

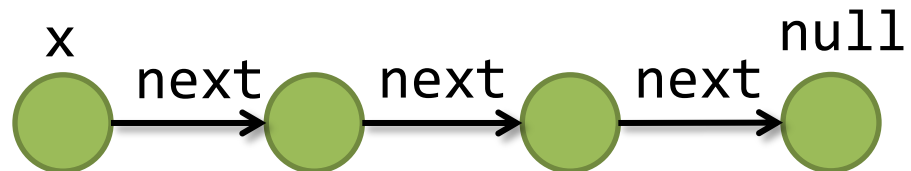
Automating Separation Logic using SMT

Thomas Wies
New York University

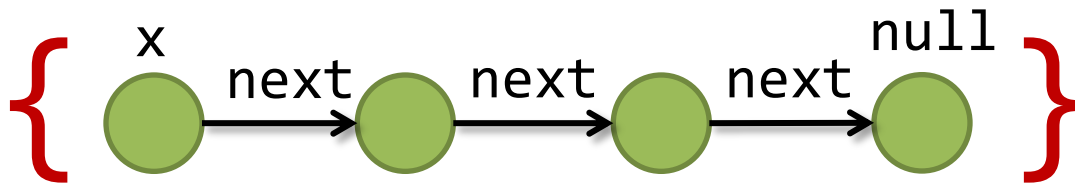
joint work with
Ruzica Piskac (Yale) and Damien Zufferey (MIT)

A Motivating Example

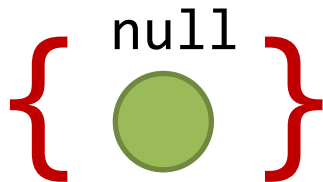
```
procedure delete(x: Node)
{
  if (x != null) {
    delete(x.next);
    free(x);
  }
}
```



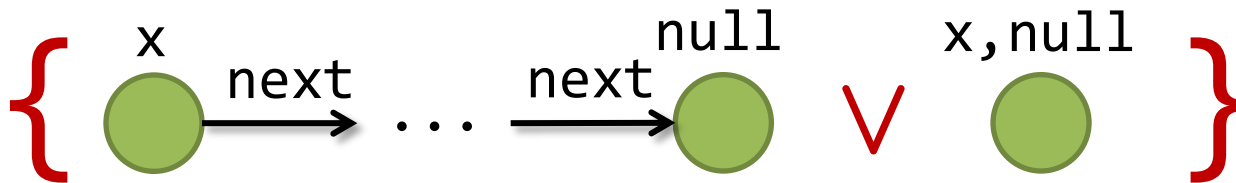
From Hand-Waving to Proofs



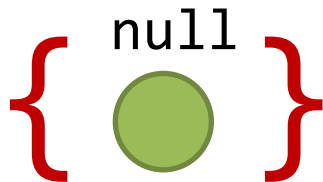
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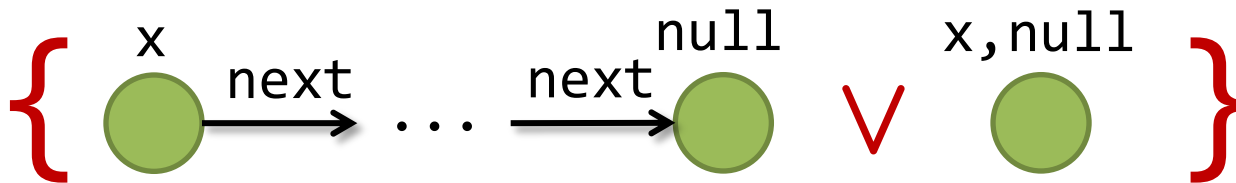
From Hand-Waving to Proofs



```
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  if (x != null) {
    delete(x.next);
    free(x);
  }
}
```



From Hand-Waving to Proofs



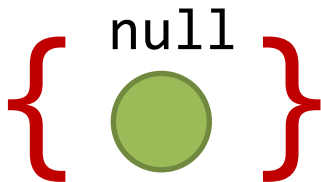
```
procedure delete(x: Node)
```

```
{
```

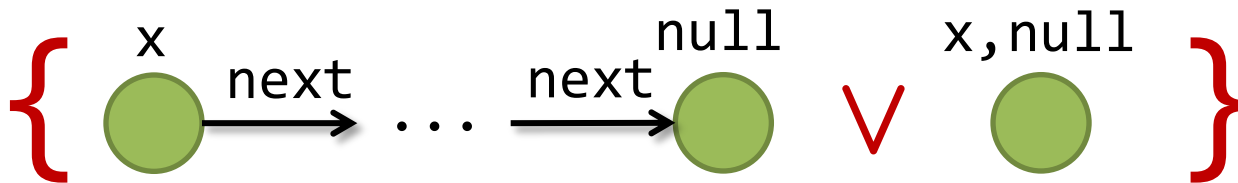
```
  if (x != null) {  $\leftrightarrow$  { x  $\xrightarrow{\text{next}}$  ...  $\xrightarrow{\text{next}}$  null }
    delete(x.next);
    free(x);
```

```
  }
```

```
}
```



From Hand-Waving to Proofs



```
procedure delete(x: Node)
```

```
{
```

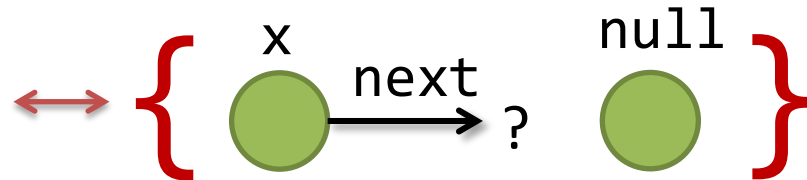
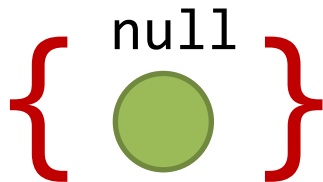
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  if (x != null) {
```

```
    delete(x.next);
```

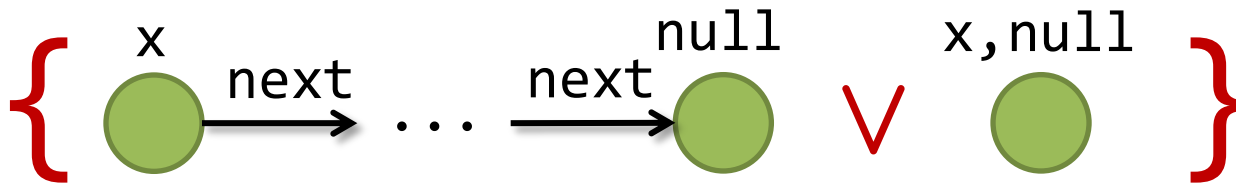
```
    free(x);
```

```
  }
```

```
}
```



From Hand-Waving to Proofs



```
procedure delete(x: Node)
```

```
{
```

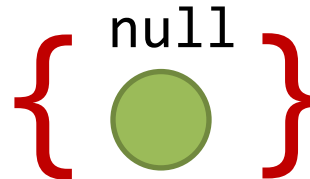
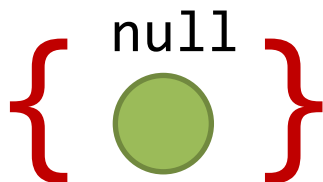
```
  if (x != null) {
```

```
    delete(x.next);
```

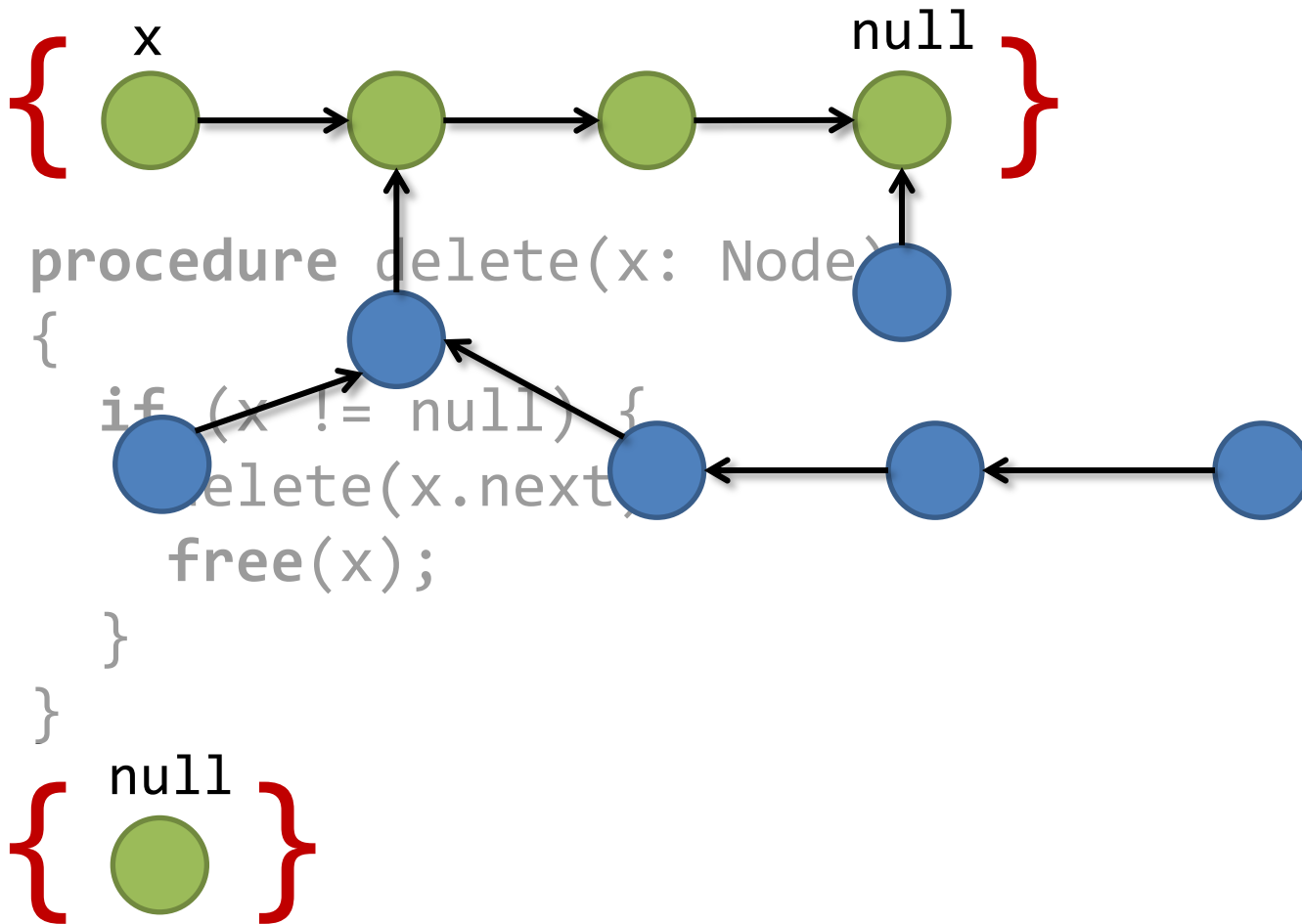
```
    free(x);
```

```
  }
```

```
}
```



From Hand-Waving to Proofs



Separation Logic (SL)

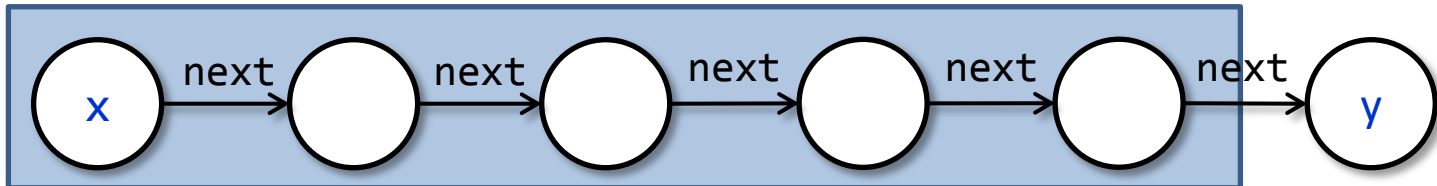
[O'Hearn, Reynolds, Yang, 2001]

- acyclic list segment

$\text{lseg}(x, y) =$

$x = y \vee$

$x \neq y * \text{acc}(x) * \text{lseg}(x.\text{next}, y)$



SL assertions describe heap regions (heaplets)

Delete with SL Specification

```
{ lseg(x,null) }  
procedure delete(x: Node)  
{  
    if (x != null) {  
        delete(x.next);  
        free(x);  
    }  
}  
{ emp }
```

Frame Rule

```
{ lseg(x,null) * lseg(y,null) }  
procedure delete(x: Node)  
{  
  if (x != null) {  
    delete(x.next);  
    free(x);  
  }  
}  
{ emp * lseg(y,null) }
```

Decidable Fragment of Linked Lists

[Berdine, Calcagno, O'Hearn, 2005]

```
{ lseg(x, null) }  
procedure delete(x: Node)  
{  
  if (x != null) {  
    delete(x.next);  
    free(x);  
  }  
}  
{ emp }
```

Decidable Fragment of Linked Lists

[Berdine, Calcagno, O'Hearn, 2005]

```
{ lseg(x, null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x != null) {  $\longleftrightarrow$  {lseg(x, null)  $\wedge$  x $\neq$ null}
```

```
    delete(x.next);
```

```
    free(x);
```

```
  }
```

```
}
```

```
{ emp }
```

Decidable Fragment of Linked Lists

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```
{ lseg(x, null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x != null) {  $\longleftrightarrow$  {lseg(x, null)  $\wedge$  x $\neq$ null}
```

```
    delete(x.next);
```

```
    free(x);
```

```
  }
```

```
}
```

$\text{lseg}(x, \text{null}) \wedge x \neq \text{null} \vdash \text{lseg}(x.\text{next}, \text{null})$

Decidable Fragment of Linked Lists

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```
{ lseg(x, null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x != null) {  $\longleftrightarrow$  {lseg(x, null)  $\wedge$  x $\neq$ null}
```

```
    delete(x.next);
```

```
    free(x);
```

```
  }
```

```
}
```

Frame inference:

```
lseg(x, null)  $\wedge$  x $\neq$ null  $\vdash$  lseg(x.next, null) * ?
```

Decidable Fragment of Linked Lists

[Berdine, Calcagno, O'Hearn, 2005]

```
{ lseg(x, null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x != null) {  $\longleftrightarrow$  {lseg(x, null)  $\wedge$  x $\neq$ null}
```

```
    delete(x.next);
```

```
    free(x);
```

```
  }
```

```
}
```

Frame inference:

```
acc(x) * lseg(x.next, null)  $\vdash$  lseg(x.next, null) * ?  
lseg(x, null)  $\wedge$  x $\neq$ null  $\vdash$  lseg(x.next, null) * ?
```


Decidable Fragment of Linked Lists

[Berdine, Calcagno, O'Hearn, 2005]

```
{ lseg(x, null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x != null) {  $\longleftrightarrow$  {lseg(x, null)  $\wedge$  x $\neq$ null}
```

```
    delete(x.next);
```

```
    free(x);
```

```
  }
```

```
}
```

Frame inference:

$\text{acc}(x) \vdash ?$

$\text{acc}(x) * \text{lseg}(x.\text{next}, \text{null}) \vdash \text{lseg}(x.\text{next}, \text{null}) * ?$

$\text{lseg}(x, \text{null}) \wedge x \neq \text{null} \vdash \text{lseg}(x.\text{next}, \text{null}) * ?$

Decidable Fragment of Linked Lists

[Berdine, Calcagno, O'Hearn, 2005]

```
{ lseg(x, null) }
```

```
procedure delete(x: Node)
```

```
{
```

```
  if (x != null) {  $\longleftrightarrow$  {lseg(x, null)  $\wedge$  x $\neq$ null}
```

```
    delete(x.next);
```

```
    free(x);
```

```
  }
```

```
}
```

Frame inference: $? = \text{acc}(x)$

$\text{acc}(x) \vdash ?$

$\text{acc}(x) * \text{lseg}(x.\text{next}, \text{null}) \vdash \text{lseg}(x.\text{next}, \text{null}) * ?$

$\text{lseg}(x, \text{null}) \wedge x \neq \text{null} \vdash \text{lseg}(x.\text{next}, \text{null}) * ?$

Decidable Fragment of Linked Lists

[Berdine, Calcagno, O'Hearn, 2005]

```
{ lseg(x, null) }  
procedure delete(x: Node)  
{  
  if (x != null) {  
    delete(x.next);  $\longleftrightarrow$  {acc(x) * emp}  
    free(x);  
  }  
}
```

Frame inference: $? = \text{acc}(x)$

$\text{acc}(x) \vdash ?$

$\text{acc}(x) * \text{lseg}(x.\text{next}, \text{null}) \vdash \text{lseg}(x.\text{next}, \text{null}) * ?$

$\text{lseg}(x, \text{null}) \wedge x \neq \text{null} \vdash \text{lseg}(x.\text{next}, \text{null}) * ?$

Decidable Fragment of Linked Lists

[Berdine, Calcagno, O'Hearn, 2005]

```
{ lseg(x, null) }  
procedure delete(x: Node)  
{  
  if (x != null) {  
    delete(x.next);  
    free(x);  $\longleftrightarrow$  {emp * emp}  
  }  
}  
  
{ emp }
```

Decidable Fragment of Linked Lists

[Berdine, Calcagno, O'Hearn, 2005]

```
{ lseg(x, null) }  
procedure delete(x: Node)  
{  
  if (x != null) {  
    delete(x.next);  
    free(x);  
  }  
}
```

```
{ emp }
```



Why SMT?

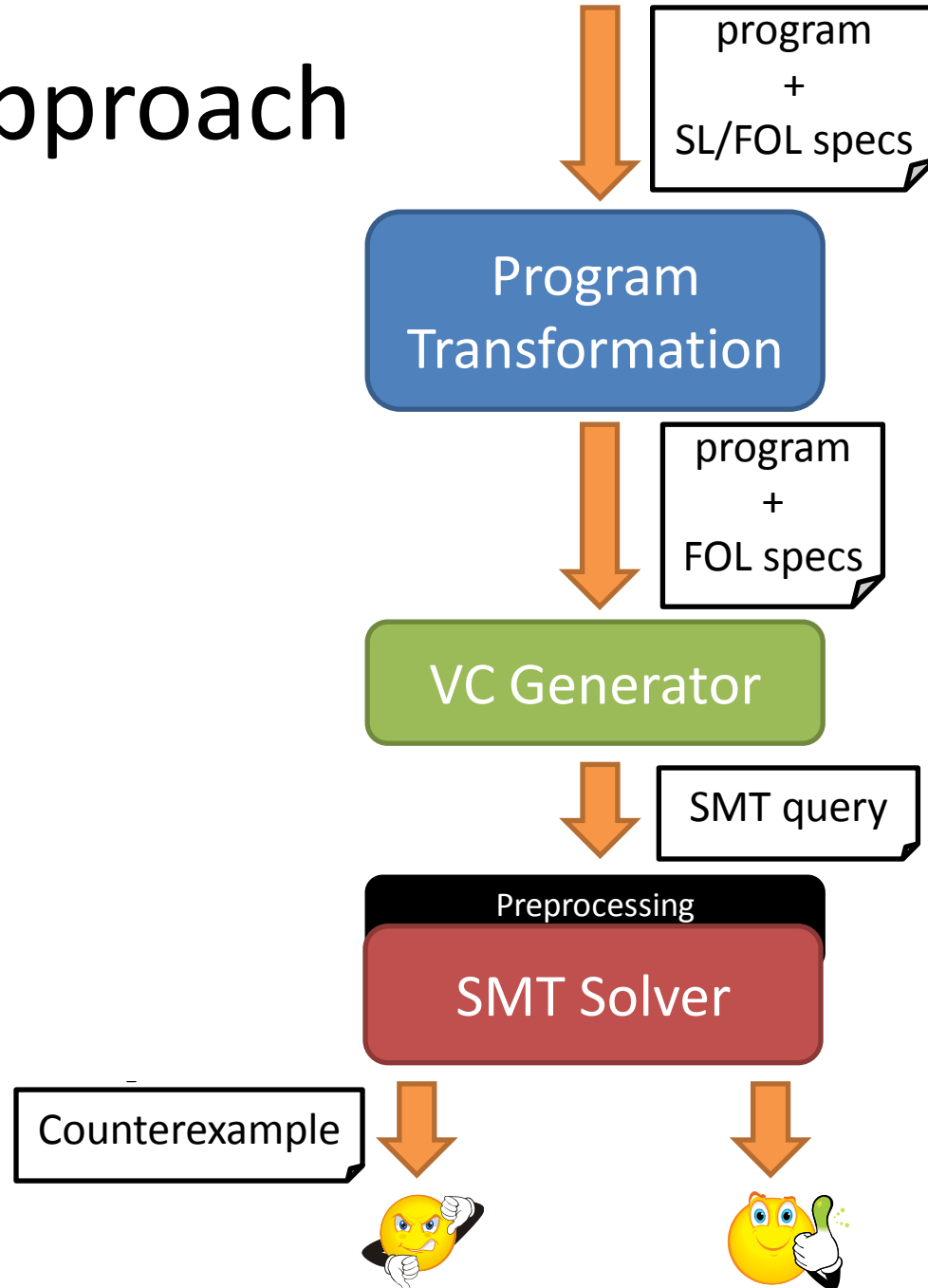
	Frontend / Specification	Backend / Solver
SL	+ succinct + intuitive	- tailor-made solvers - difficult to extend + local reasoning (frame inference)
FOL	+ flexible - complex	+ standardized solvers (SMT-LIB) + extensible (e.g. Nelson-Oppen)

Why SMT?

	Frontend / Specification	Backend / Solver
SL	+ succinct + intuitive	- tailor-made solvers - difficult to extend + local reasoning (frame inference)
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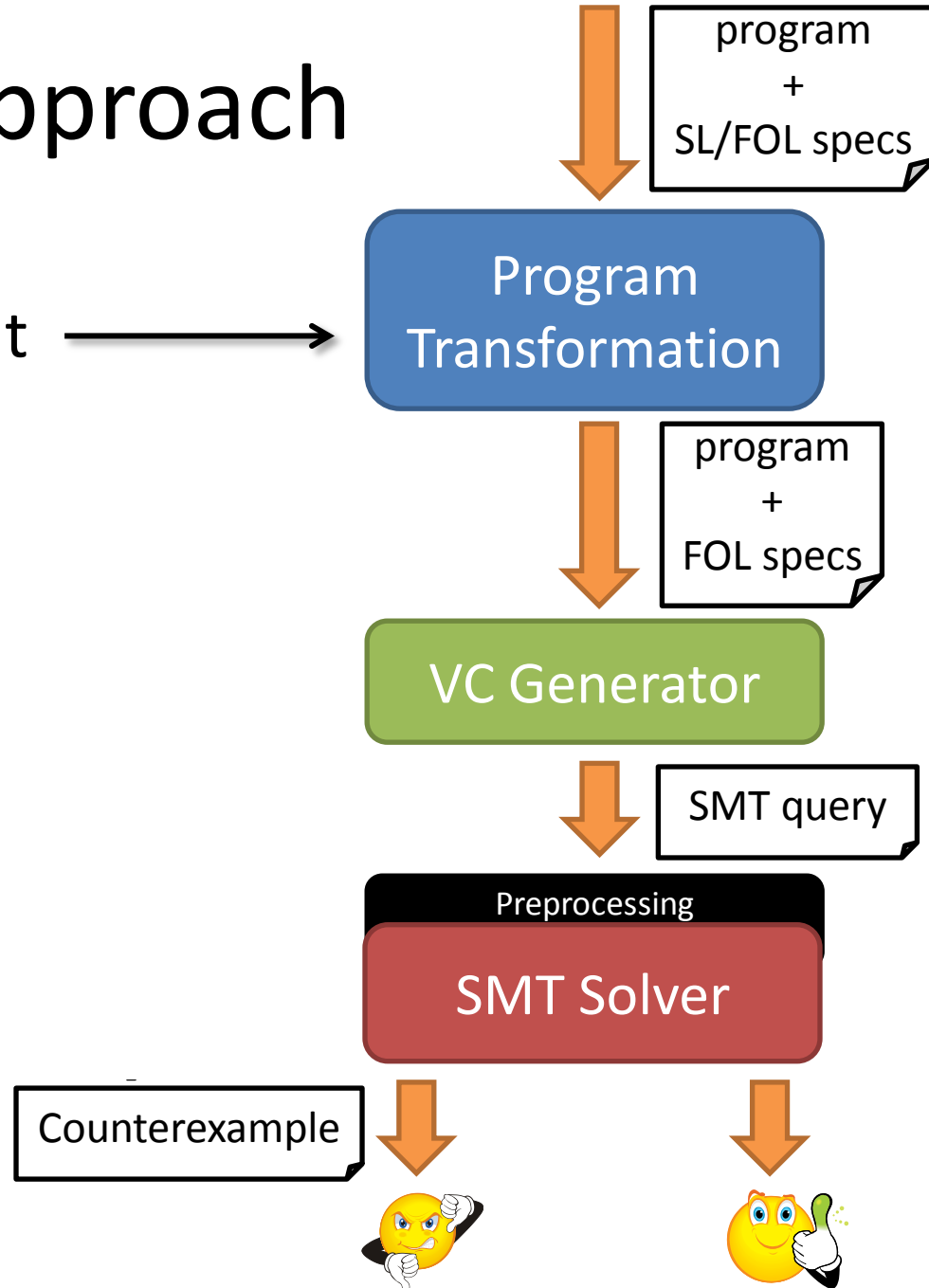
- Strong theoretical guarantees:
sound, **complete**, **tractable complexity (NP)**
- Mixed specs: escape hatch when SL is not suitable.

Overview of Approach



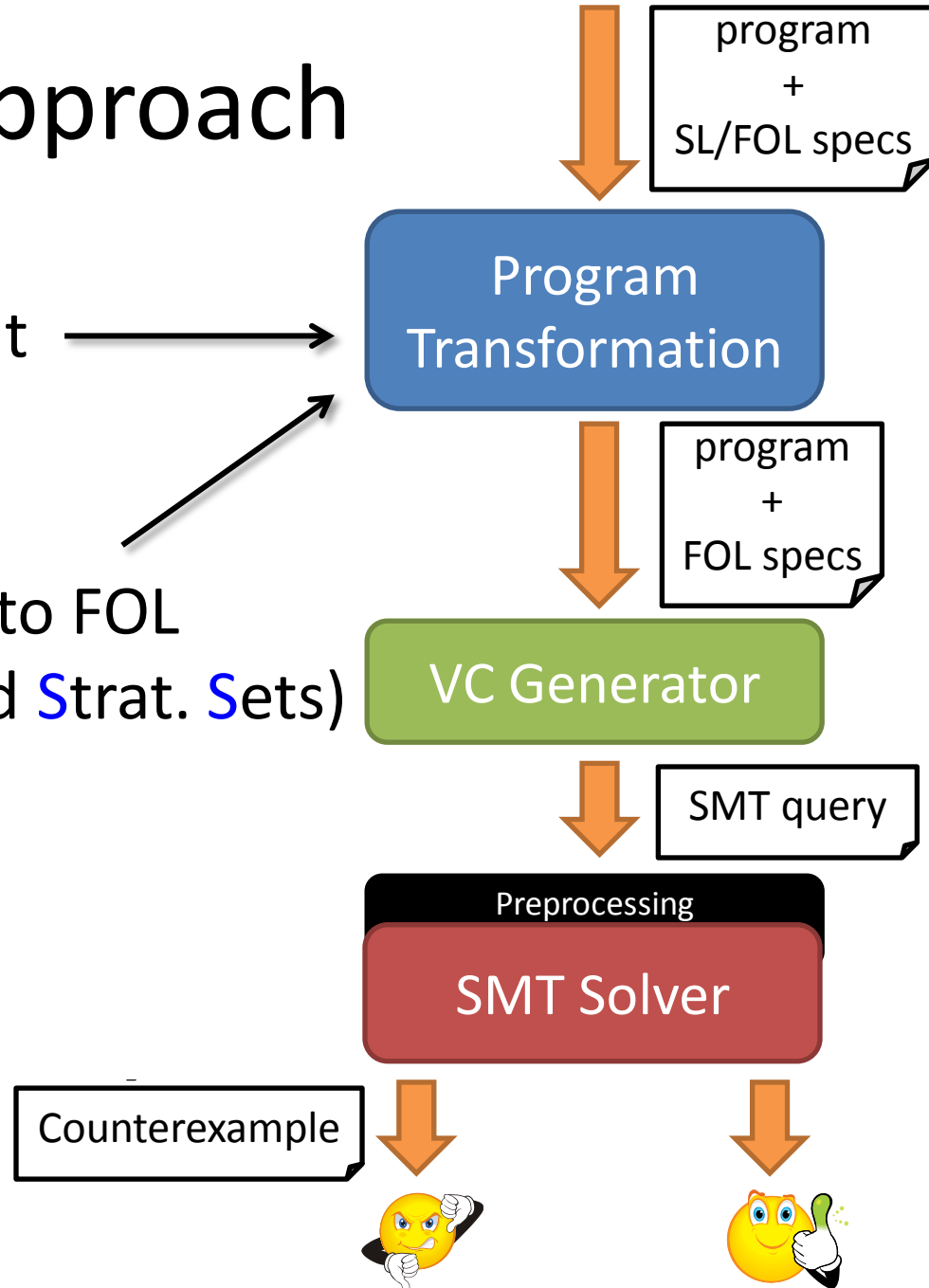
Overview of Approach

1. Make frame rule explicit [TACAS'14]



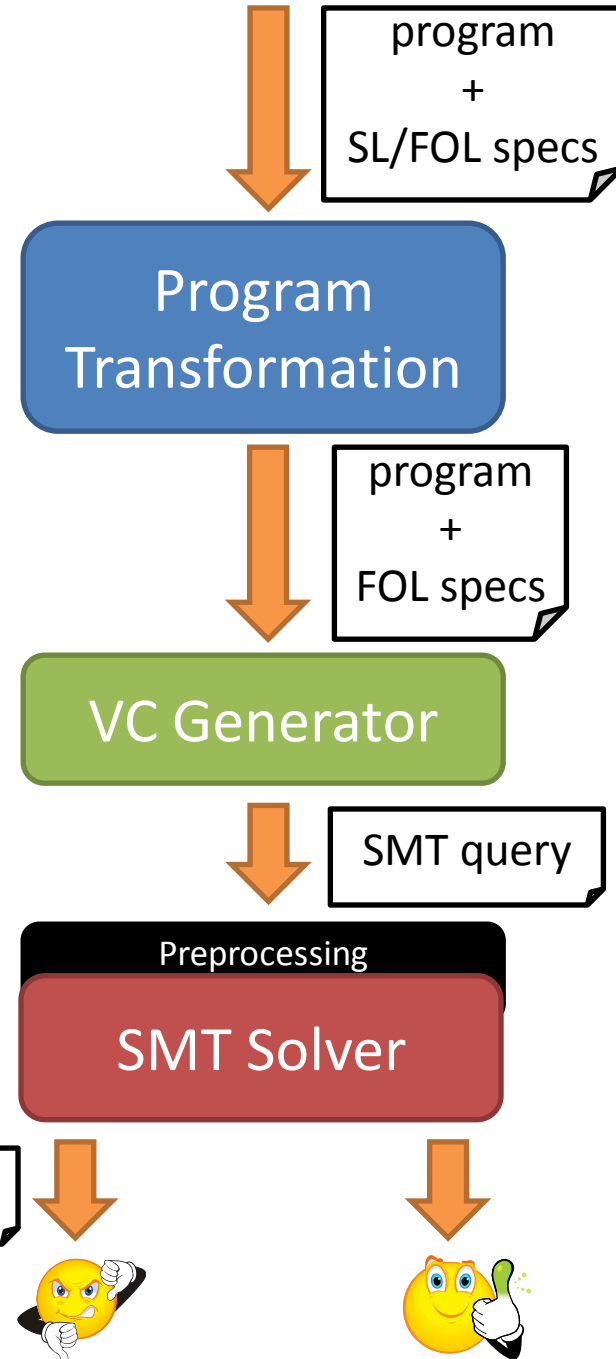
Overview of Approach

1. Make frame rule explicit [TACAS'14]
2. Translate SL assertions to FOL (Graph Reachability and Strat. Sets) [CAV'13]



Overview of Approach

1. Make frame rule explicit [TACAS'14]
2. Translate SL assertions to FOL (Graph Reachability and Strat. Sets) [CAV'13]
3. Decide generated VCs [CAV'13] + [TACAS'13] + [CAV'14] + [CAV'15]



Reasoning about Heap and Data

Inductive Predicates with Data

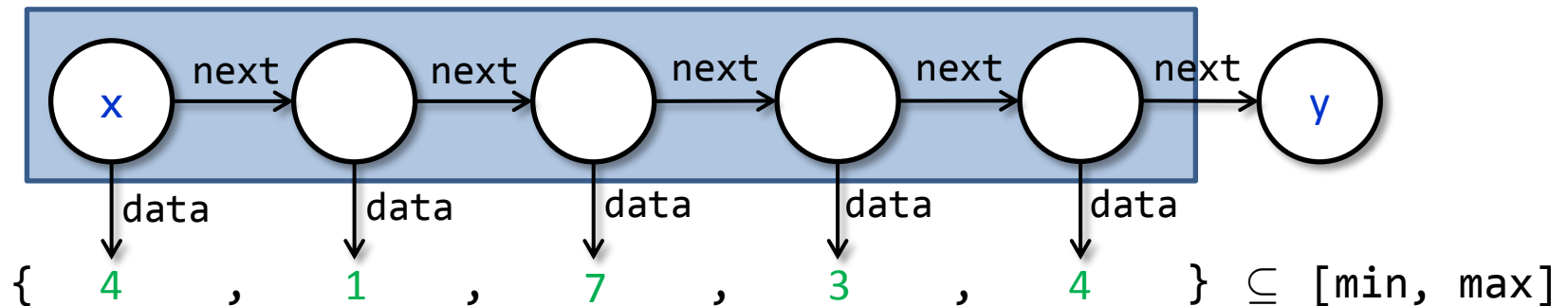
- bounded list segment

$\text{bnd_lseg}(x, y, \text{min}, \text{max}) =$

$x = y \vee$

$x \neq y * \text{acc}(x) * \text{min} \leq x.\text{data} \leq \text{max} *$

$\text{bnd_lseg}(x.\text{next}, y, \text{min}, \text{max})$



Inductive Predicates with Data

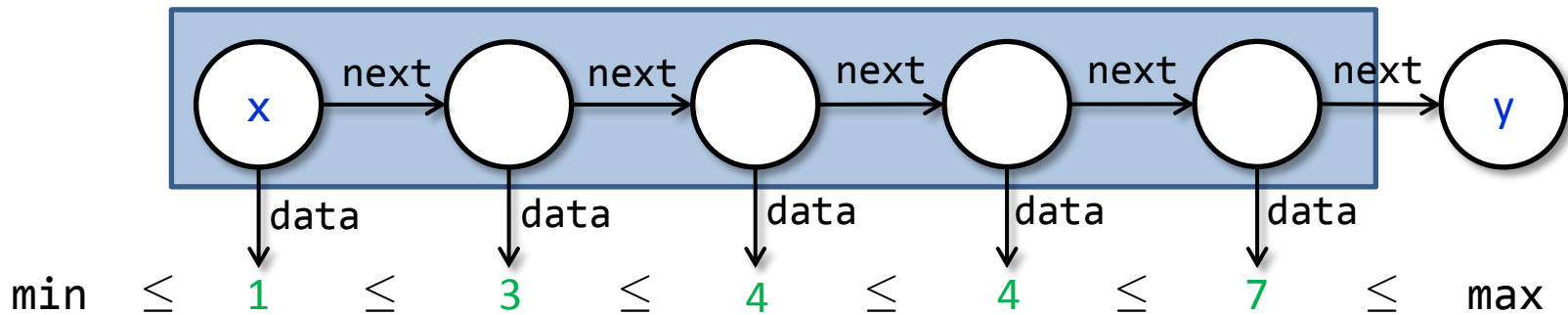
- sorted list segment

$\text{srt_lseg}(x, y, \text{min}, \text{max}) =$

$x = y \vee$

$x \neq y * \text{acc}(x) * \text{min} \leq x.\text{data} \leq \text{max} *$

$\text{srt_lseg}(x.\text{next}, y, x.\text{data}, \text{max})$

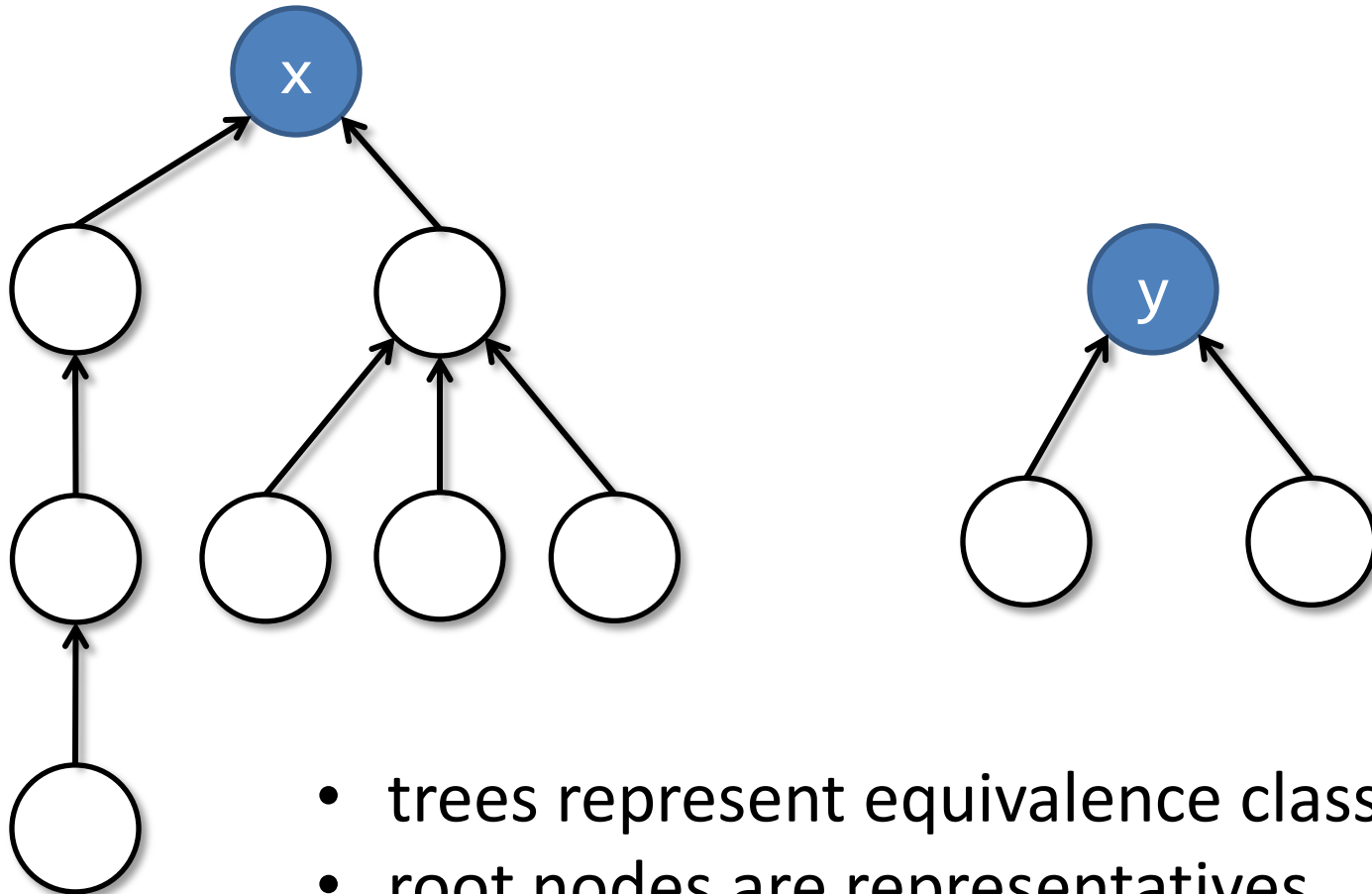


Example: Quicksort

```
procedure quicksort(x: Node, y: Node,  
                   ghost min: int, ghost max: int)  
  returns (z: Node)  
  requires bnd_lseg(x, y, min, max)  
  ensures srt_lseg(z, y, min, max)  
{  
  if (x != y && x.next != y) {  
    var p: Node, w: Node;  
    z, p := split(x, y, min, max);  
    z := quicksort(z, p, min, p.data);  
    w := quicksort(p.next, y, p.data, max);  
    p.next := w;  
  } else z := x;  
}
```

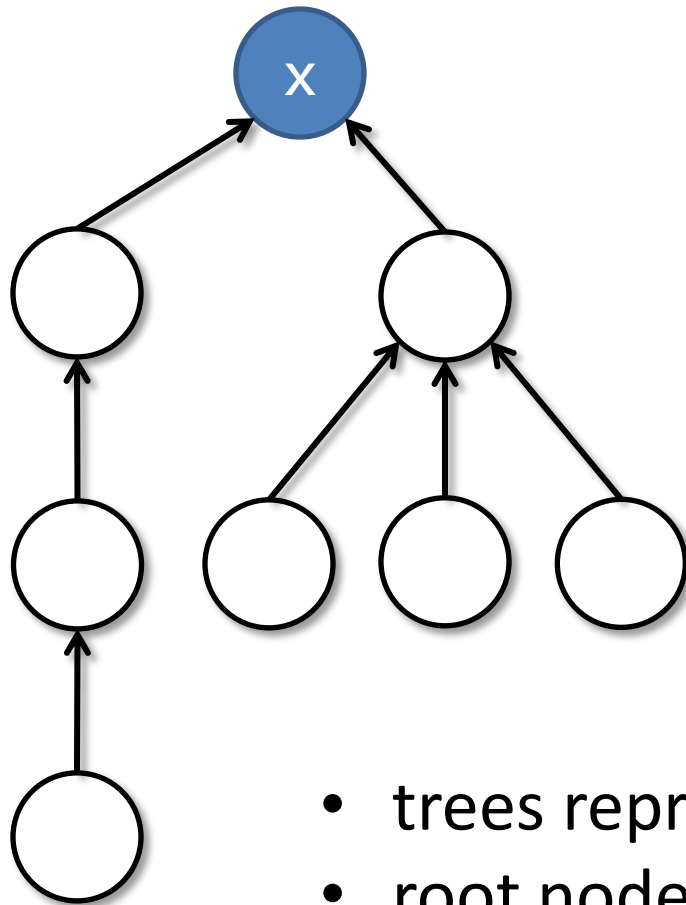
Mixed Specifications

Example: Union/Find Data Structure

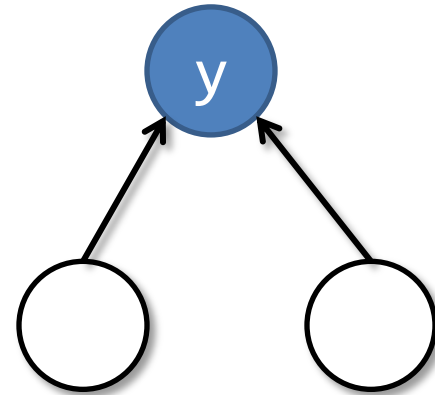


- trees represent equivalence classes
- root nodes are representatives

Example: Union/Find Data Structure

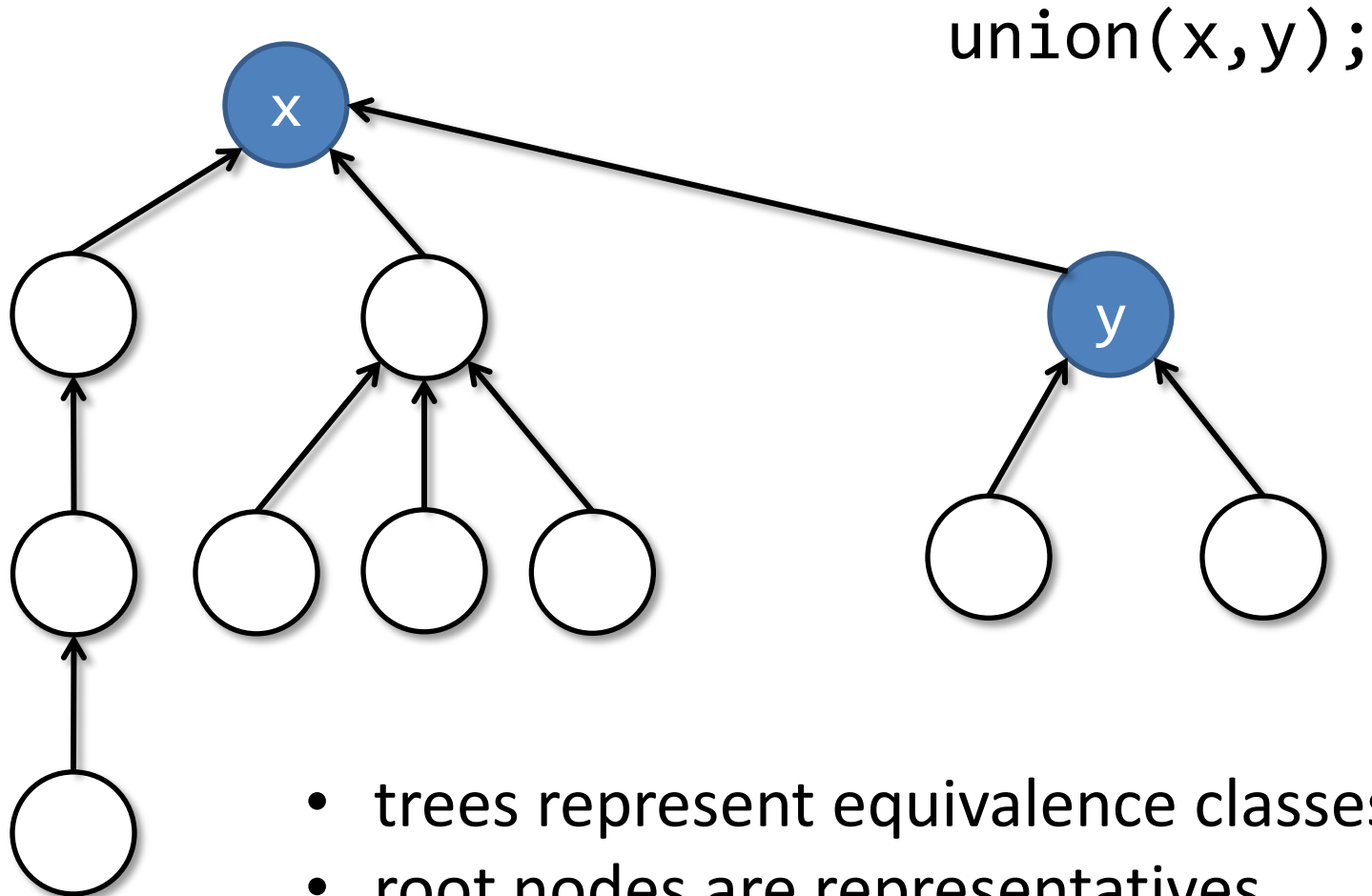


`union(x,y);`



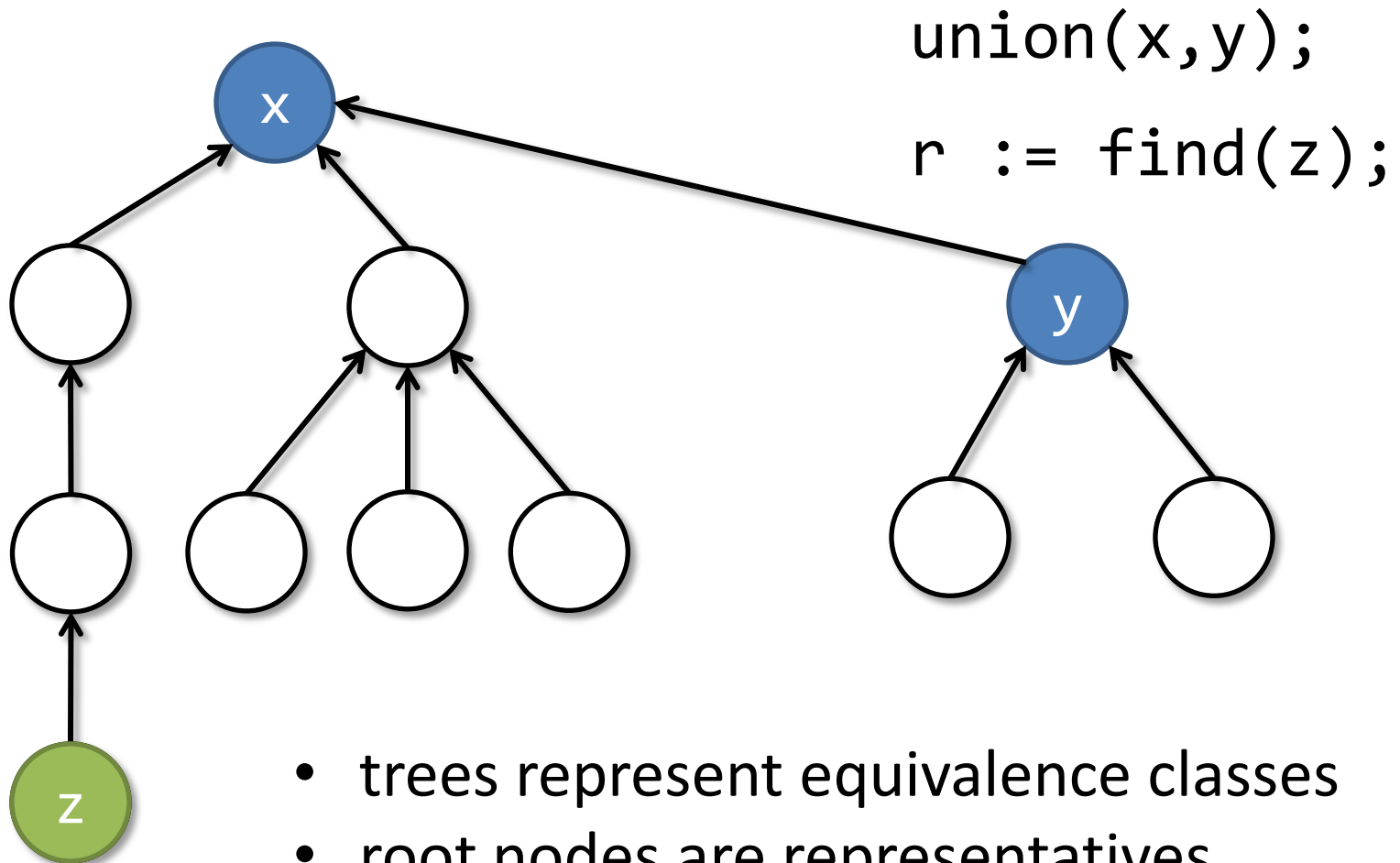
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Example: Union/Find Data Structure

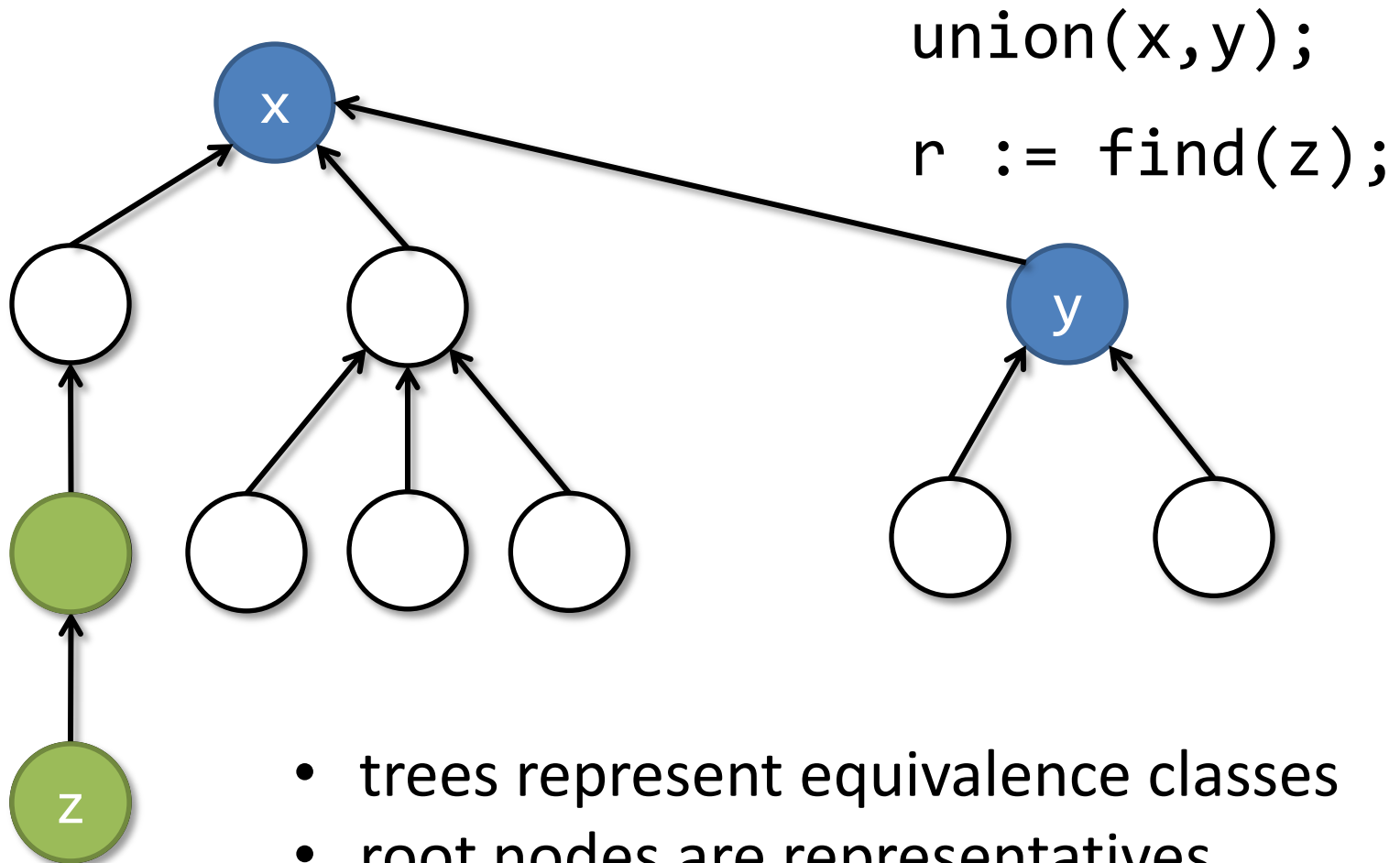


- trees represent equivalence classes
- root nodes are representatives

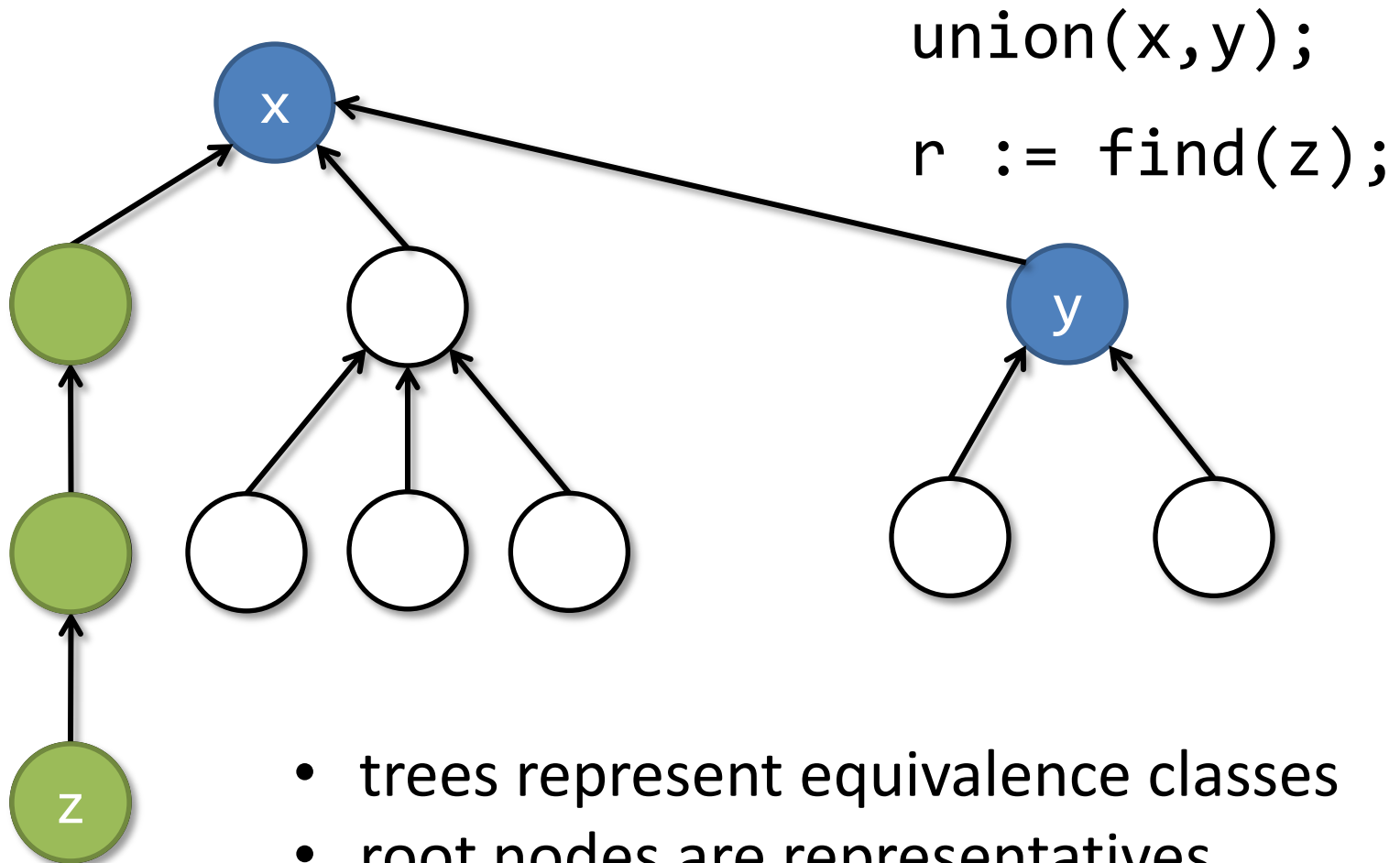
Example: Union/Find Data Structure



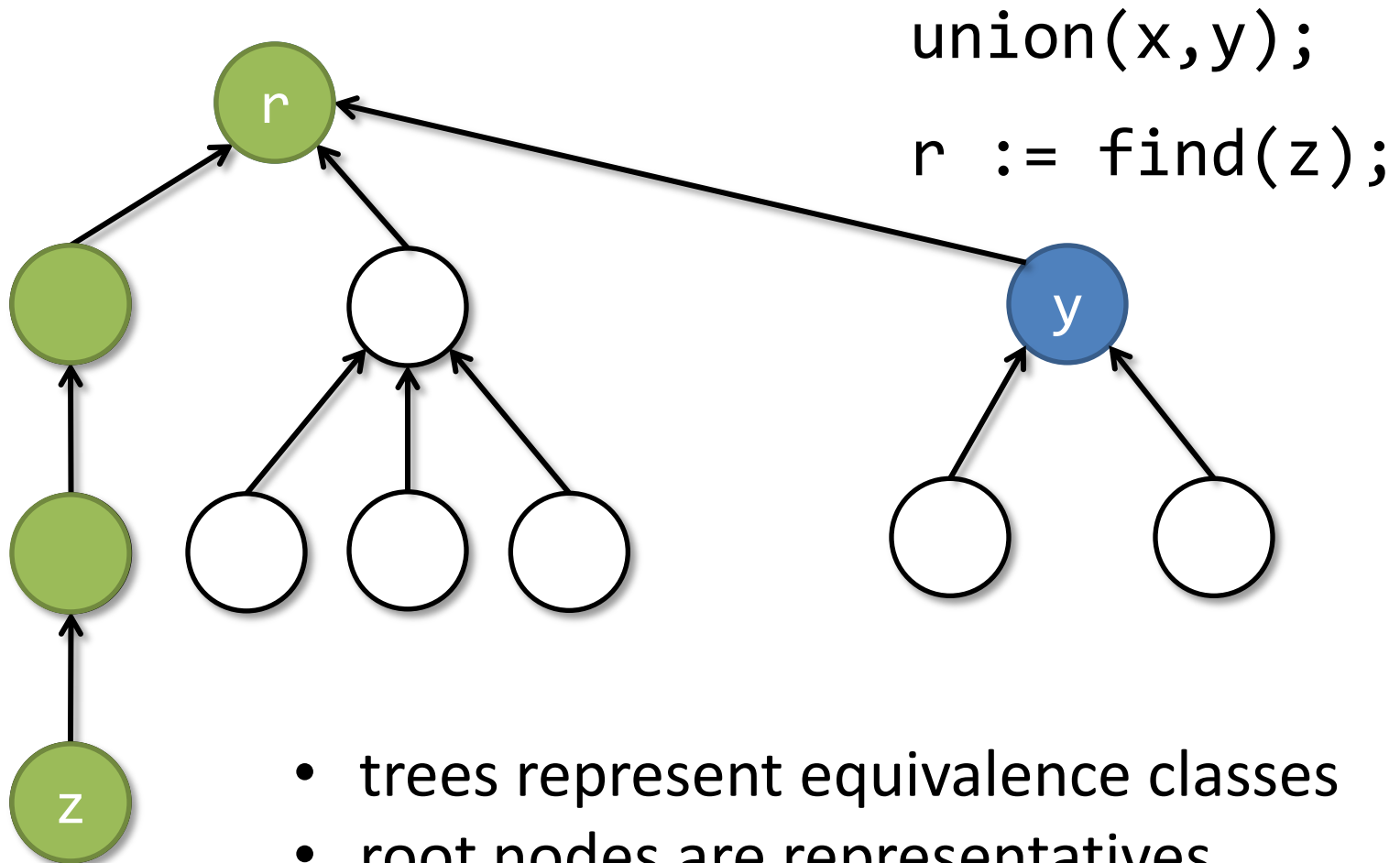
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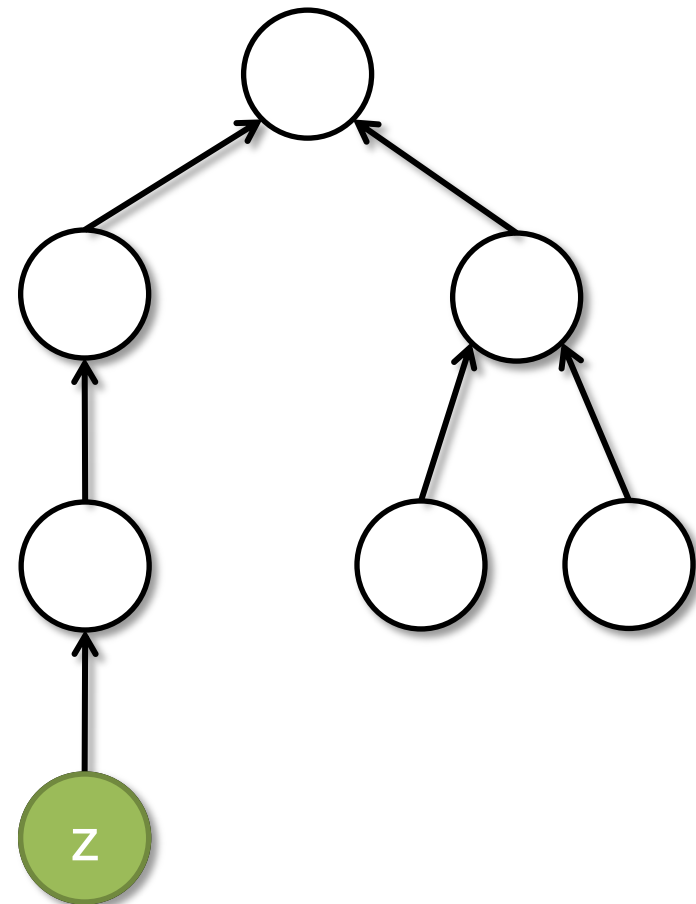


Example: Union/Find Data Structure



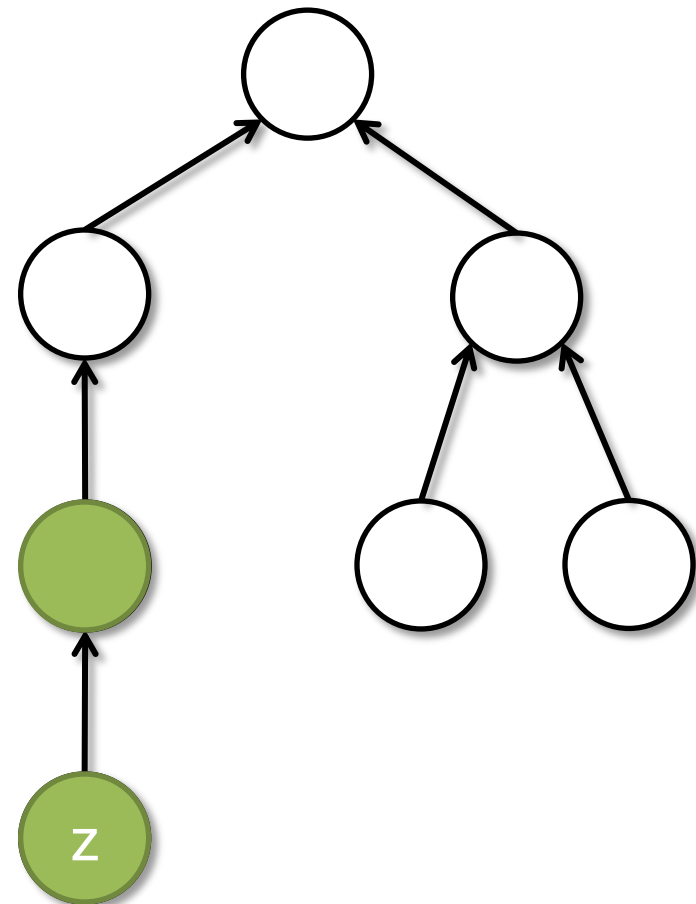
Find with Path Compression

```
procedure find(x: Node)
returns (r: Node)
{
  if (x != null) {
    r := find(x.next);
    x.next := r;
  } else {
    r := x;
  }
}
```



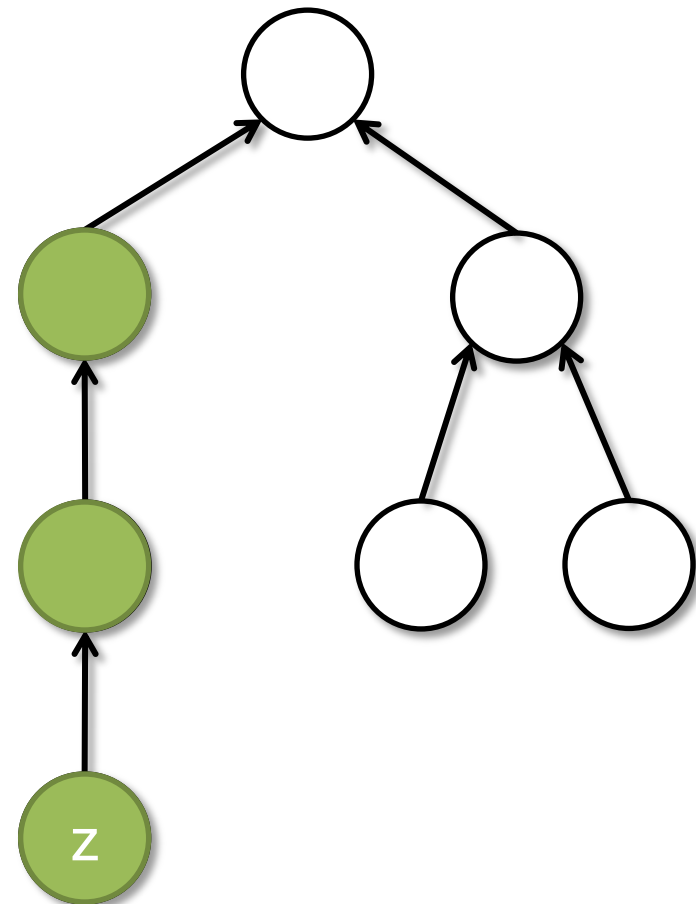
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}
```



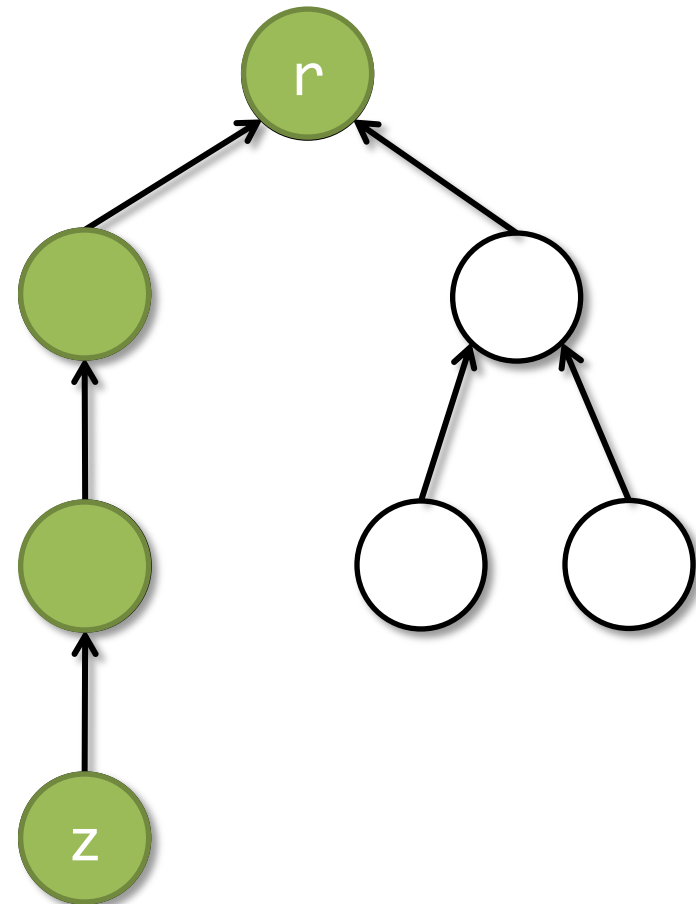
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}
```



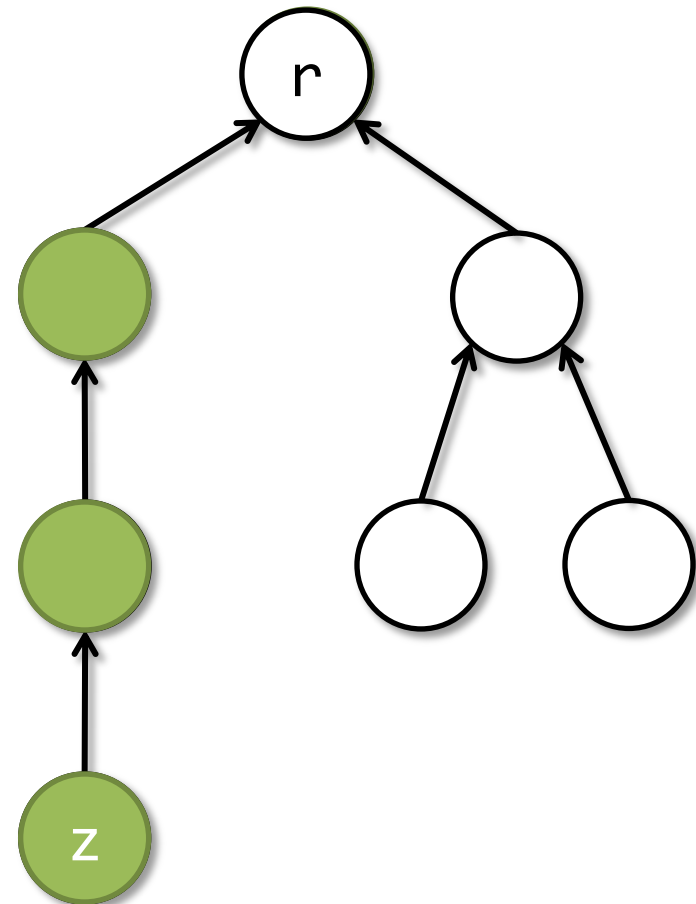
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  }
}
```



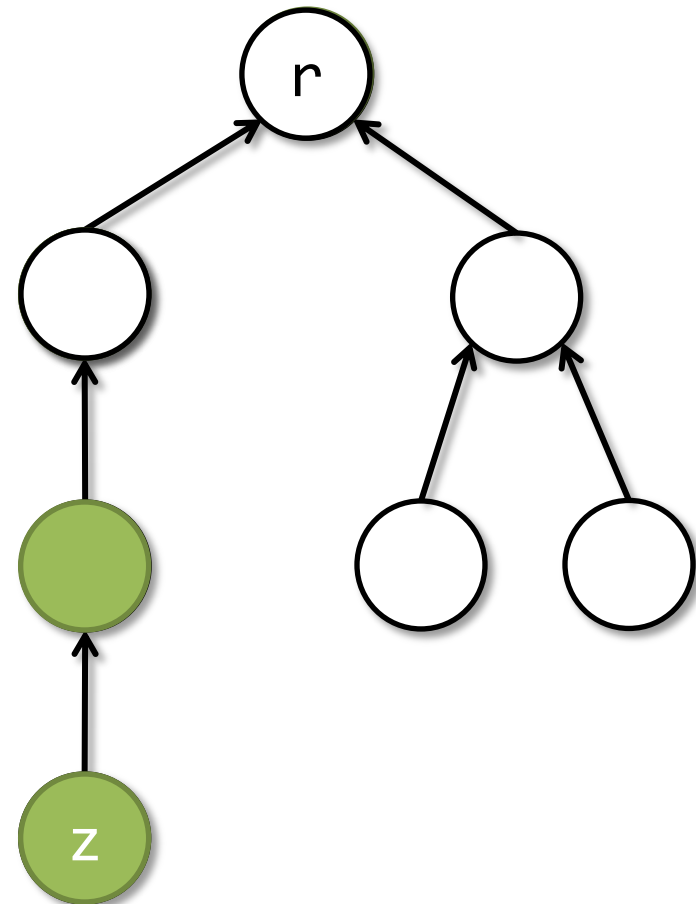
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```



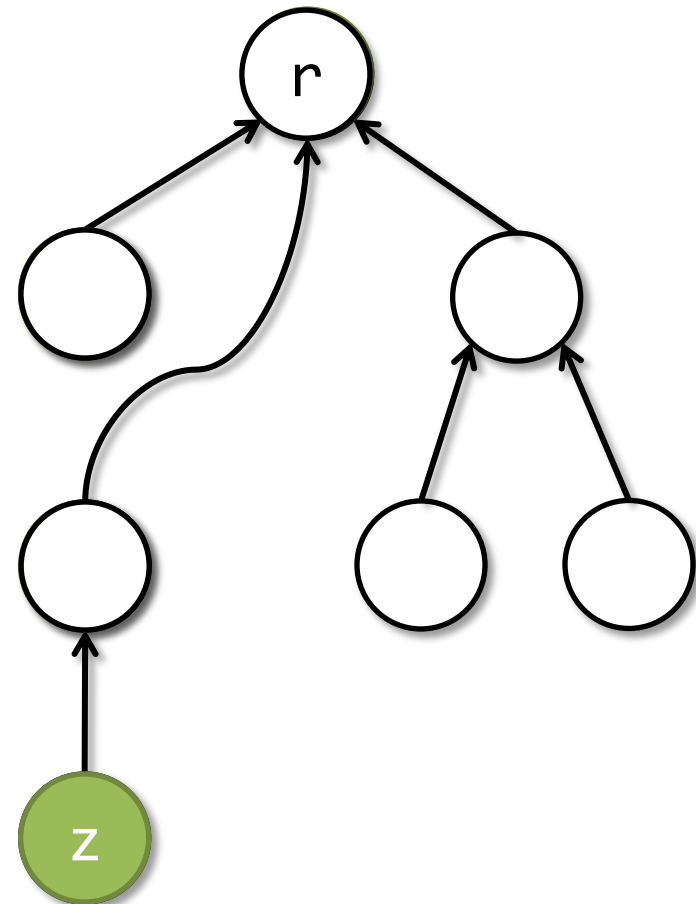
Find with Path Compression

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procedure find(x: Node)
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  } else {
    r := x;
  }
}
```



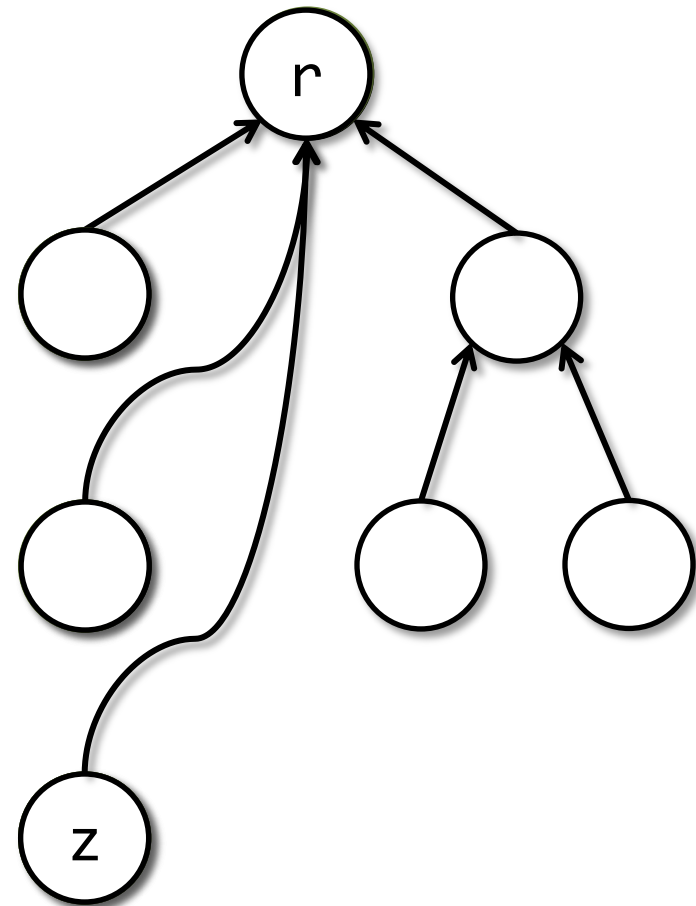
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  if (x != null) {
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  }
}
```



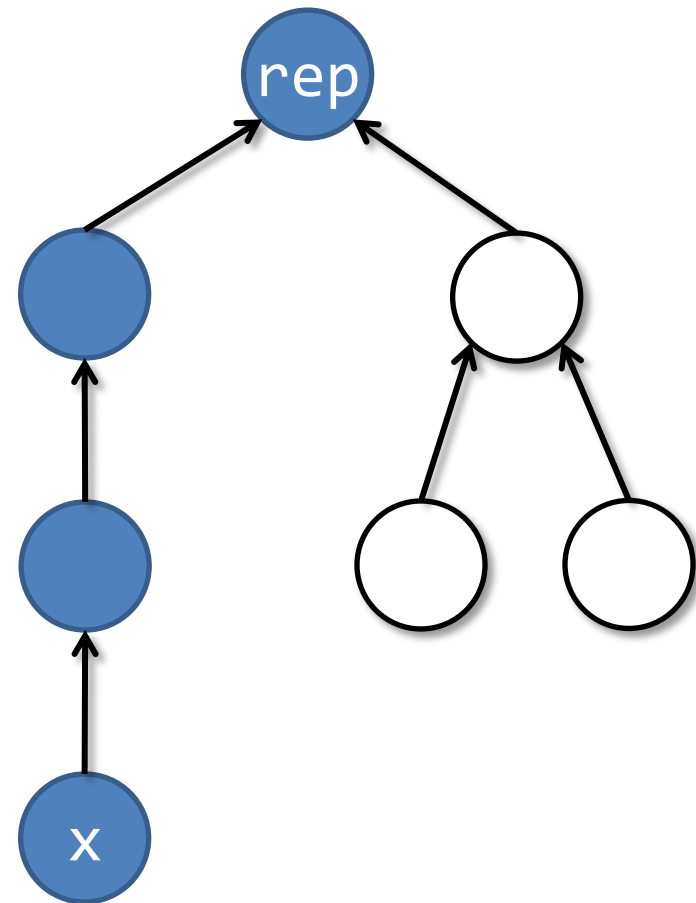
Find with Path Compression

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procedure find(x: Node)
returns (r: Node)
{
  if (x != null) {
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}
```



Find with SL Specification

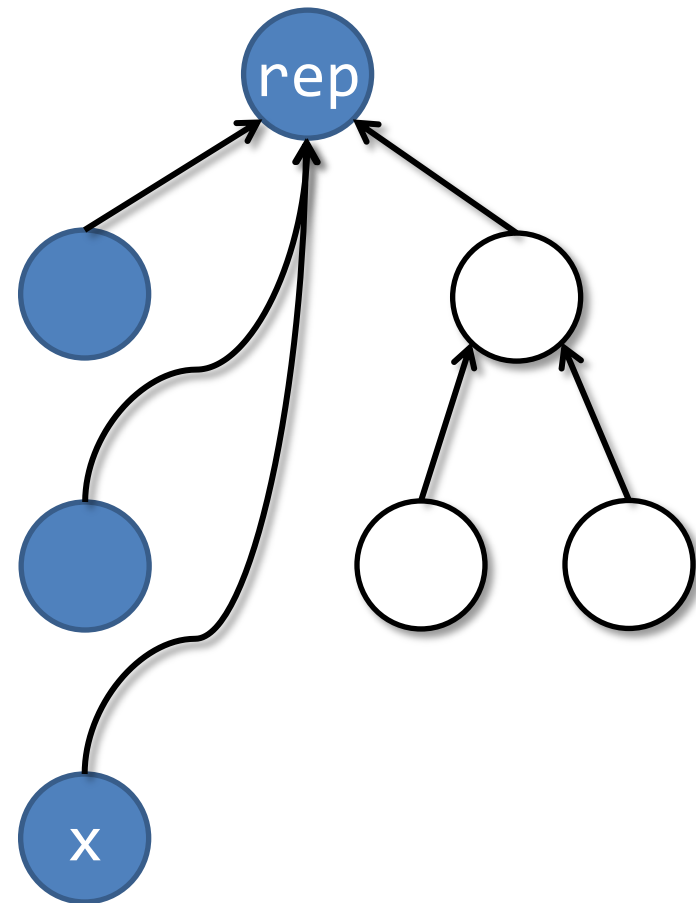
```
procedure find(x: Node, ghost rep: Node)  
  returns (r: Node)  
  requires lseg(x, rep)  
  requires rep.next  $\mapsto$  null
```



Find with SL Specification

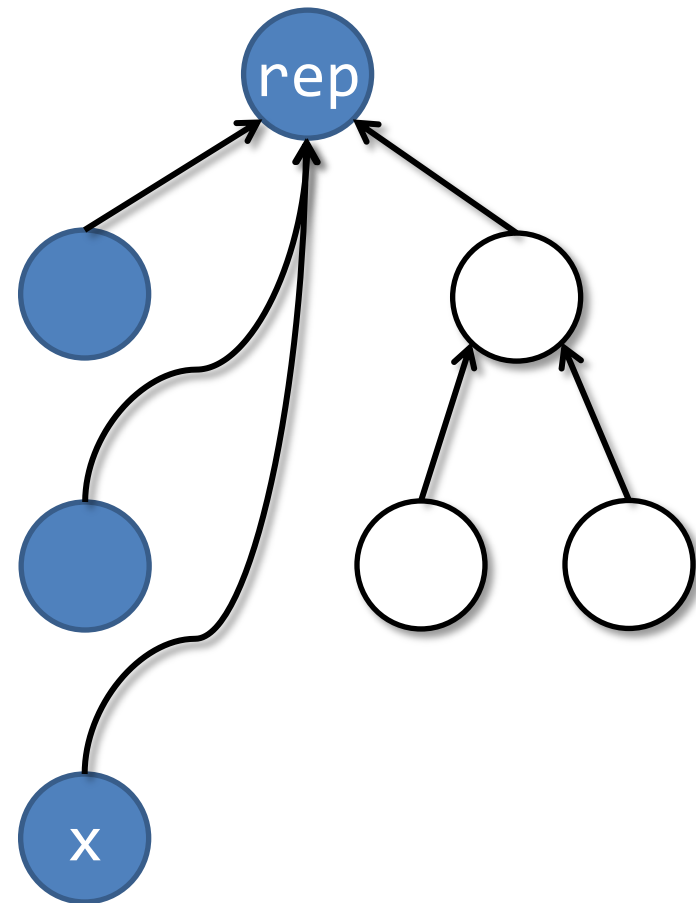
```
procedure find(x: Node, ghost rep: Node)
  returns (r: Node)
  requires rep.next  $\mapsto$  null
  requires lseg(x, rep)
  ensures r = rep
  ensures rep.next  $\mapsto$  null
  ensures ?
```

Postcondition needs to track an unbounded number of list segments.



Find with Mixed Specification

```
procedure find(x: Node, ghost rep: Node,  
  implicit ghost X: set<Node>)  
  returns (r: Node)  
  requires rep.next  $\mapsto$  null  
  requires lseg_set(x, rep, X)  
  ensures r = rep  
  ensures rep.next  $\mapsto$  null  
  ensures acc(X)  
  ensures  $\forall z \in X. z.next = rep$ 
```



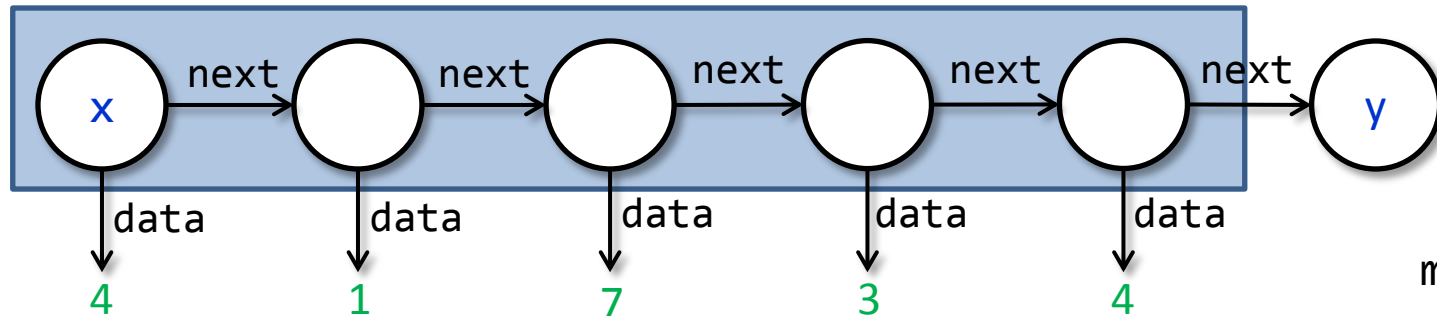
Completeness and Counterexamples

Quicksort Revisited

```
procedure quicksort(x: Node, y: Node,  
                   ghost min: int, ghost max: int)  
returns (z: Node)  
  requires bnd_lseg(x, y, min, max)  
  ensures srt_lseg(z, y, min, max)  
{  
  if (x != y && x.next != y) {  
    var p: Node, w: Node;  
    z, p := split(x, y, min, max);  
    z := quicksort(z, p, min, p.data);  
    w := quicksort(p.next, y, p.data, max);  
    p.next := w;  
  } else z := x;  
}
```

Split with SL Specification

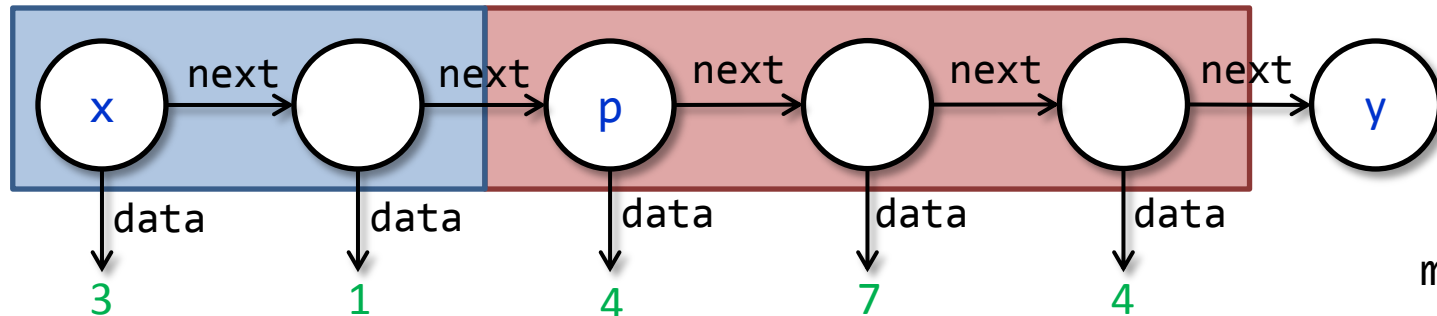
```
procedure split(x: Node, y: Node,  
              ghost min: int, ghost max: int)  
returns (z: Node, p: Node)  
requires bnd_lseg(x, y, min, max) * x ≠ y  
ensures bnd_lseg(z, p, min, p.data) *  
        bnd_lseg(p, y, p.data, max)  
ensures p ≠ y * min ≤ p.data ≤ max
```



min = 1
max = 7

Split with SL Specification

```
procedure split(x: Node, y: Node,  
              ghost min: int, ghost max: int)  
returns (z: Node, p: Node)  
requires bnd_lseg(x, y, min, max) * x ≠ y  
ensures bnd_lseg(z, p, min, p.data) *  
        bnd_lseg(p, y, p.data, max)  
ensures p ≠ y * min ≤ p.data ≤ max
```

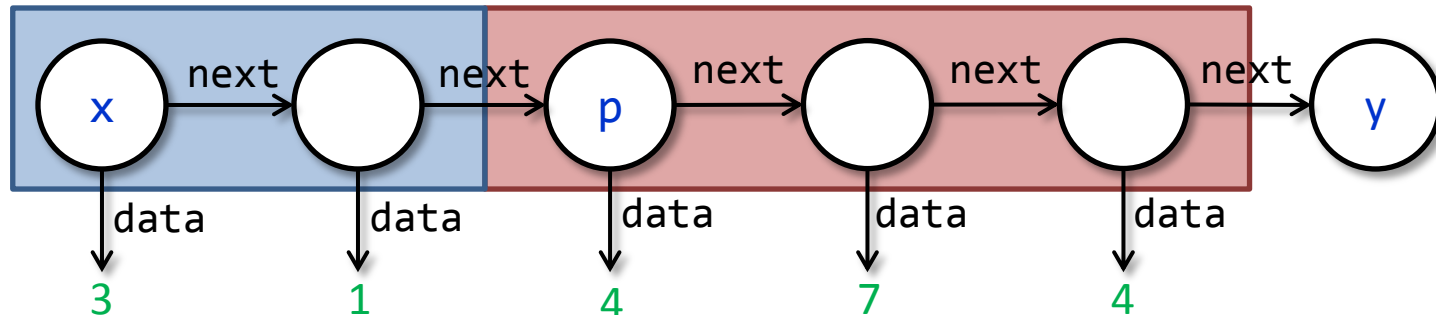


min = 1
max = 7

Split with SL Specification

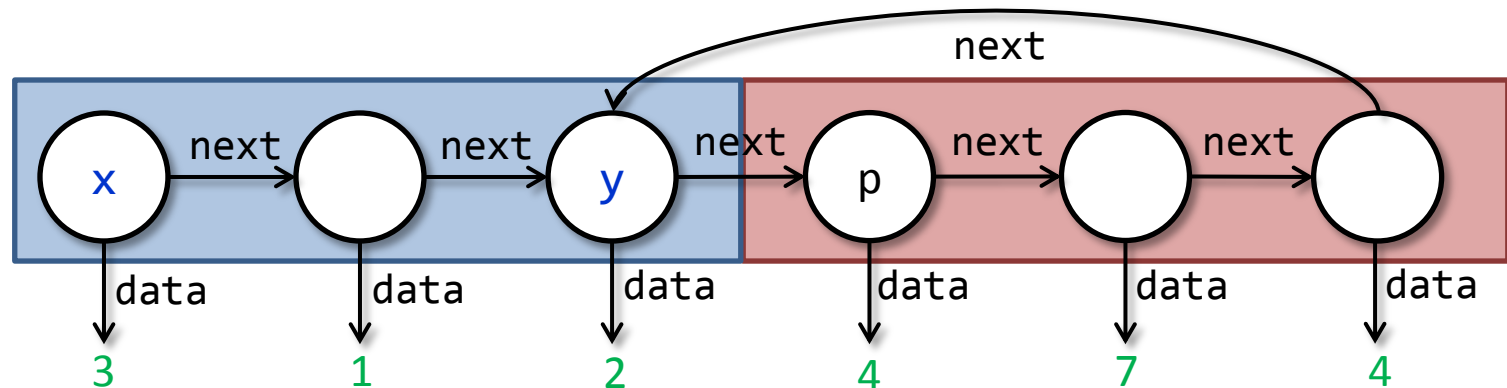
```
procedure split(x: Node, y: Node,  
              ghost min: int, ghost max: int)  
returns (z: Node, p: Node)  
requires bnd_lseg(x, y, min, max) * x ≠ y  
ensures bnd_lseg(z, p, min, p.data) *  
        bnd_lseg(p, y, p.data, max)  
ensures p ≠ y * min ≤ p.data ≤ max
```

free memory



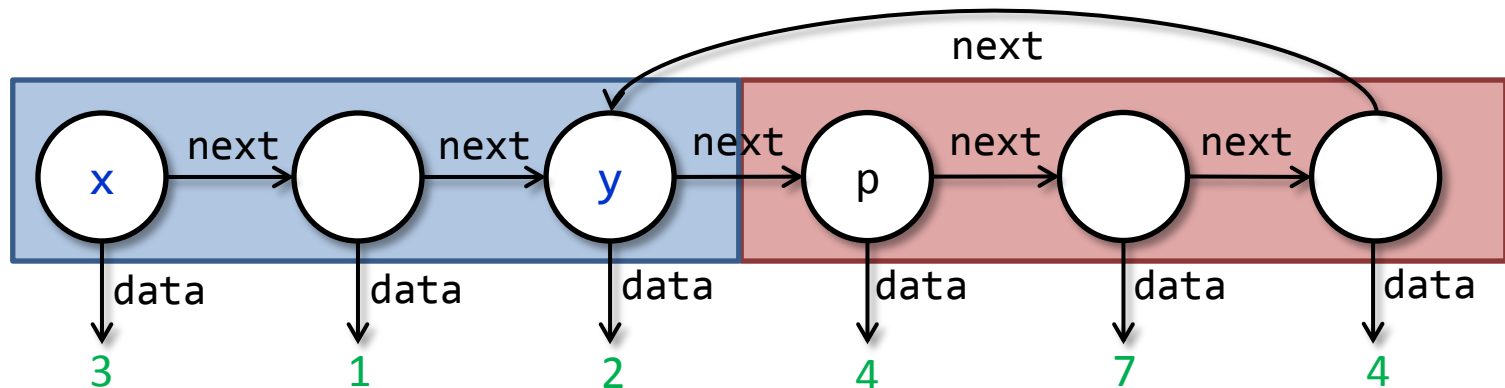
Counterexample for Quicksort Spec.

```
procedure split(x: Node, y: Node,  
              ghost min: int, ghost max: int)  
returns (z: Node, p: Node)  
requires bnd_lseg(x, y, min, max) * x ≠ y  
ensures bnd_lseg(z, p, min, p.data) *  
        bnd_lseg(p, y, p.data, max)  
ensures p ≠ y * min ≤ p.data ≤ max
```



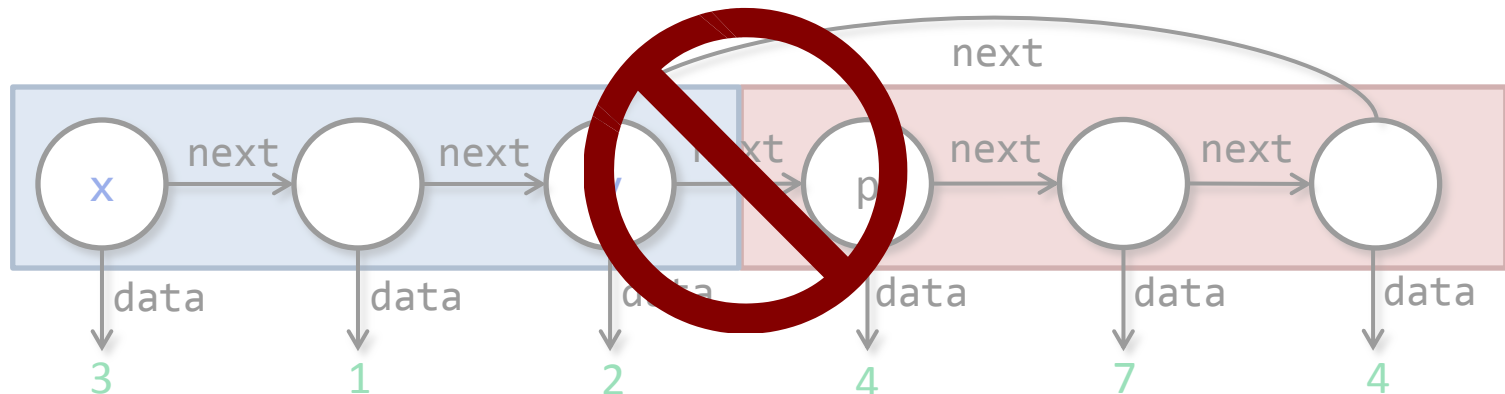
Split with Mixed Specification

```
procedure split(x: Node, y: Node,  
              ghost min: int, ghost max: int)  
returns (z: Node, p: Node)  
requires bnd_lseg(x, y, min, max) * x ≠ y  
ensures bnd_lseg(z, p, min, p.data) *  
        bnd_lseg(p, y, p.data, max) * Btwn(next, x, p, y)  
ensures p ≠ y * min ≤ p.data ≤ max
```



Split with Mixed Specification

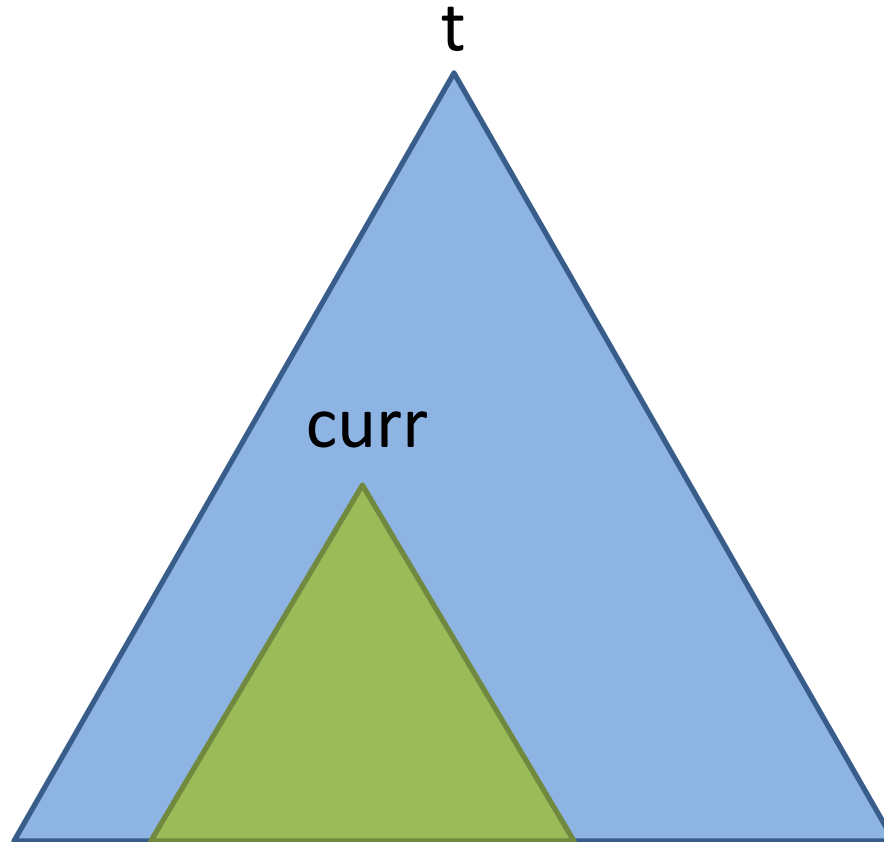
```
procedure split(x: Node, y: Node,  
              ghost min: int, ghost max: int)  
returns (z: Node, p: Node)  
requires bnd_lseg(x, y, min, max) * x ≠ y  
ensures bnd_lseg(z, p, min, p.data) *  
        bnd_lseg(p, y, p.data, max) * Btwn(next, x, p, y)  
ensures p ≠ y * min ≤ p.data ≤ max
```



Poor Man's Magic Wand

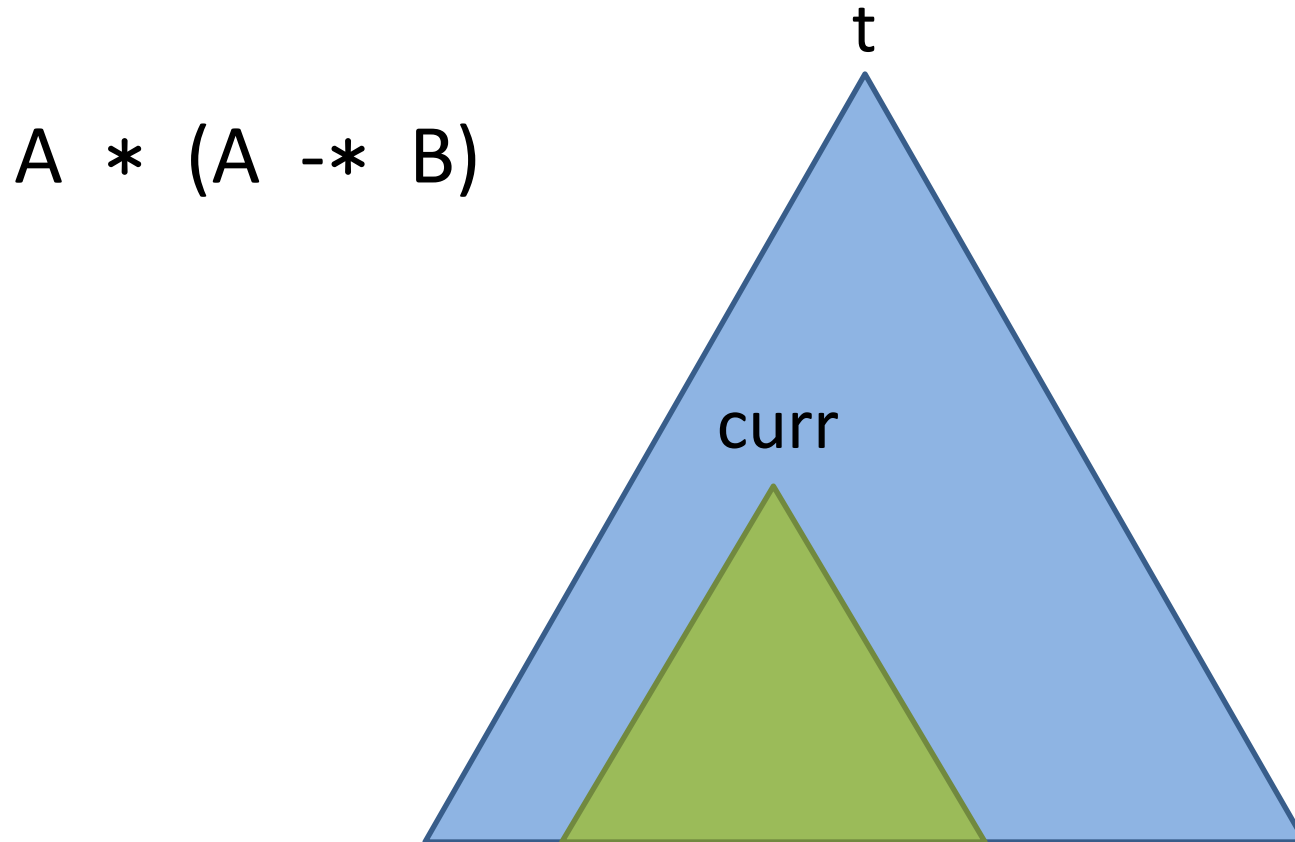
```
procedure contains(t: Tree, val: Int)
  returns (res: Bool)
  requires tree(t)
  ensures tree(t)
{
  var curr := root;
  while (curr != null && curr.data != val)
    invariant ?
  {
    if (curr.data > val)
      curr := curr.left;
    else if (curr.data < val)
      curr := curr.right;
  }
  return curr != null;
}
```

Poor Man's Magic Wand



$\text{tree}(\text{curr}) * (\text{tree}(\text{curr}) -* \text{tree}(\text{t}))$

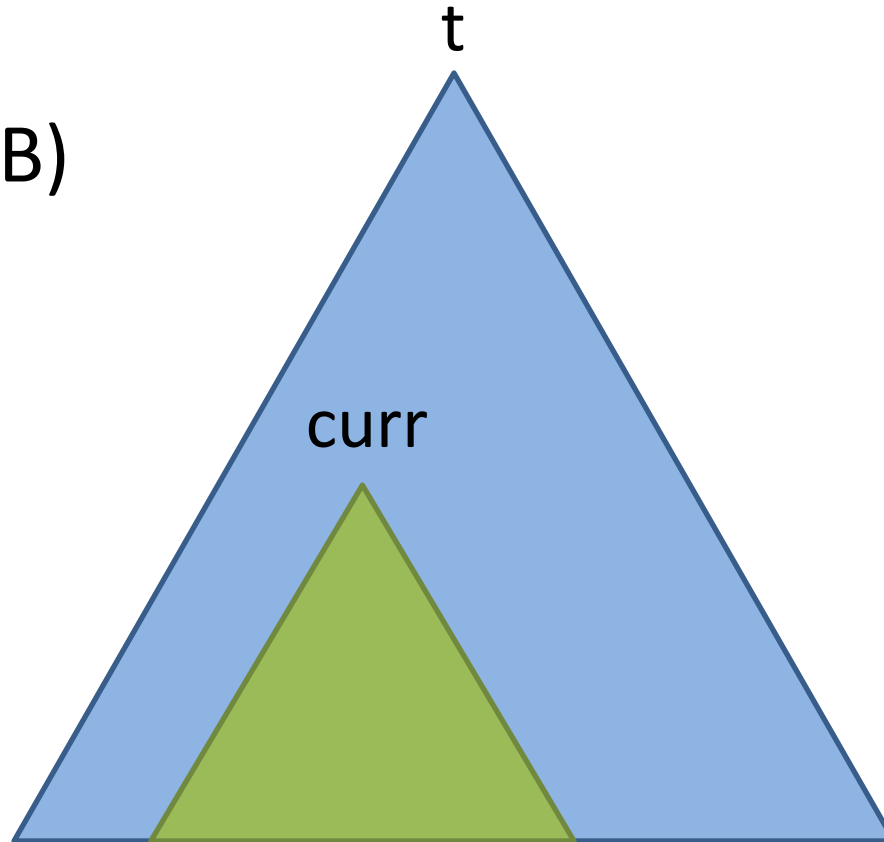
Poor Man's Magic Wand



$tree(curr) * (tree(curr) -* tree(t))$

Poor Man's Magic Wand

$$A * (A -* B)$$
$$= A -** B$$



$$\text{tree}(\text{curr}) * (\text{tree}(\text{curr}) -* \text{tree}(t))$$

Poor Man's Magic Wand

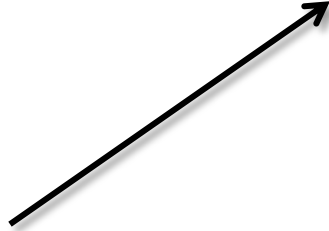
```
procedure contains(t: Tree, val: Int)
  returns (res: Bool)
  requires tree(t)
  ensures tree(t)
{
  var curr := root;
  while (curr != null && curr.data != val)
    invariant tree(curr) -** tree(root)
    {
      if (curr.data > val)
        curr := curr.left;
      else if (curr.data < val)
        curr := curr.right;
    }
  return curr != null;
}
```

Overview of Approach

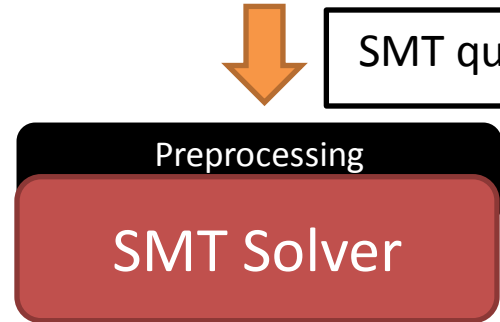
1. Make frame rule explicit



2. Translate SL assertions to FOL



3. Decide generated VCs

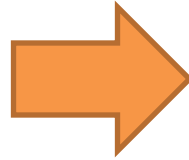


Step 1: Make Frame Rule Explicit

Encoding the Frame Rule

Allocated Memory

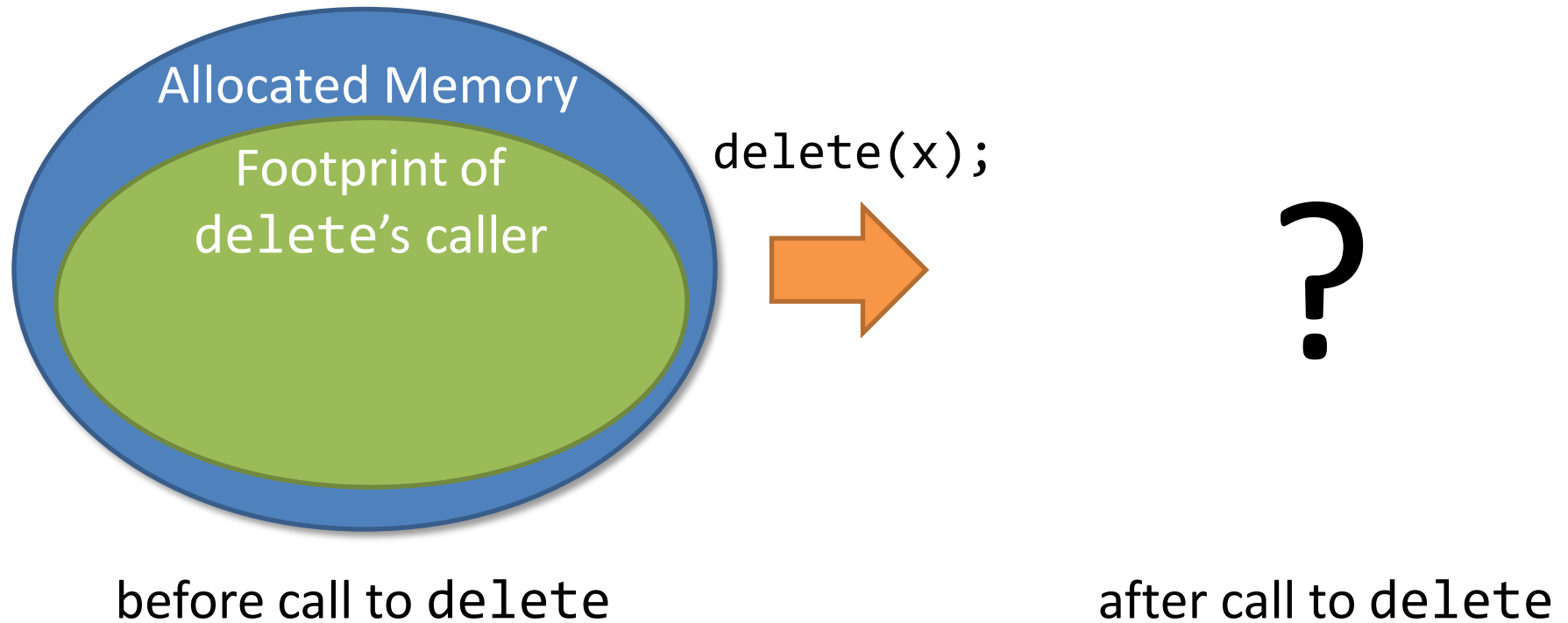
`delete(x);`



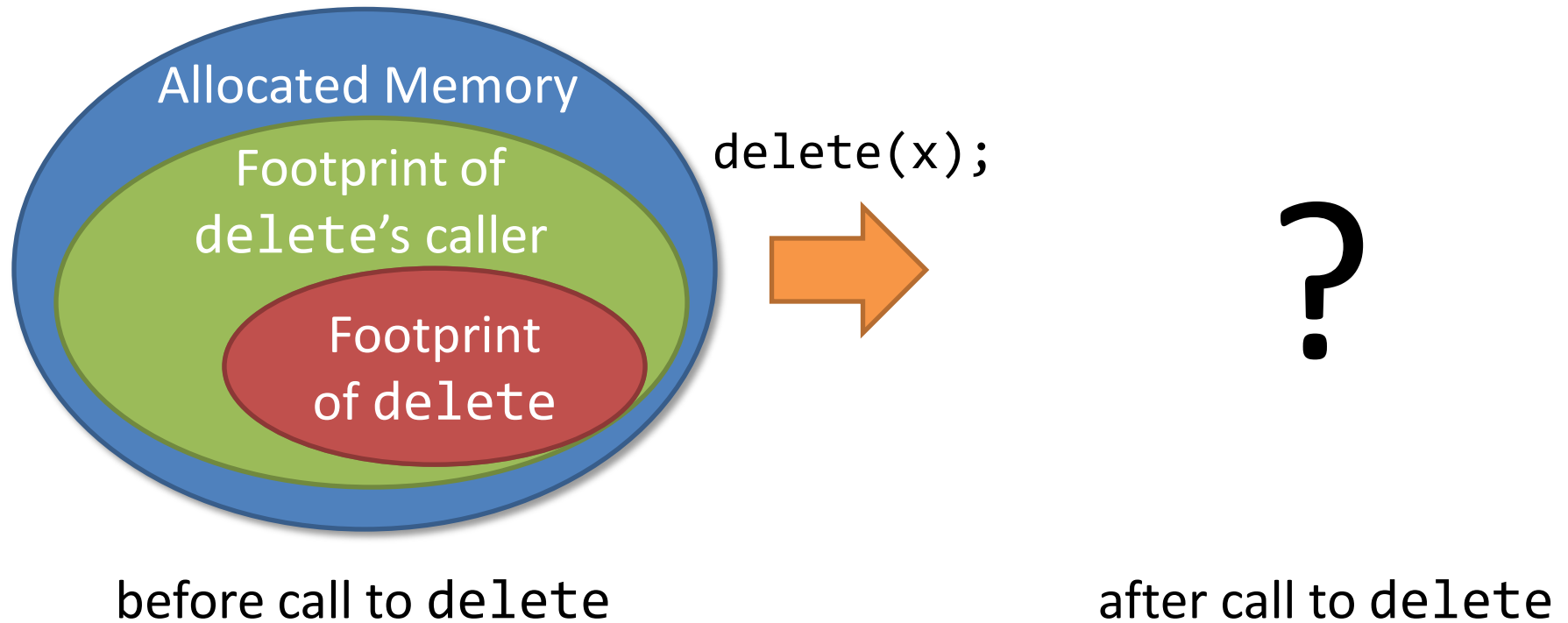
before call to `delete`

after call to `delete`

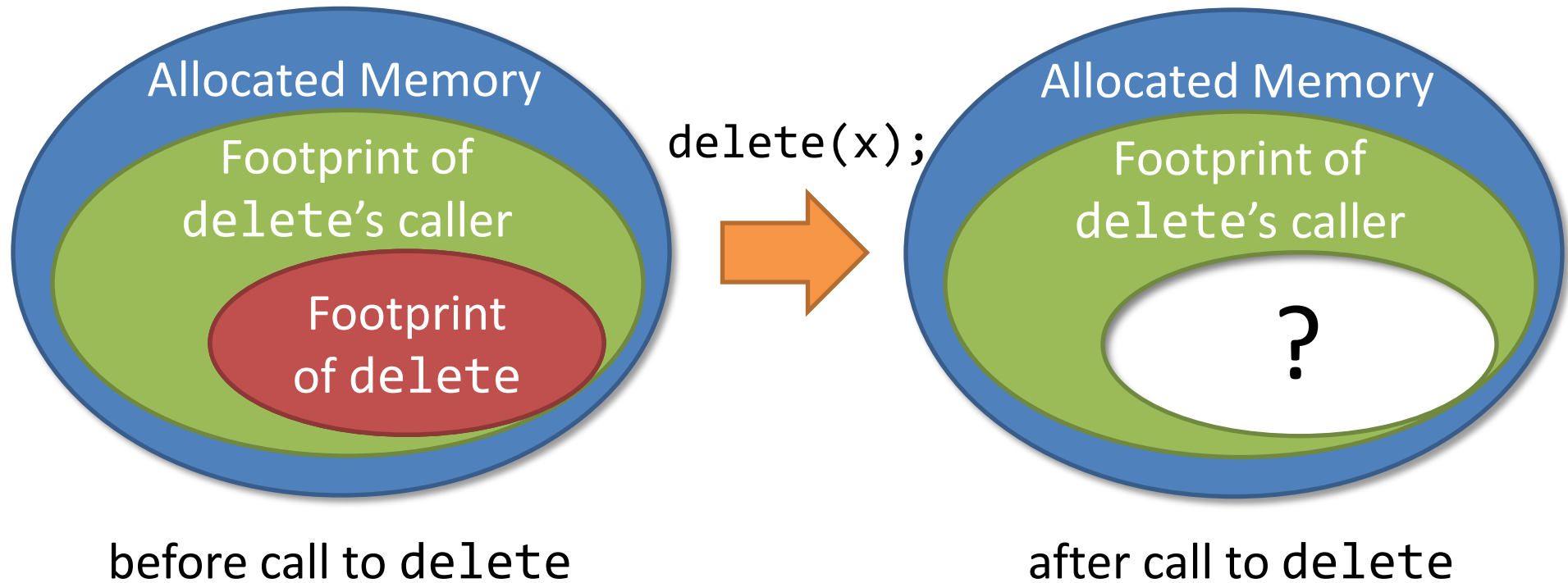
Encoding the Frame Rule



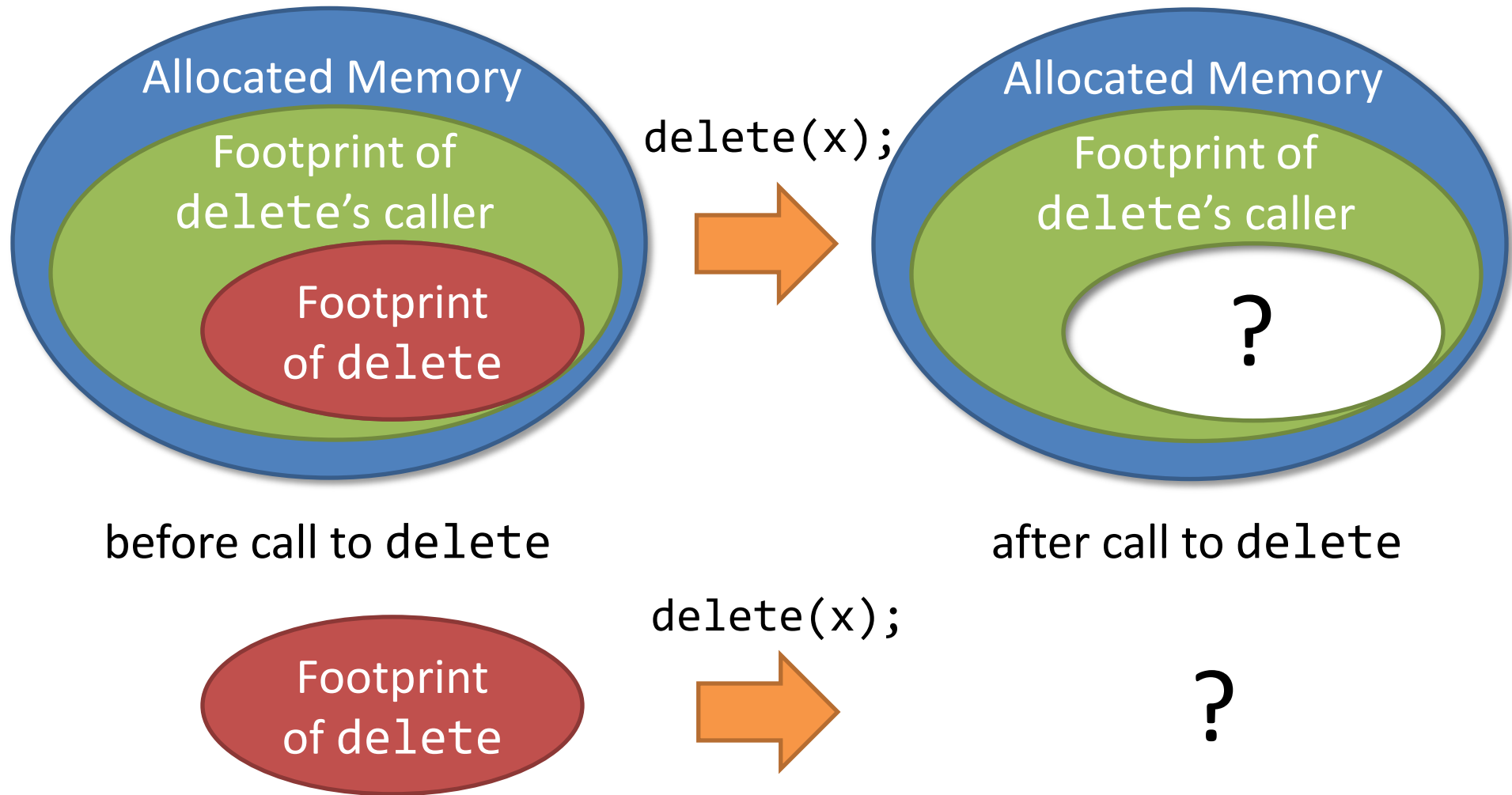
Encoding the Frame Rule



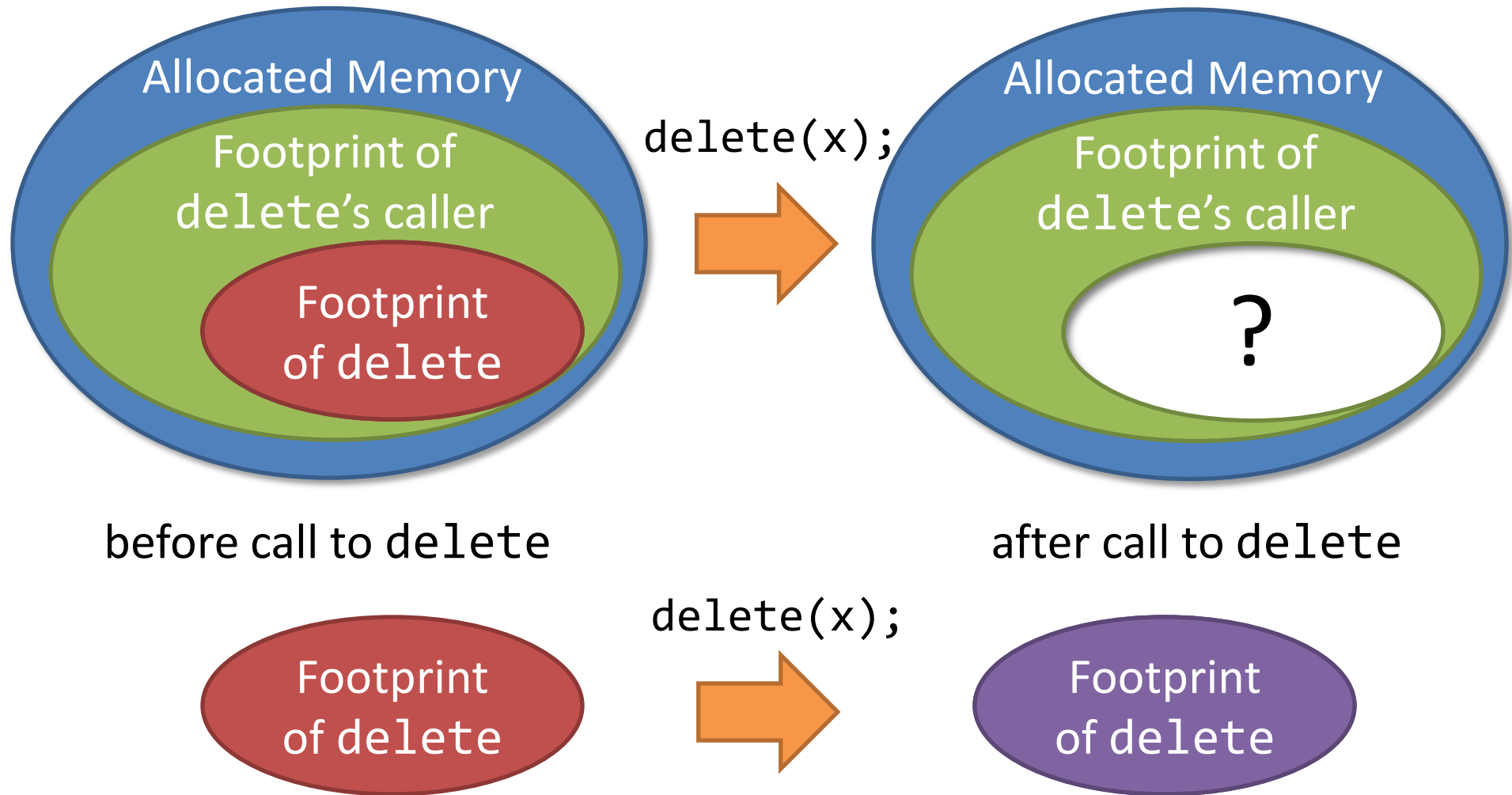
Encoding the Frame Rule



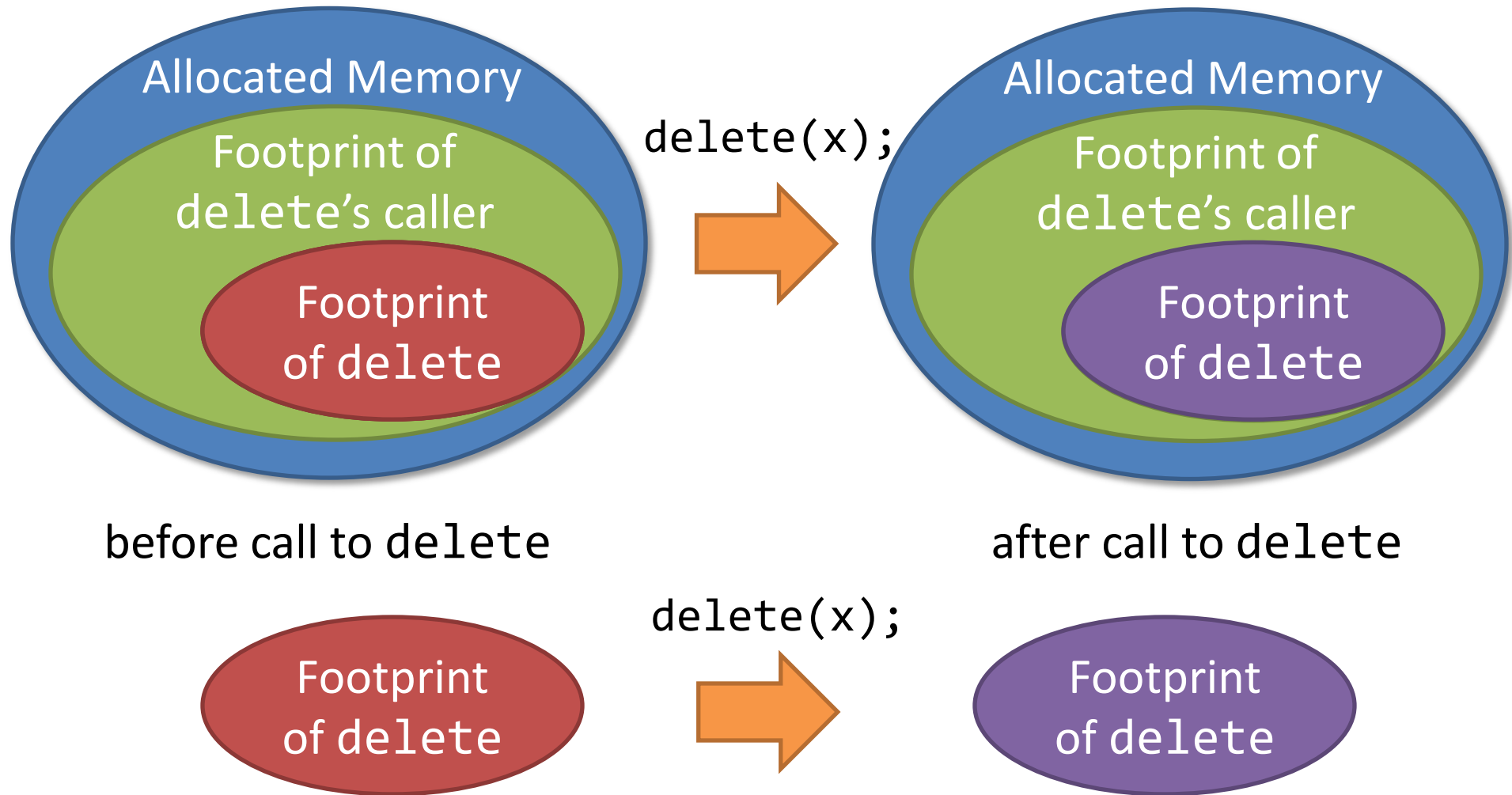
Encoding the Frame Rule



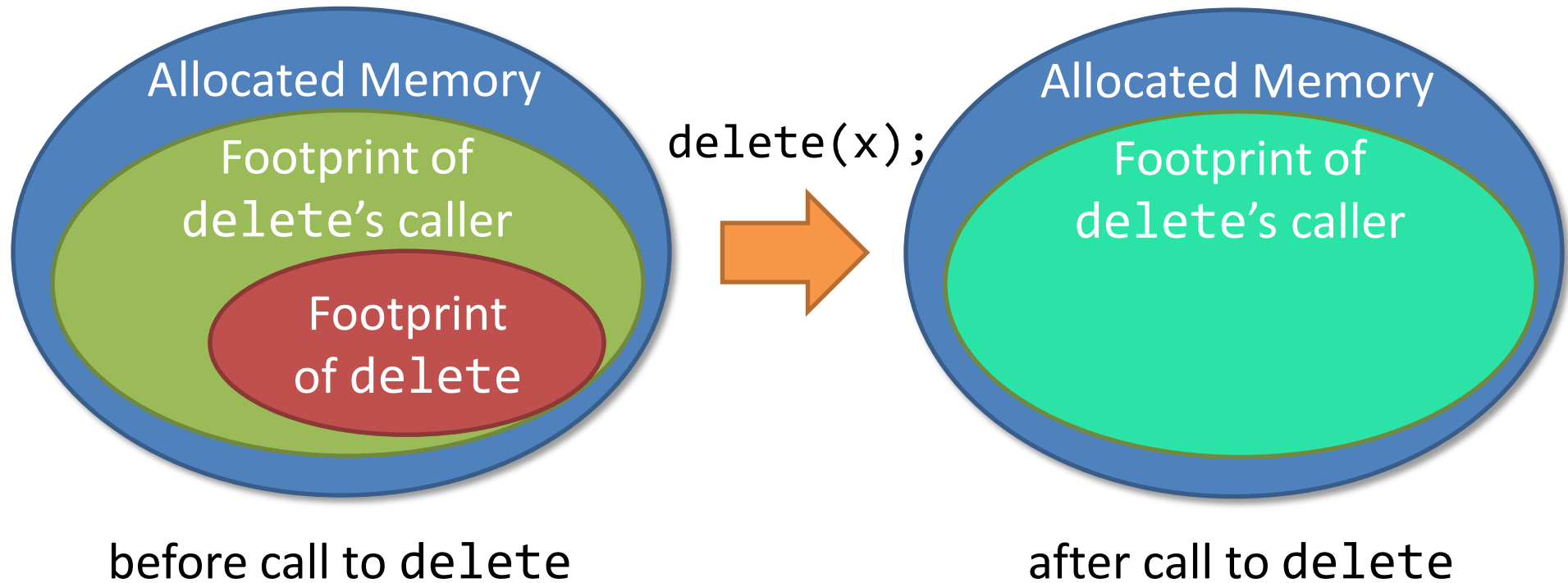
Encoding the Frame Rule



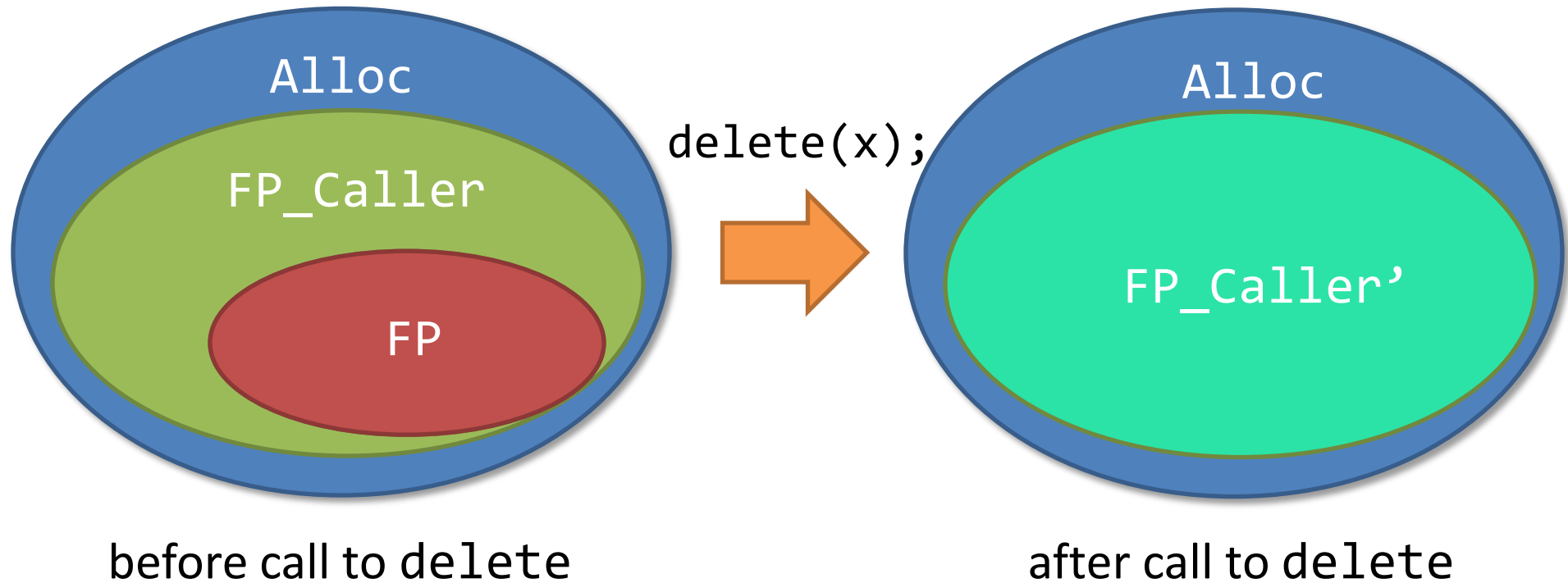
Encoding the Frame Rule



Encoding the Frame Rule



Encoding the Frame Rule



Encoding the Frame Rule

```
procedure delete(x: Node
```

```
)
```

```
{
```

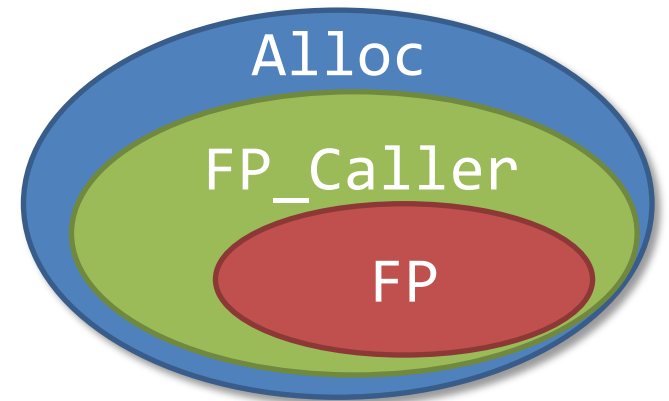
```
  if (x != null) {
```

```
    delete(x.next);
```

```
    free(x);
```

```
  }
```

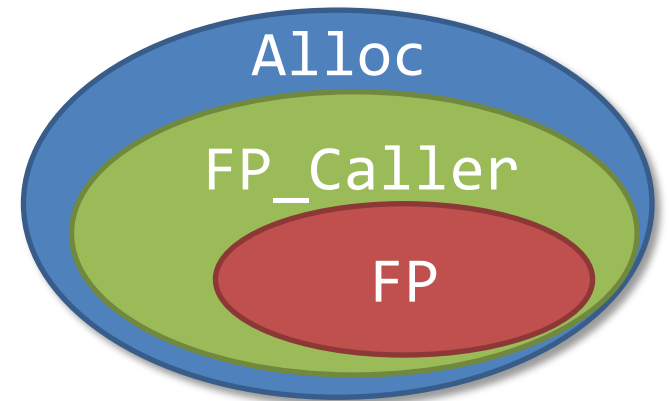
```
}
```



Encoding the Frame Rule

```
ghost var Alloc: set<Node>;

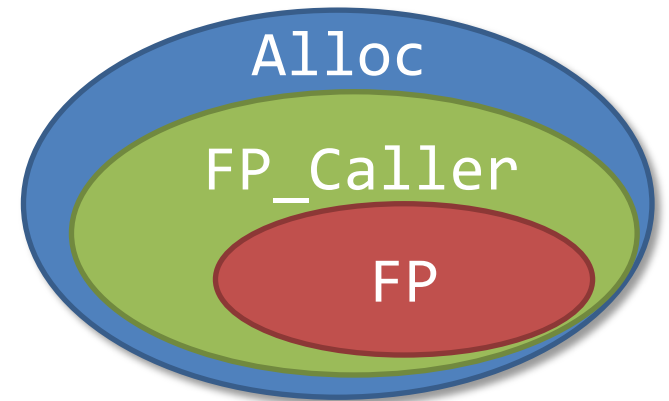
procedure delete(x: Node,
                ghost FP_Caller: set<Node>,
                implicit ghost FP: set<Node>)
returns (ghost FP_Caller': set<Node>)
{
    if (x != null) {
        FP := delete(x.next, FP);
        FP := free(x, FP);
    }
}
```



Encoding the Frame Rule

```
ghost var Alloc: set<Node>;

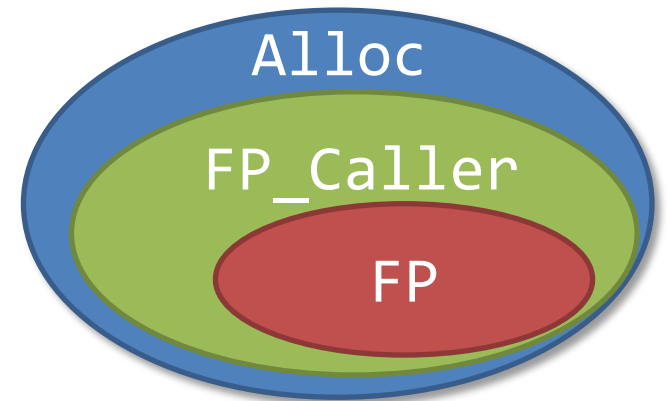
procedure delete(x: Node)
    ghost FP_Caller: set<Node>,
    implicit ghost FP: set<Node>)
returns (ghost FP_Caller': set<Node>)
{
    FP_Caller' := FP_Caller \ FP;
    if (x != null) {
        FP := delete(x.next, FP);
        FP := free(x, FP);
    }
    FP_Caller' := FP_Caller' U FP;
}
```



Encoding the Frame Rule

```
ghost var Alloc: set<Node>;

procedure delete(x: Node)
    ghost FP_Caller: set<Node>,
    implicit ghost FP: set<Node>)
returns (ghost FP_Caller': set<Node>)
{
  FP_Caller' := FP_Caller \ FP;
  if (x != null) {
    assert x ∈ FP;
    FP := delete(x.next, FP);
    FP := free(x, FP);
  }
  FP_Caller' := FP_Caller' ∪ FP;
}
```



Encoding the Frame Rule

```
procedure delete(x: Node,  
                ghost FP_Caller: set<Node>,  
                implicit ghost FP: set<Node>)  
returns (ghost FP_Caller': set<Node>)  
requires lseg(x, null)
```

ensures emp

```
{ ... }
```

Encoding the Frame Rule

```
procedure delete(x: Node,  
                ghost FP_Caller: set<Node>,  
                implicit ghost FP: set<Node>)  
  returns (ghost FP_Caller': set<Node>)  
  requires FP  $\subseteq$  FP_Caller  
  requires Tr(lseg(x,null), FP)  
  
  ensures Tr(emp, (Alloc  $\cap$  FP)  $\cup$  (Alloc \old(Alloc)))
```

```
{ ... }
```


Encoding the Frame Rule

```
procedure delete(x: Node,  
                ghost FP_Caller: set<Node>,  
                implicit ghost FP: set<Node>)  
returns (ghost FP_Caller': set<Node>)  
  requires FP  $\subseteq$  FP_Caller;  
  requires Tr(lseg(x,null), FP);  
  free requires FP_Caller  $\subseteq$  Alloc;  
  free requires null  $\notin$  Alloc;  
  ensures Tr(emp, (Alloc  $\cap$  FP)  $\cup$  (Alloc \ old(Alloc)));  
  free ensures Frame(old(Alloc), FP, old(next), next);  
  free ensures FP_Caller' = (FP_Caller \ FP)  $\cup$   
    (Alloc  $\cap$  FP)  $\cup$  (Alloc \ old(Alloc));  
  free ensures FP_Caller'  $\subseteq$  Alloc;  
  free ensures null  $\notin$  Alloc;  
{ ... }
```

Encoding the Frame Rule

```
procedure delete(x: Node,  
                ghost FP_Caller: set<Node>,  
                implicit ghost FP: set<Node>)  
returns (ghost FP_Caller': set<Node>)
```

```
requires FP  $\subseteq$  FP_Caller;
```

```
requires  $\exists t. (x \in FP) \wedge (FP \subseteq FP_Caller)$ 
```

free ensures $\exists t. (x \in FP) \wedge (FP \subseteq FP_Caller)$
free ensures $\exists t. (x \in FP) \wedge (FP \subseteq FP_Caller)$
ensures $\exists t. (x \in FP) \wedge (FP \subseteq FP_Caller)$
free ensures $\exists t. (x \in FP) \wedge (FP \subseteq FP_Caller)$
free ensures $\exists t. (x \in FP) \wedge (FP \subseteq FP_Caller)$

Encoding is inspired by [implicit dynamic frames](#)
[Smans, Jacobs, Piessens, 2008]

Used, e.g., in the [VeriCool](#) and [Chalice](#) tools

```
free ensures  $(Alloc \cap FP) \cup (Alloc \setminus old(Alloc))$ ;
```

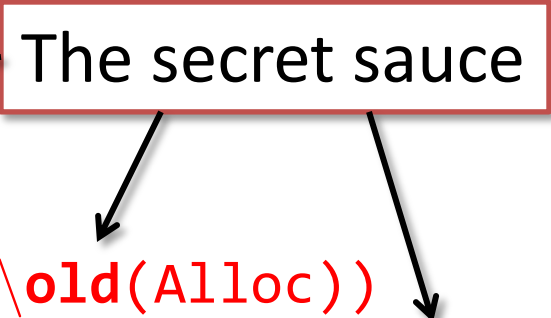
```
free ensures FP_Caller'  $\subseteq$  Alloc;
```

```
free ensures null  $\notin$  Alloc;
```

```
{ ... }
```

Encoding the Frame Rule

```
procedure delete(x: Node,  
                ghost FP_Caller: set<Node>,  
                implicit ghost FP: set<Node>)  
  returns (ghost FP_Caller': set<Node>)  
  requires FP  $\subseteq$  FP_Caller  
  requires Tr(lseg(x,null), FP) ← The secret sauce  
  free requires FP_Caller  $\subseteq$  Alloc  
  free requires null  $\notin$  Alloc  
  ensures Tr(emp, (Alloc  $\cap$  FP)  $\cup$  (Alloc \ old(Alloc)))  
  free ensures Frame(old(Alloc), FP, old(next), next)  
  free ensures FP_Caller' = (FP_Caller \ FP)  $\cup$   
                           (Alloc  $\cap$  FP)  $\cup$  (Alloc \ old(Alloc))  
  free ensures FP_Caller'  $\subseteq$  Alloc  
  free ensures null  $\notin$  Alloc  
{ ... }
```



Step 2: Translating SL Assertions

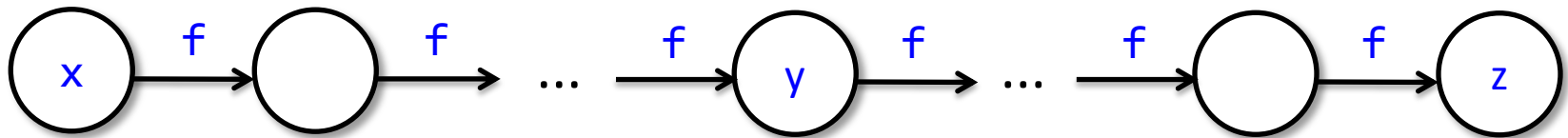
Target of Translation: GRASS (Graph Reachability and Stratified Sets)

- Theory of Reachability in Mutable Graphs
 - encodes structure of the heap
(inductive predicates)
- Theory of Stratified Sets
 - encodes frame rule / footprints (spatial conjunction)

Reachability in Mutable Function Graphs

(Extension of [Nelson POPL'83], [Lahiri, Qadeer POPL'08])

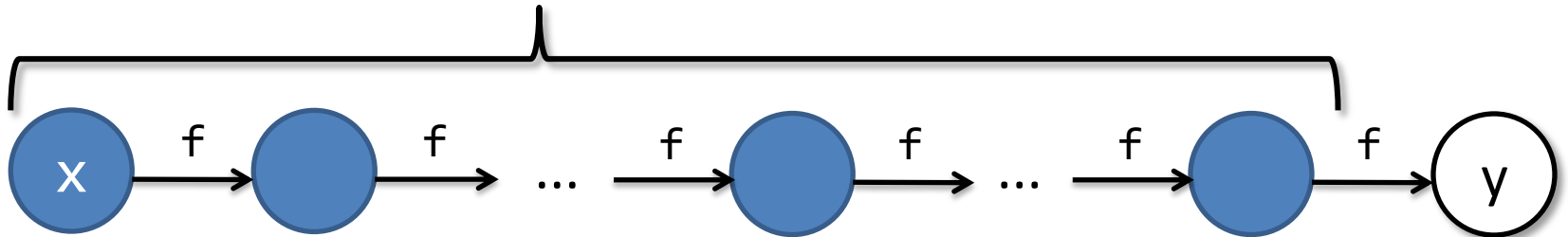
- $\text{sel}(f, x)$ field access $x.f$
- $\text{upd}(f, x, y)$ field update $f[x := y]$
- $\text{Btwn}(f, x, y, z)$ reachability $x \xrightarrow{f} y \xrightarrow{f} z$



$\text{Btwn}(f, x, y, z)$ means z is reachable from x via f
and y is on the shortest path between x and z

Stratified Sets

- operations: $X \cup Y, X \cap Y, X \setminus Y, \dots$
- predicates: $x \in X, X \subseteq Y, X = Y$
- literals: $\{ x. P(x) \}$
 - Examples:
 - $\{ z. z = x \}$
 - $\{ z. \text{Btwn}(f, x, z, y) \wedge z \neq y \}$



Translating SL Assertions to GRASS

- $\text{Tr}(\text{emp}, X) \equiv X = \emptyset$
- $\text{Tr}(\text{acc}(x), X) \equiv X = \{x\}$
- $\text{Tr}(F, X) \equiv F \wedge X = \emptyset$ if F is pure
- $\text{Tr}(\text{lseg}(x,y), X) \equiv \text{Btwn}(\text{next}, x, y, y) \wedge$
 $X = \{z. \text{Btwn}(\text{next}, x, z, y) \wedge z \neq y\}$
- $\text{Tr}(F * G, X) \equiv \exists Y Z. \text{Tr}(F, Y) \wedge \text{Tr}(G, Z) \wedge X = Y \uplus Z$
- $\text{Tr}(F \wedge G, X) \equiv \text{Tr}(F, X) \wedge \text{Tr}(G, X)$
- $\text{Tr}(\neg F, X) \equiv \neg \text{Tr}(F, X)$

Translating SL Assertions to GRASS

- $\text{Tr}(\text{emp}, X) \equiv X = \emptyset$
- $\text{Tr}(\text{acc}(x), X) \equiv X = \{x\}$
- $\text{Tr}(F, X) \equiv F \wedge X = \emptyset$ if F is pure
- $\text{Tr}(\text{lseg}(x,y), X) \equiv \text{Btwn}(\text{next}, x, y, y) \wedge$
 $X = \{z. \text{Btwn}(\text{next}, x, z, y) \wedge z \neq y\}$
- $\text{Tr}(F * G, X) \equiv \exists Y Z. \text{Tr}(F, Y) \wedge \text{Tr}(G, Z) \wedge X = Y \uplus Z$
- $\text{Tr}(F \wedge G, X) \equiv \text{Tr}(F, X) \wedge \text{Tr}(G, X)$
- $\text{Tr}(\neg F, X) \equiv \neg \text{Tr}(F, X)$

Example: Delete

```
procedure delete(x: Node,  
                ghost FP_Caller: set<Node>,  
                implicit ghost FP: set<Node>)  
returns (ghost FP_Caller': set<Node>)  
  requires FP  $\subseteq$  FP_Caller  
  requires Tr(lseg(x,null), FP)  
  free requires FP_Caller  $\subseteq$  Alloc  
  free requires null  $\notin$  Alloc  
  ensures Tr(emp, (Alloc  $\cap$  FP)  $\cup$  (Alloc \ old(Alloc)))  
  free ensures Frame(old(Alloc), FP, old(next), next)  
  free ensures FP_Caller' = (FP_Caller \ FP)  $\cup$   
                           (Alloc  $\cap$  FP)  $\cup$  (Alloc \ old(Alloc))  
  free ensures FP_Caller'  $\subseteq$  Alloc  
  free ensures null  $\notin$  Alloc  
{ ... }
```

Example: Delete

```
procedure delete(x: Node,  
                ghost FP Caller: set<Node>,  
                implicit ghost FP: set<Node>)  
returns (ghost FP Caller': set<Node>)  
  requires FP  $\subseteq$  FP Caller  
  requires Btwn(next,x,y,y)  $\wedge$  FP = {z. Btwn(next,x,z,y)  $\wedge$  z  $\neq$  y}  
  free requires FP Caller  $\subseteq$  Alloc  
  free requires null  $\notin$  Alloc  
  ensures (Alloc  $\cap$  FP)  $\cup$  (Alloc  $\setminus$  old(Alloc)) =  $\emptyset$   
  free ensures Frame(old(Alloc), FP, old(next), next)  
  free ensures FP Caller' = (FP Caller  $\setminus$  FP)  $\cup$   
    (Alloc  $\cap$  FP)  $\cup$  (Alloc  $\setminus$  old(Alloc))  
  free ensures FP Caller'  $\subseteq$  Alloc  
  free ensures null  $\notin$  Alloc  
{ ... }
```

Step 3: Deciding GRASS

First-Order Axioms for Btwn

- $\forall f x. \text{Btwn}(f, x, x, x)$
- $\forall f x. \text{Btwn}(f, x, x.f, x.f)$
- $\forall f x y. \text{Btwn}(f, x, y, y) \Rightarrow x = y \vee \text{Btwn}(f, x, x.f, y)$
- $\forall f x y. x.f = x \wedge \text{Btwn}(f, x, y, y) \Rightarrow x = y$
- $\forall f x y. \text{Btwn}(f, x, y, x) \Rightarrow x = y$
- $\forall f x y z. \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z) \Rightarrow \text{Btwn}(f, x, y, z) \vee \text{Btwn}(f, x, z, y)$
- $\forall f x y z. \text{Btwn}(f, x, y, z) \Rightarrow \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z)$
- $\forall f x y z. \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z) \Rightarrow \text{Btwn}(f, x, z, z)$
- $\forall f x y z u. \text{Btwn}(f, x, y, z) \wedge \text{Btwn}(f, y, u, z) \Rightarrow \text{Btwn}(f, x, u, z) \wedge \text{Btwn}(f, x, y, u)$
- $\forall f x y z u. \text{Btwn}(f, x, y, z) \wedge \text{Btwn}(f, x, u, y) \Rightarrow \text{Btwn}(f, x, u, z) \wedge \text{Btwn}(f, y, u, z)$

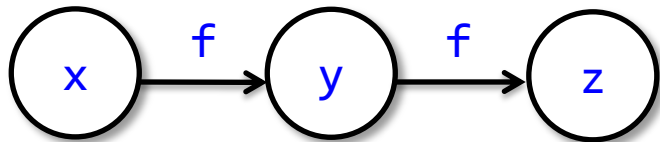
First-Order Axioms for Btwn

- $\forall f x. \text{Btwn}(f, x, x, x)$
- $\forall f x. \text{Btwn}(f, x, x.f, x.f)$
- $\forall f x y. \text{Btwn}(f, x, y, y) \Rightarrow x = y \vee \text{Btwn}(f, x, x.f, y)$
- $\forall f x y. x.f = x \wedge \text{Btwn}(f, x, y, y) \Rightarrow x = y$
- $\forall f x y. \text{Btwn}(f, x, y, x) \Rightarrow x = y$
- $\forall f x y z. \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z) \Rightarrow \text{Btwn}(f, x, y, z) \vee \text{Btwn}(f, x, z, y)$
- $\forall f x y z. \text{Btwn}(f, x, y, z) \Rightarrow \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z)$
- $\forall f x y z. \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z) \Rightarrow \text{Btwn}(f, x, z, z)$
- $\forall f x y z u. \text{Btwn}(f, x, y, z) \wedge \text{Btwn}(f, y, u, z) \Rightarrow \text{Btwn}(f, x, u, z) \wedge \text{Btwn}(f, x, y, u)$
- $\forall f x y z u. \text{Btwn}(f, x, y, z) \wedge \text{Btwn}(f, x, u, y) \Rightarrow \text{Btwn}(f, x, u, z) \wedge \text{Btwn}(f, y, u, z)$

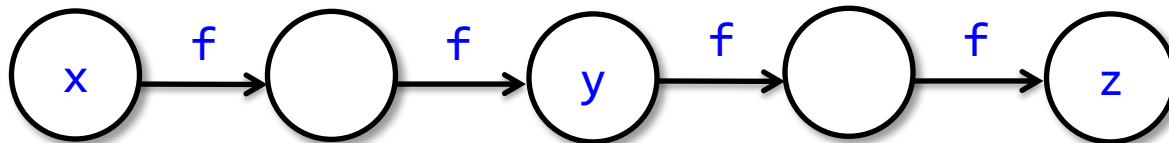
But I thought transitive closure was not first-order definable!?

Completeness of Axioms for Btwn

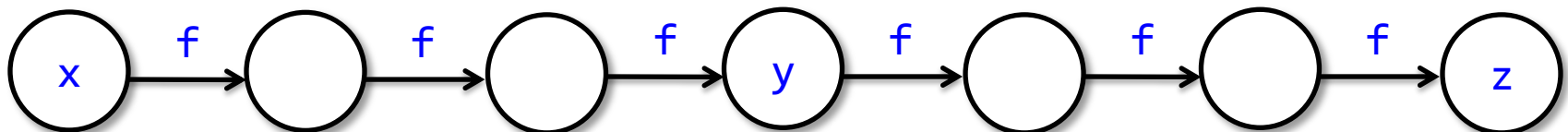
- A model of $\text{Btwn}(f,x,y,z)$



- and another model

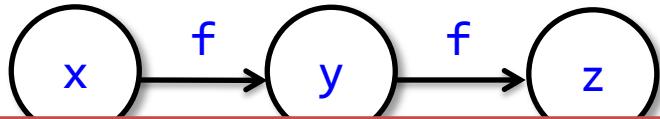


- and another



Completeness of Axioms for Btwn

- A model of $\text{Btwn}(f,x,y,z)$

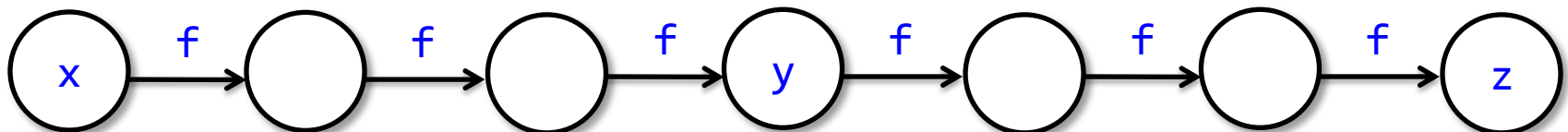


There are arbitrarily large finite models

+

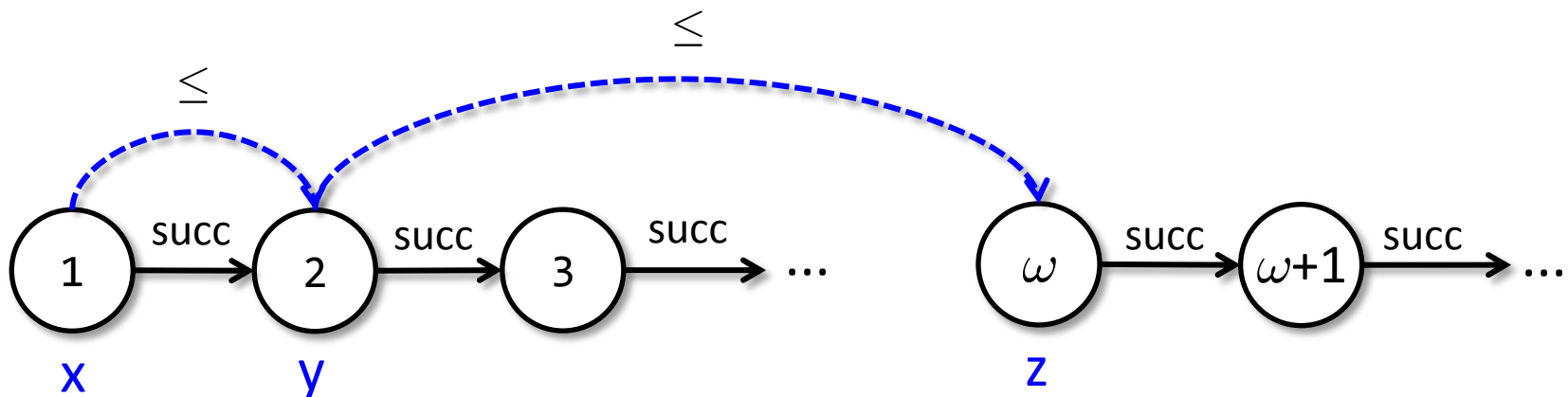
Compactness Theorem \Rightarrow there must also be infinite models

- and another



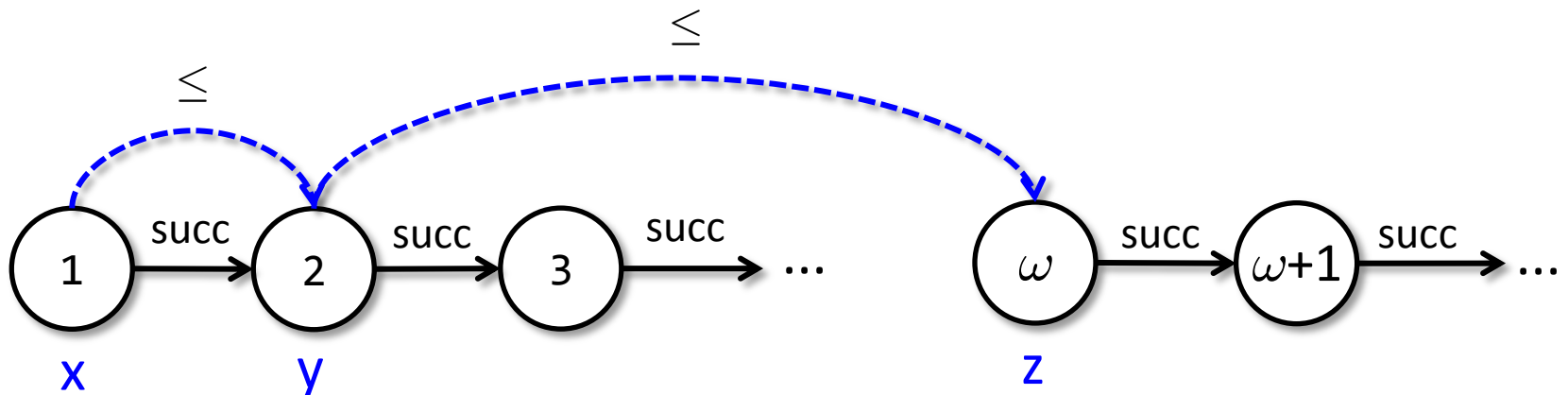
A Degenerated Infinite Model M of $\text{Btwn}(f,x,y,z)$

- $M =$ ordinal numbers
- $M(f) = \text{succ}$
- $M(\text{Btwn}) = \lambda uvw. u \leq v \wedge v \leq w$



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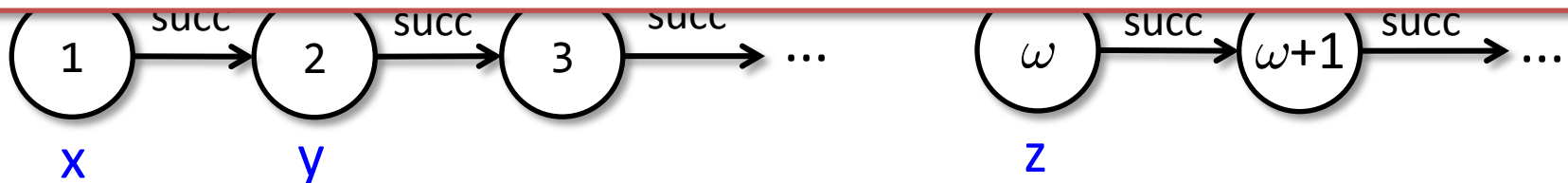
\leq is not succ*

A *Degenerated* Infinite Model M of $\text{Btwn}(f,x,y,z)$

- $M =$ ordinal numbers
- $M(f) = \text{succ}$

Completeness of first-order axioms for Btwn:

- Only infinite models can be degenerated
- If there is a model, then there is also a finite one



\leq is not succ*

First-Order Axioms for Btwn

Almost in EPR!

- $\forall f x. \text{Btwn}(f, x, x, x)$
- $\forall f x. \text{Btwn}(f, x, \text{sel}(f, x), \text{sel}(f, x))$
- $\forall f x y. \text{Btwn}(f, x, y, y) \Rightarrow x = y \vee \text{Btwn}(f, x, \text{sel}(f, x), y)$
- $\forall f x y. \text{sel}(f, x) = x \wedge \text{Btwn}(f, x, y, y) \Rightarrow x = y$
- $\forall f x y. \text{Btwn}(f, x, y, x) \Rightarrow x = y$
- $\forall f x y z. \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z) \Rightarrow \text{Btwn}(f, x, y, z) \vee \text{Btwn}(f, x, z, y)$
- $\forall f x y z. \text{Btwn}(f, x, y, z) \Rightarrow \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z)$
- $\forall f x y z. \text{Btwn}(f, x, y, y) \wedge \text{Btwn}(f, y, z, z) \Rightarrow \text{Btwn}(f, x, z, z)$
- $\forall f x y z u. \text{Btwn}(f, x, y, z) \wedge \text{Btwn}(f, y, u, z) \Rightarrow \text{Btwn}(f, x, u, z) \wedge \text{Btwn}(f, x, y, u)$
- $\forall f x y z u. \text{Btwn}(f, x, y, z) \wedge \text{Btwn}(f, x, u, y) \Rightarrow \text{Btwn}(f, x, u, z) \wedge \text{Btwn}(f, y, u, z)$

First-Order Axioms for Btwn

Almost in EPR!

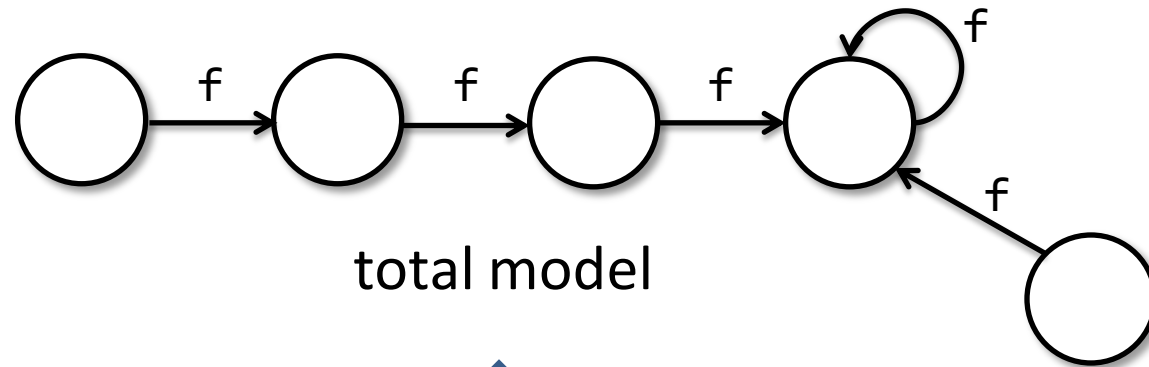
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Need to consider more general decidable fragments:

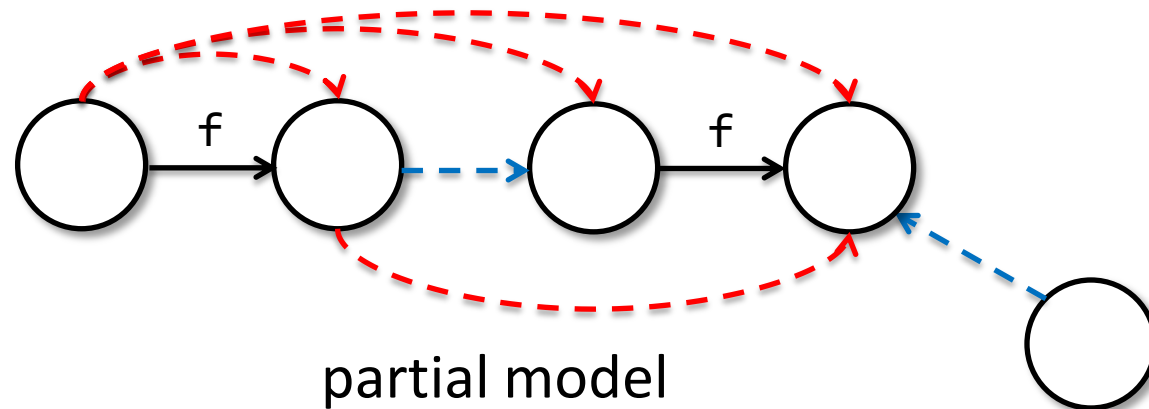
Local Theory Extensions

[Sofronie-Stokkermans, CADE'05], [Bansal et al., CAV'15]

Model Completion for Local Theory Extensions

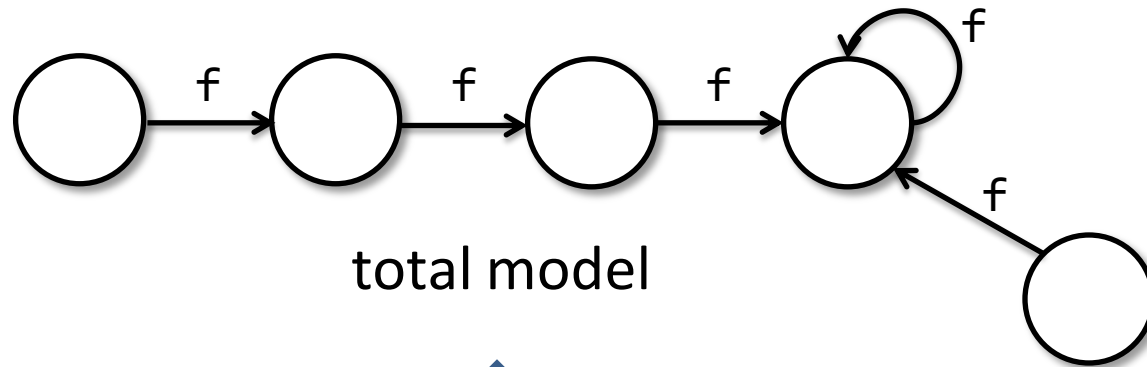


total model

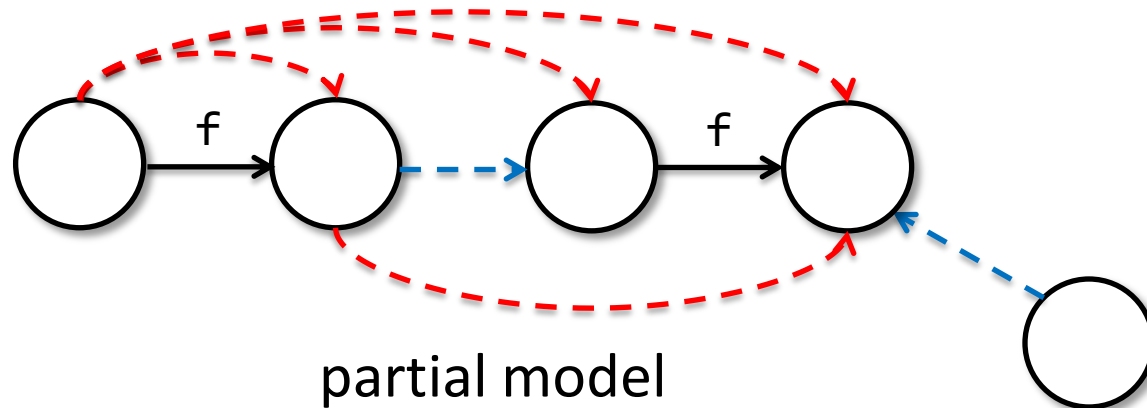


partial model

Model Completion for Local Theory Extensions

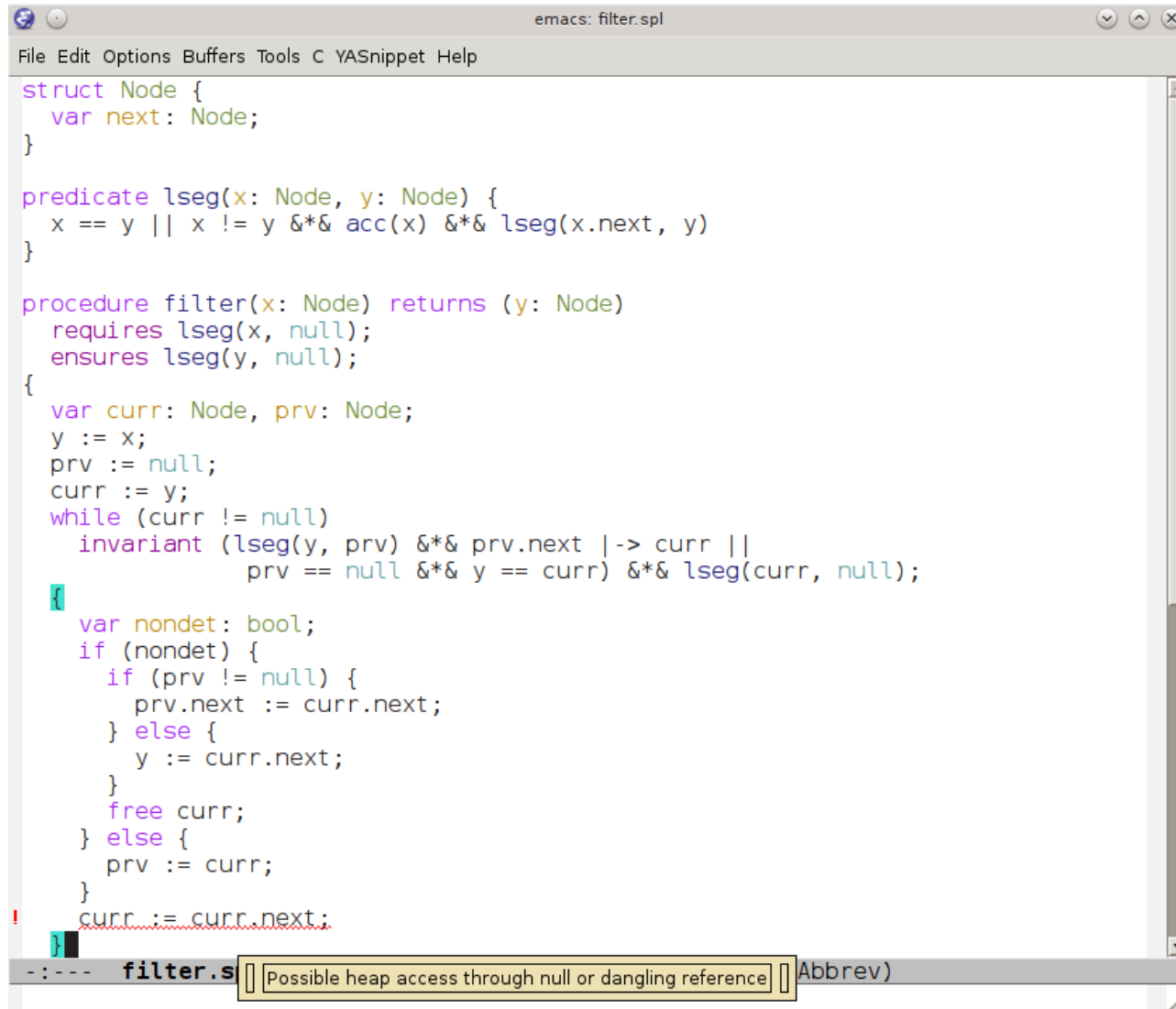


Yields NP decision procedure for GRASS



GRASShopper

<http://cs.nyu.edu/wies/software/grasshopper>



```
emacs: filter.spl
File Edit Options Buffers Tools C YASnippet Help

struct Node {
  var next: Node;
}

predicate lseg(x: Node, y: Node) {
  x == y || x != y && acc(x) && lseg(x.next, y)
}

procedure filter(x: Node) returns (y: Node)
  requires lseg(x, null);
  ensures lseg(y, null);
{
  var curr: Node, prv: Node;
  y := x;
  prv := null;
  curr := y;
  while (curr != null)
    invariant (lseg(y, prv) && prv.next |-> curr ||
              prv == null && y == curr) && lseg(curr, null);
  {
    var nondet: bool;
    if (nondet) {
      if (prv != null) {
        prv.next := curr.next;
      } else {
        y := curr.next;
      }
      free curr;
    } else {
      prv := curr;
    }
  }
  curr := curr.next;
}

!
-:--- filter.s Possible heap access through null or dangling reference Abbrev)
```


GRASShopper

<http://cs.nyu.edu/wies/software/grasshopper>

- Solvers
 - Frontend: C-like language with mixed SL/FOL specifications
 - Supported backend solvers: CVC4, Z3
- Benchmarks (~2000 LOC)
 - List data structures
 - Singly/doubly linked, bounded/sorted, with content, ...
 - sorting algorithms, set containers, ...
 - Tree data structures (still in NP!)
 - Binary search trees, skew heaps, union/find, ...
 - Arrays (work in progress)

Conclusions

- What we did: reduce reasoning in separation logic to reasoning in first-order predicate logic
- Pluses
 - best of both worlds (succinct specs, flexibility)
 - reduction remains in decidable fragments
 - theory combination for free
- Minuses
 - no intuitive proofs
 - somewhat heavier machinery