

# Coloring low-discrepancy hypergraphs, Weak Polymorphisms, and Promise Constraint Satisfaction

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NII Shonan Meeting  
Current Trends in Combinatorial Optimization  
April 14, 2016

# 2-coloring graphs and hypergraphs

Given a graph  $G$ , it is easy to tell if it is 2-colorable (bipartite).

Given a 3-uniform hypergraph, NP-hard to tell if it is 2-colorable (with no monochromatic hyperedges).

Will later muse: What “causes” this dramatic change in computational complexity?

# 2-coloring: a finer dichotomy

Fact (nice exercise): Given a  $2t$ -uniform hypergraph that is promised to admit a *perfectly balanced 2-coloring*, one can *efficiently* find a 2-coloring without monochromatic hyperedges.

(2-coloring graphs is special case when  $t=1$ )

What if there is an almost-balanced 2-coloring (hypergraph has low discrepancy)?

Theorem [Austrin-G.-Hastad'14]:  $\forall t \geq 1$ , given a  $(2t+1)$ -uniform hypergraph, it is NP-hard to distinguish between following cases:

- (Yes): there is a *near-balanced* coloring (with discrepancy 1),
- (No): every 2-coloring leaves a *monochromatic hyperedge*

# This Talk

Two directions in which AGH can be strengthened/generalized

1. Stronger hardness for hypergraphs with nice colorings
2. Study broader class of “promise problems”  
(that generalize coloring low-discrepancy hypergraphs)

# Stronger coloring hardness I

Hardness of *approximate* hypergraph coloring

[G.-Håstad-Sudan'00; Dinur-Regev-Smyth'03]

For  $r \geq 3$ , it is NP-hard to color a 2-colorable  $r$ -uniform hypergraph with  $10^{10}$  colors.

Comment: Recent developments (spurred by low-degree long code), show hardness of coloring 2-colorable hypergraphs with  $\exp((\log n)^{1/10})$  colors [Dinur-G.'13; G.-Harsha-Hastad-Srinivasan-Varma'14; Khot-Saket'14, Huang'15]

Theorem: [G.-Lee'15] Approximate hypergraph coloring is hard even when a *near-balanced 2-coloring* exists:  $\forall t \geq 2$ ,

Given a  $2t$ -uniform hypergraph admitting a *near-balanced 2-coloring* ( $\leq t+1$  vertices of a color in each hyperedge),

it is NP-hard to color it with  $10^{10}$  colors.

# Stronger coloring hardness II

What if we are promised something stronger than low-discrepancy?

Eg., what if a  $r$ -uniform hypergraph is  $(r + 1)$ -strongly colorable?

- $\ell$ -strongly colorable: vertex coloring with  $\ell$  colors so that every hyperedge has *all vertices of different colors*
- $\ell$ -strongly colorable for  $\ell \leq 2r - 2$  implies 2-colorability

Theorem: [Brakensiek-G'16a]

Given a  $\lceil \frac{3r}{2} \rceil$ -strongly colorable  $r$ -uniform hypergraph, it is NP-hard to 2-color it (with no monochromatic edges)

We conjecture that similar hardness holds for  $(r + 1)$ -strongly colorable case (which will imply the AGH hardness for low-discrepancy hypergraphs)

# This Talk

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1. Stronger hardness for hypergraphs with nice colorings
2. Study broader class of “promise problems”  
(that generalize coloring low-discrepancy hypergraphs)

# Back to Slide 1

Given a graph  $G$ , it is easy to tell if it is 2-colorable (bipartite).

- Collection of  $\neq(x,y)$  constraints

Given a 3-uniform hypergraph, NP-hard to tell if it is 2-colorable (with no monochromatic hyperedges).

- Collection of Not-all-Equal( $x,y,z$ ) constraints

What “causes” this dramatic change in computational complexity?



# Operations preserving relations

$f : \{0,1\}^L \rightarrow \{0,1\}$  preserves a relation  $R \subseteq \{0,1\}^k$  if

$\forall (a_1^i, a_2^i, \dots, a_k^i) \in R, i = 1, 2, \dots, L,$

$(f(a_1^1, a_1^2, \dots, a_1^L), \dots, f(a_k^1, a_k^2, \dots, a_k^L)) \in R$

Imagine  $k \times L$  matrix whose columns belong to  $R$ .

Then applying  $f$  row-wise yields a  $k$ -tuple in  $R$ .

$f(0 \ 1 \ 1 \ \dots \ 0) = b_1$        $R_2 = \{(0,1), (1,0)\}$  (2-coloring)

$f(1 \ 0 \ 0 \ \dots \ 1) = b_2$       Which  $f$  preserve  $R_2$ ? **Antipodal** fns

$f(0 \ 1 \ 1 \ \dots \ 0) = b_1$        $R_3 = \{0,1\}^3 \setminus \{(0,0,0), (1,1,1)\}$

$f(0 \ 0 \ 1 \ \dots \ 1) = b_2$       (2-coloring 3-hypergraphs)

$f(1 \ 0 \ 0 \ \dots \ 1) = b_3$       Which  $f$  preserve  $R_3$ ?

**Dictator** functions

# Polymorphisms & complexity distinction?

Polymorphisms of  $R$  ( $\text{Pol}(R)$ ) =  $\{f \mid f \text{ preserves } R\}$

Easy vs hard explained by:

- For 2-coloring graphs, non-trivial polymorphisms exist (Majority, Odd Parity, any antipodal function)
- For 2-coloring 3-hypergraphs, there are only trivial (dictatorial) polymorphisms

# Constraint Satisfaction Problem (CSP)

$\Gamma = \{R_1, R_2, \dots, R_s\}$  finite set of relations over  $\{0,1\}$   
(more generally, any finite domain  $D$ )

CSP( $\Gamma$ ) instance given by  $(V, C)$ :

- $V$  set of variables
- $C$  set of constraints of form  $(\tau, P)$  where  
 $P \in \Gamma$  &  $\tau$  is a  $k$ -tuple of variables ( $k = \text{arity}(P)$ )

Goal: Is there an assignment  $\sigma: V \rightarrow D$  that satisfies all constraints in  $C$ , i.e.,  $(\sigma(\tau_1), \dots, \sigma(\tau_k)) \in P \ \forall (\tau, P) \in C$  ?

2-colorability of graphs: CSP( $\neq$ )

2-colorability of 3-hypergraphs: CSP( $\text{NAE}_3$ )

## Boolean CSP dichotomy theorem [Schaefer 1978]

For every finite set  $\Gamma$  of relations over  $\{0,1\}$ ,  
 $\text{CSP}(\Gamma)$  is in P or NP-complete.

$\text{CSP}(\Gamma)$  is in P if:

- i. Every relation in  $\Gamma$  is 0-valid
- ii. Every relation in  $\Gamma$  is 1-valid
- iii. Every relation in  $\Gamma$  is a 2CNF
- iv. Every relation in  $\Gamma$  is affine
- v. Every relation in  $\Gamma$  is a conjunction of Horn clauses
- vi. Every relation in  $\Gamma$  is a conjunction of dual Horn clauses

Otherwise  $\text{CSP}(\Gamma)$  is NP-complete

# Contemporary polymorphic view

Theorem: A Boolean CSP( $\Gamma$ ) is

- polytime solvable if  $\text{Pol}(\Gamma)$  is non-trivial (contains a non-dictator), and
- NP-complete if  $\text{Pol}(\Gamma)$  is trivial (contains only dictators).

Polymorphisms in tractable cases of Schaefer's theorem:

- Constant 0 or 1 function (trivial cases)
- Majority( $x,y,z$ ) (2SAT)
- AND( $x,y$ ) (Horn SAT)
- OR( $x,y$ ) (dual Horn SAT)
- $x \oplus y \oplus z$  (affine equations)

Thm: CSP( $\Gamma$ ) is in P if  $\text{Pol}(\Gamma)$  contains 0, 1, Majority (of odd arity), AND, OR, parity (of odd arity); otherwise it is NP-complete

# CSP dichotomy conjecture

*Feder-Vardi conjecture (1998):* For every  $\Gamma$  over an arbitrary finite domain,  $\text{CSP}(\Gamma)$  is in P or NP-complete.

*Algebraic dichotomy conjecture (Bulatov-Jeavons-Krokhin'05):*  $\text{CSP}(\Gamma)$  is in P if  $\text{Pol}(\Gamma)$  contains non-dictatorial functions; otherwise  $\text{CSP}(\Gamma)$  is NP-complete.

Polymorphisms are the correct tool:

- Galois correspondence:  $\text{Pol}(\Gamma) \subseteq \text{Pol}(\Gamma') \Rightarrow \Gamma'$  reduces to  $\Gamma$
- CSPs with same polymorphisms have identical complexity
- If  $\text{Pol}(\Gamma)$  is trivial, then  $\text{CSP}(\Gamma)$  is NP-complete.

# Low-discrepancy 2-coloring as “promise” CSP

Given a  $2t$ -uniform hypergraph that is promised to admit a *perfectly balanced 2-coloring*, one can *efficiently* find a 2-coloring that avoids monochromatic edges.

$$P = \{x \in \{0,1\}^{2t} \mid \text{wt}(x) = t\} \quad Q = \{0,1\}^{2t} \setminus \{0^{2t}, 1^{2t}\}$$

Given a satisfiable  $\text{CSP}(P)$  instance, can find an assignment satisfying it as a  $\text{CSP}(Q)$  instance.

Polymorphic explanation?

➤ **Weak polymorphism**  $f \in \text{Pol}(P, Q)$  is a function mapping inputs in  $P$  to output in  $Q$

For above pair, **Majority<sub>L</sub>** for odd  $L$  is a weak polymorphism

# Promise CSP view of AGH theorem

Given a  $(2t+1)$ -uniform hypergraph, NP-hard to distinguish whether

- (Yes): there is a **near-balanced** coloring (with discrepancy 1),
- (No): every 2-coloring leaves a **monochromatic hyperedge**

$$P = \{x \in \{0,1\}^{2t+1} \mid \text{wt}(x) \in \{t, t+1\}\} \quad Q = \{0,1\}^{2t+1} \setminus \{0^{2t+1}, 1^{2t+1}\}$$

Thm restatement: Given a satisfiable  $\text{CSP}(P)$  instance, it is NP-hard to find an assignment satisfying it as a  $\text{CSP}(Q)$  instance.

But there are *non-dictatorial weak polymorphisms*

➤  $\text{Majority}_m \in \text{Pol}(P, Q)$  for odd  $m \leq 2t - 1$

Reason behind AGH theorem:

- If  $f \in \text{Pol}(P, Q)$  then  $f$  is a  $(2t - 1)$ -junta\*
- Proof based on elementary combinatorial arguments
- Hardness via reduction from Label Cover



# Promise CSP dichotomy?

For two predicates  $P \subseteq Q \subseteq \{0,1\}^k$ ,  $\text{PCSP}(P, Q)$  is the problem of telling if (i) an instance is satisfiable as a  $\text{CSP}(P)$  instance, or (ii) it is unsatisfiable even as a  $\text{CSP}(Q)$  instance.

Captures notorious problems

such as approximate graph coloring:

- $P = \{(1,2), (2,3), (3,1), (2,1), (3,2), (1,3)\}$
- $Q = \{(i,j) \mid i, j \in \{1,2, \dots, 10\}; i \neq j\}$

$\text{PCSP}(\Gamma)$  defined similarly

for a finite set

$\Gamma = \{(P_1, Q_1), \dots, (P_s, Q_s)\}$   
of relation-pairs,  $P_i \subseteq Q_i$

Questions driving this research agenda:

Is every  $\text{PCSP}(\Gamma)$  in P or NP-hard?

Do weak polymorphisms govern this dichotomy?

Can one characterize the tractable cases?

Harder than CSP dichotomy conjecture! Focus on Boolean domain.

# Algorithms for PCSPs

Recall:  $\exists$  efficient algorithm for  $\text{PCSP}(P, Q)$  for

$$P = \{x \in \{0,1\}^{2t} \mid \text{wt}(x) = t\} \quad Q = \{0,1\}^{2t} \setminus \{0^{2t}, 1^{2t}\}$$

(Weak) polymorphism behind algo: Majority of odd # inputs

Turns out there there are algorithms based on new polymorphisms in PCSP setting...

# A new weak polymorphism

Proposition (Brakensiek-G'16)  $\text{PCSP}(P, Q)$  is tractable for

$$P = \{x \in \{0,1\}^k \mid \text{wt}(x) = a\} \quad Q = \{0,1\}^k \setminus \{0^k, 1^k\}$$

(for any  $a \in \{1, 2, \dots, k-1\}$ )

Hypergraph  $H = (V, E)$  with integer  $a_e, \forall e \in E, 0 < a_e < |e|$ ;  
if  $\exists$  a 2-coloring with exactly  $a_e$  vertices colored **Red** in each  $e$ ,  
then can efficiently 2-color it without monochromatic edges.

Algorithm is based on linear programming

Underlying weak polymorphism: *Alternating threshold* (of odd arity)

$$AT_L(z_1, z_2, \dots, z_L) = 1(z_1 - z_2 + z_3 - z_4 + \dots - z_{L-1} + z_L > 0)$$

# Symmetric CSPs

A relation  $P \subseteq \{0,1\}^k$  is symmetric if membership  $x \in P$  only depends on the Hamming weight of  $x$

CSP( $\Gamma$ )/PCSP( $\Gamma$ ) is symmetric if all relations in  $\Gamma$  are symmetric.

Natural subclass (k-SAT, NAE k-SAT, discrepancy, etc. are symmetric)  
Horn SAT is *not* symmetric

Partial Dichotomy Theorem for promise CSPs [Brakensiek-G.'16]

Let PCSP( $\Gamma$ ) be any **Boolean** promise CSP with **symmetric** relations (allowing negations). Then PCSP( $\Gamma$ ) is in P or NP-hard.

# Tractable cases

Thm [Brakensiek-G.'16] Let  $\Gamma$  be a set of **symmetric** relation pairs (including Boolean negation).

Then  $\text{PCPS}(\Gamma)$  is in P if weak polymorphisms of  $\Gamma$  contain:

- i. **Parity** of L variables for all odd L, or
- ii. **Majority** of L variables for all odd L, or
- iii. **Alternating Threshold** of L variables for odd L, or
- iv. the “anti”-version of any of the above for all odd L.

Otherwise  $\text{PCSP}(\Gamma)$  is NP-hard.

Algorithms:

- Case i: Gaussian elimination over  $F_2$
- Cases ii,iii: Linear programming
- Work for general, non-symmetric case as well

# A word about hardness side

Structural classification of weak polymorphisms:

If  $\Gamma$  doesn't admit  $Parity_{L_1}, Maj_{L_2}, AT_{L_3}$  as a weak polymorphism for some odd  $L_1, L_2, L_3$ , (or their “antis” for some odd arity), then there exists  $C(\Gamma) < \infty$  such that every weak polymorphism  $f: \{0,1\}^m \rightarrow \{0,1\}$  of  $\Gamma$  satisfies:

- $\exists S \subset \{1,2, \dots, m\}$  of size  $C(\Gamma)$  s.t.  
 $f(x) = f(000 \dots 00)$  if  $x_i = 0 \forall i \in S$

While we don't get a dictator/junta,  
this interfaces well with a Label Cover reduction.

# Summary

Promise CSPs capture several interesting problems, including variants of graph/hypergraph coloring

Viewpoint has led to new hardness results for coloring

- 6-coloring 4-colorable graphs is NP-hard
- new NP-hardness proof that 4-coloring 3-colorable graphs (already known in [Khanna-Linial-Safra'93, G.-Khanna'00])

*Partial dichotomy theorem:* Every symmetric Boolean promise CSP (allowing negations) is either in P or NP-hard

- Road beyond symmetric case seems difficult but exciting
  - Have to cope with further algorithms & polymorphisms, and work with less structure for hardness.