possible

(2) e.g.  $\varphi_{\text{word}} \wedge \langle a^n b^n c^* \rangle_{tt} \wedge \langle a^* b^n c^n \rangle_{tt}$   
 but does not just say  $\langle a^n b^n c^n \rangle_{tt}$ !

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recall examples above:

order 1: “balanced tree”, “bisimilarity to a word”, all CFL path properties, some CSL path properties

order 2: (all?) CSL path properties *this is probably wrong*  
*there does not seem to be a “destructing” trick dual to the trick of building up formulas in arguments, see examples above*

## Fragments by Type Order

type order:  $ord(\tau_1 \rightarrow \dots \rightarrow \tau_m \rightarrow \text{Pr}) = \max\{1 + ord(\tau_i)\}$

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recall examples above:

order 1: “balanced tree”, “bisimilarity to a word”, all CFL path properties, some CSL path properties

order 2: (all?) CSL path properties

order  $k$ : measure path lengths up to  $2^{2^{\dots^{2^n}}}$

## Model Checking HFL

Theorem 2 (Axelsson/L./Somla '07)

For  $k \geq 1, m \geq 0$ : model checking  $HFL^{k,m}$  is in ***k-EXPTIME***.

PROOF: consider **height** of lattices  $\llbracket \tau \rrbracket$ :

$$\text{height}(\tau_1 \rightarrow \dots \rightarrow \tau_m \rightarrow \text{Pr}) = (n+1) \cdot \prod_{i=1}^m \llbracket \tau_i \rrbracket$$

with

$$\llbracket \tau_1 \rightarrow \dots \tau_m \rightarrow \text{Pr} \rrbracket = 2^n \cdot \prod_{i=1}^m \llbracket \tau_i \rrbracket$$

$\rightsquigarrow$  naïve bottom-up evaluation in time dominated by lattice height



## Model Checking: Lower Bounds

### Theorem 3 (Axelsson/L./Somla '07)

*Model checking  $HFL^k$  is  $k$ -EXPTIME-hard (even its data complexity).*

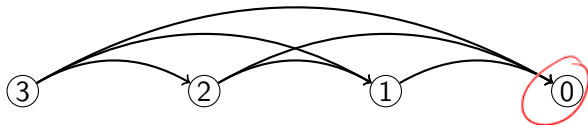
PROOF IDEA: reduction from the word problem for alternating  $(k-1)$ -EXPSpace Turing machines

main ingredients:

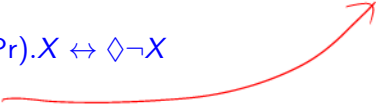
- representation of large numbers by (lexicographically ordered) functions
- stepwise counting in HFL

## Stepwise Counting in HFL

consider the following transition system

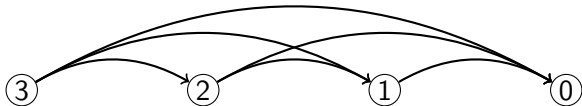


let  $inc := \lambda(X : Pr). X \leftrightarrow \Diamond \neg X$

what is  $inc(\emptyset)$ ? 

## Stepwise Counting in HFL

consider the following transition system



let  $inc := \lambda(X : Pr). X \leftrightarrow \Diamond \neg X$

what is  $inc(\emptyset)$ ,  $inc^k(\emptyset)$  for  $k > 1$ ?

*creates 4-bit binary representation of 4*

principle extendable to higher orders using tests for equality, less-than, greater-than

$\rightsquigarrow$  simulate run of space-bounded Turing machines



## Undecidability of Satisfiability

### Theorem 4

Satisfiability for  $HFL^1$  is *undecidable* (at least  $\Sigma_1^1$ -hard)

follows from undecidability of Fixpoint Logic with Chop

[Müller-Olm, '99] STACS '99 and embedding into  $HFL^1$  [Viswanathan<sup>2</sup>, '04] CONCUR 2004

undecidability not hard to see:

$$\varphi_{\text{word}} \wedge \bigvee_{w \in L(G_1)} \langle w \rangle_{\text{tt}} \wedge \bigvee_{w \in L(G_2)} \langle w \rangle_{\text{tt}}$$

expresses non-emptiness of intersection between CFGs  $G_1$  and  $G_2$

## No Finite Model Property

decidability of model checking and  $\Sigma_1^1$ -hardness of satisfiability entails loss of finite model property

also possible to see directly:

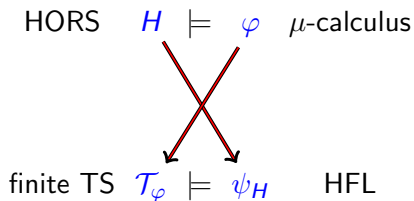
- $\langle a \rangle \text{tt}$
- $\nu X.[a](\langle b \rangle \text{tt} \wedge \langle a \rangle \text{tt} \wedge X)$
- every state reachable by  $a^n b^m$  with  $m < n$  has a  $b$ -successor
- every state reachable by  $a^n b^n$  is a dead-end

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# MCHO vs. HOMC

∈ [Conjecture, . . . , Theorem] [Lozes]

Model checking higher-order recursion schemes is polynomially reducible to model checking HFL on finite transition systems.



other direction seems not to be possible: how to handle **fixpoint alternation**?

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## Negation Elimination

**Def.:** formulas in **NNF** use negation only in front of atomic propositions

Theorem 5 (Lozes '15) **FICS 2015**

*Every  $HFL^{k,m}$  formula is equivalent to an  $HFL^{k,2m}$ -formula (of quadratic size) in NNF.*

PROOF IDEA: **monotonisation**; double and inverse arguments

**Ex.:**

$$(\nu F. \lambda X. (X \leftrightarrow [a]X) \wedge (F [a]X)) p$$

becomes

$$(\nu F. \lambda X. \lambda \bar{X}. (\bar{X} \vee [a]X) \wedge (X \vee \langle a \rangle \bar{X}) \wedge (F [a]X \langle a \rangle \bar{X})) p \neg p$$

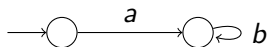
## Parity vs. Stair-Parity Conditions

another difference to the  $\mu$ -calculus: model checking is **not** a **parity game**

**Ex.:** consider

$$(\mu F. \lambda X. \langle b \rangle X \vee \langle a \rangle \nu G. F \ G) \ \text{tt}$$

on



**Def.:** let  $\rho = \Delta_0, \Delta_1, \Delta_2, \dots \in ([k]^+)^{\omega}$  for some  $k \in \mathbb{N}$  such that for all  $i$ :

- $\Delta_{i+1} = \Delta_i \cdot j$ , **or**
- $\Delta_{i+1} \cdot j = \Delta_i$

for some  $j$  (i.e. an  $\omega$ -word of priority stacks)

**stair-parity** condition is parity condition evaluated on  $\lim \rho$  (if it exists)

## An Operational Semantics for HFL

this part done by Florian Bruse

proposed automaton model: **Alternating Parity Krivine Automata** (APKA)

- **alternation** for Boolean and modal operators ( $\vee, \wedge, \langle a \rangle, [b]$ )
- (stair-) **parity** condition for fixpoints
- **Krivine Abstract Machine** for higher-order features

challenge: get acceptance condition right, i.e. synchronise parity condition with Krivine machine



## Alternating Parity Krivine Automata

APKA of index  $m$  is  $\mathcal{A} = (\mathcal{X}, \delta, I, \Lambda, (\tau_X)_{X \in \mathcal{X}})$  where

- finite set of (fixpoint) **states**  $\mathcal{X} = \{X_1, \dots, X_n\}$
- **priority** function  $\Lambda: \mathcal{X} \rightarrow [1, m]$ , resp.  $[0, m - 1]$
- **transition** function  $\delta: X \mapsto \varphi_X$ , generated from

$$\psi ::= P \mid \neg P \mid \psi \wedge \psi \mid \psi \vee \psi \mid \langle a \rangle \psi \mid [a] \psi \mid f_i^X \mid X' \mid (\psi \psi)$$

where  $f_i^X: \tau_i^X$  for  $i \leq n_X$  and  $\varphi_X: \tau_X$ .

- assignment of argument and value types

$$\tau_X = \tau_1^X \rightarrow \dots \rightarrow \tau_{n_X}^X \rightarrow \tau_{n_X+1}^X$$

- $I \in \mathcal{X}$  initial state with  $\tau_I = \text{Pr}$

state space is  $\mathcal{Q} = \mathcal{X} \cup \bigcup_{X \in \mathcal{X}} \text{sub}(\delta(X))$

## Environments and Closures

**environments** handle variable lookup; assume **unique names** given by indices

$$e ::= e_0 \mid e_i = (f_1^X \mapsto (\psi_1, e_{i_1}), \dots, f_{n_X}^X \mapsto (\psi_n, e_{i_n}), e')$$

where indices of  $e_j$  and  $e$  are **strictly smaller** than  $i$ ;  $\psi_i \in \mathcal{Q}$ ,  $X \in \mathcal{X}$ ,  $n = n_X$ .

$e'$  is **parent environment**

$(\psi, e)$  called **closure**

difference to original Krivine Abstract Machine: assign all variables of a fixpoint at a time, no variable lookup in parent

variable **lookup**:

$$e_i(f) = \begin{cases} (\psi_j, e_j) & , \text{ if } f = f_j^X \\ \text{undefined} & , \text{ otherwise} \end{cases}$$

## Configurations

APKA accept LTS; explained in terms of 2-player game on configurations of the form

$$C = (s, (\psi, e), e', \Gamma, \mathcal{E}, \Delta)$$

where

- $s$  is current **state** in LTS
- $(\psi, e)$  current closure with  $\psi \in \mathcal{Q}$ ,  $e \in \mathcal{E}$  environment
- $e'$  distinguished environment (point of current computation)
- $\Gamma = (\psi_n, e_{i_n}), \dots, (\psi_1, e_{i_1})$  stack of closures
- $\mathcal{E}$  set of environments
- $\Delta$  stack of priorities

only use well-formed configurations (all environments defined etc.)

## Acceptance of APKA

run over  $\mathcal{T}$ ,  $s_0$  starts in  $(s_0, (I, e_0), e_0, \epsilon, \{e_0\}, \epsilon)$

game played between **V** and **R**:

- players move as per the transition relation (below)
- automaton accepts structure if **V** wins
- player who gets stuck loses
- infinite plays  $\rightsquigarrow$  stair-parity condition on sequence of priority stacks

transition from  $(s, (\psi, e), e', \Gamma, \mathcal{E}, \Delta)$  depending on  $\psi$

- $\psi = P$  or  $\psi = \neg P$ : **V** wins iff  $\mathcal{T}, s \models \psi$
- $\psi = \psi_1 \vee \psi_2$ : **V** chooses  $i$ , continue at  $(s, (\psi_i, e), e', \Gamma, \mathcal{E}, \Delta)$
- $\psi = [a]\psi'$ : **R** chooses  $s \xrightarrow{a} t$ , cont. at  $(t, (\psi', e), e', \Gamma, \mathcal{E}, \Delta)$
- ...

## More Game Moves

- $\psi = (\psi_1 \psi_2)$ : continue at  $(s, (\psi_1, e), e', \Gamma \cdot (\psi_2, e), \mathcal{E}, \Delta)$
- $\psi = X$ : continue  $(s, (\delta(X), e''), e'', \Gamma', \mathcal{E} \cup \{e''\}, \Delta \cdot \Lambda(X))$   
 where  $\Gamma = \Gamma', C_1, \dots, C_{n_X}$  and  
 $e'' = (f_1^X \mapsto C_1, \dots, f_{n_X}^X \mapsto C_{n_X}, e')$
- $\psi = f$  not of ground type: go to  $(s, e(f), e', \Gamma, \mathcal{E}, \Delta)$
- $\psi = f$  of ground type and  $e \neq e'$ : go to  $(s, (f, e), e'', \Gamma, \mathcal{E}, \Delta')$   
 where  $e''$  is parent of  $e'$ ,  $\Delta = \Delta' \cdot i$  for some  $i$
- $\psi = f$  of ground type and  $e = e'$ : go to  $(s, e(f), e, \Gamma, \mathcal{E}, \Delta)$

special role for ground type variables: undo priorities one at a time until “caller” is reached

## Example

$$\mathcal{X} = \{I, X, A, Y\}, \quad \Lambda(I) = \Lambda(X) = \Lambda(A) = \Lambda(Y) = 1,$$

$$\tau_I = \text{Pr}, \quad \tau_X = \tau_Y = \text{Pr} \rightarrow \text{Pr}, \quad \tau_A = (\text{Pr} \rightarrow \text{Pr}) \rightarrow \text{Pr} \rightarrow \text{Pr}$$

$$\begin{aligned} \delta(I) &= && \epsilon \mapsto (X \ Y) \\ \delta(X) &= && f: \text{Pr} \rightarrow \text{Pr} \mapsto (f \ P) \vee (X \ (A \ f)) \\ \delta(A) &= && g: \text{Pr} \rightarrow \text{Pr}, y: \text{Pr} \mapsto \Box(g \ y) \\ \delta(Y) &= && x: \text{Pr} \mapsto x \end{aligned}$$

APKA  $\mathcal{A} = (\mathcal{X}, \Lambda, I, \delta, (\tau_X)_{X \in \mathcal{X}})$  defines **uniform inevitability** (in complicated way)

as HFL<sup>2</sup> formula:  $(\mu X. \lambda f. (f \ P) \vee (X \ (\lambda z. \Box(f \ z)))) (\lambda x. x)$

expanded with extra fixpoint variables to name all subformulas:

$$\mu I. (\mu X. \lambda f. (f \ P) \vee (X \ ((\mu A. \lambda g. \lambda y. \Box(g \ y)) \ f))) \mu Y. \lambda x. x$$

## Translation from HFL to APKA

- ① **mask**  $\lambda$ -abstraction not directly after fixpoints:  $\lambda x.\varphi$  becomes  $\mu X.\lambda x.\varphi$
- ② **remove free**  $\lambda$ -variables from fixpoints:  $\sigma X.\varphi$  with  $g \in \text{free}(\varphi)$  becomes  $(\sigma X.\lambda g'.\varphi[g'/g, (X g')/X]), g$
- ③ make **types explicit**:  $\sigma X.\lambda f_1, \dots, \lambda f_n.\varphi$  with  $\varphi: \tau_1 \rightarrow \dots \rightarrow \tau_n \rightarrow \text{Pr}$  becomes  $\sigma X.\lambda f_1, \dots, \lambda f_n, \lambda g_1, \dots, \lambda g_n.(\dots(\varphi g_n)\dots g_1)$  with  $g_i: \tau_i$
- ④ choose **priority function** compatible with subformula relationship.

## Fixpoint Alternation

higher-order does not conquer fixpoint alternation

### Theorem 6 (Bruse, (subm.))

For every  $k \geq 1$  and every  $m \geq 2$  there is an APKA  $\mathcal{A}_{k,m}$  of order 1 and index  $m$  that is not equivalent to any APKA of order  $k$  and index  $< m$ .

PROOF IDEA: see run of order- $k$  and index- $m$  APKA as tree again; tree language is definable; Banach's Fixpoint Theorem yields inexpressibility result, cmp. [Arnold, '99]

link to loss of small model property: fixpoint alternation hierarchy collapses over finite structures within HFL (but not necessarily within each HFL<sup>k</sup>!)

$$\nu X.\varphi(X) \equiv (\mu F.\lambda X.(X \wedge \Box^*(X \rightarrow \varphi(X))) \vee (F \varphi(X))) \text{ tt}$$



- 1 Order-0 Fixpoint Logic, aka the Modal  $\mu$ -Calculus
- 2 Higher-Order Fixpoint Logic
- 3 HFL's Model Theory
  - Complexity
  - Connection to Model Checking HORS
  - An Operational Semantics
  - Expressiveness
- 4 Further Work

## Polyadic Model Logics

$\mu$ -calculus and HFL (etc.) are **monadic**: they define a **set** of states in each TS

**polyadic** modal logics are interpreted in **tuples**  $\rightsquigarrow$  define **relations** of predetermined arity

syntactic solution: use **tokens** / names  $1, 2, \dots, r$

classic example

[Andersen, '94; Otto, '99]

$$\nu X. \left( \bigwedge_{p \in P} p(1) \leftrightarrow p(2) \right) \wedge \bigwedge_{a \in \Sigma} [a]_1 \langle a \rangle_2 X \wedge [a]_2 \langle a \rangle_1 X$$

**defines** bisimilarity  $\sim$

## Declarative Complexity Theory

note: polyadic HFL<sup>0</sup> (PHFL<sup>0</sup>) is polyadic  $\mu$ -calculus

Theorem 7 (Otto, '99) TCS 224(1-2): 237-265

$PHFL^0 \equiv PTIME/\sim$

Theorem 8 (L./Lozes, '14) FIP TCS 2014

- $PHFL^1 \equiv EXPTIME/\sim$
- tail-recursive  $PHFL^1 \equiv PSPACE/\sim$
- tail-recursive  $PHFL^0 \equiv NLOGSPACE/\sim$  on non-bisimilarity partially ordered structures

conjecture: for  $k \geq 1$

- $PHFL^k \equiv k\text{-}EXPTIME/\sim$
- tail-recursive  $PHFL^k \equiv (k-1)\text{-}EXPSPACE/\sim$

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## Further Work

- how do **fixpoint alternation** and **type order** interact in detail?
- is there a **linear** procedure for **negation elimination**?
- is there a notion of **guarded formulas** for HFL; is it needed?
- does **polyadic**  $\text{HFL}^k$  capture  $k\text{-EXPTIME}/\sim$ ?
- ...