

# Recursion schemes for P, NP and Pspace

Isabel Oitavem

CMAF-UL and FCT-UNL

# P, NP, Pspace: models of computation

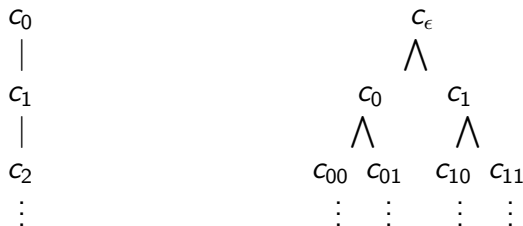
- ▶ Model of computation
  - ▶ **Ptime**: Deterministic TM;
  - ▶ **NP**: Non-deterministic TM;
  - ▶ **Pspace**: Alternating TM.
- ▶ Resource constraint: **polynomial time**.

# P, NP, Pspace: models of computation

- ▶ Model of computation
  - ▶ Ptime: Deterministic TM;
  - ▶ NP: Non-deterministic TM;
  - ▶ Pspace: Alternating TM.
- ▶ Resource constraint: polynomial time.

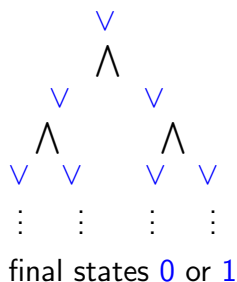
## DTM

NTM and ATM

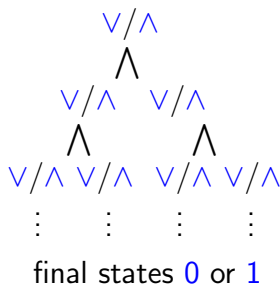


# NP, Pspace: models of computation

## NTM



## ATM



Go back

# Recursion-theoretic approach

- ▶ One works over  $\mathbb{W} = \langle \epsilon, S_0, S_1 \rangle$ .
- ▶ Class of **initial functions**  $\mathcal{I}$ :
  - ▶  $\epsilon$ ,  $S_0$  and  $S_1$  (the constructors of the algebra);
  - ▶  $P$  (predecessor);
  - ▶  $C$  (case distinction);
  - ▶  $\pi_i^n$  (projections).

# Recursion-theoretic approach

- ▶ One works over  $\mathbb{W} = \langle \epsilon, S_0, S_1 \rangle$ .
- ▶ Class of **initial functions**  $\mathcal{I}$ :
  - ▶  $\epsilon$ ,  $S_0$  and  $S_1$  (the constructors of the algebra);
  - ▶  $P$  (predecessor);
  - ▶  $C$  (case distinction);
  - ▶  $\pi_i^n$  (projections).

[ $\mathcal{I}$ ; **Composition**, **Recursion schemes**]

# Recursion-theoretic approach

$$\mathbf{P} \subseteq \mathbf{NP} \subseteq \mathbf{Pspace}$$

$$\mathbf{FPtime} \subseteq \quad \subseteq \mathbf{FPspace}$$

# Recursion-theoretic approach

$$\mathbf{P} \subseteq \mathbf{NP} \subseteq \mathbf{Pspace}$$

$$\mathbf{FPtime} \subseteq \mathbf{FPtime} \cup \mathbf{NP} \subseteq \mathbf{FPspace}$$



# Recursion-theoretic approach

$$P \subseteq NP \subseteq Pspace$$

$$FPtime \subseteq \underbrace{FPtime \cup NP}_{FNPtime} \subseteq FPspace$$

# Recursion-theoretic approach

$$\mathbf{P} \subseteq \mathbf{NP} \subseteq \mathbf{Pspace}$$

$$\mathbf{FPtime} \subseteq \underbrace{\mathbf{FPtime} \cup \mathbf{NP}}_{\mathbf{FNPtime}} \subseteq \mathbf{FPspace}$$

- ▶ **Bounded approach**: machine independent, but resource dependent.
- ▶ **Implicit approach**: machine and resource independent.

# Implicit recursion-theoretic approach

Functions have **two sorts of input positions**, normal and safe:  
 $f(\bar{x}; \bar{y})$ .

**Input-sorted initial functions**  $\mathcal{SI}$ :

- ▶  $\epsilon$ ,  $S_0(; x)$  and  $S_1(; x)$  (the constructors of the algebra);
- ▶  $P(; x)$  (predecessor);
- ▶  $C(; x, y, z_0, z_1)$  (case distinction);
- ▶  $\pi_i^{m;n}$  (projections over both input sorts).

**Input-sorted composition**  $\mathcal{SC}$ :  $f(\bar{x}; \bar{y}) = g(\bar{r}(\bar{x}); \bar{s}(\bar{x}; \bar{y}))$ .

[  $\mathcal{SI}$ ;  $\mathcal{SC}$ , **Input-sorted Recursion** ]

FPtime

FNPtime

FPspace

# Implicit recursion-theoretic approach: **FPtime**

**FPtime** = [ $SI$ ; **SC**, **SR**]

(Bellantoni-Cook 1992)

**SR** (Input-sorted recursion over  $\mathbb{W}$ ):



$$f(\epsilon, \bar{x}; \bar{y}) = g(\epsilon, \bar{x}; \bar{y})$$

$$f(z0, \bar{x}; \bar{y}) = h(z0, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

$$f(z1, \bar{x}; \bar{y}) = h(z1, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

Example

# Implicit recursion-theoretic approach:

**FPtime** =  $[SI; \mathbf{SC}, \mathbf{SR}]$  (Bellantoni-Cook 1992)

**FPspace** =  $[SI; \mathbf{SC}, \mathbf{STR}]$  (Mamino and O.)

**SR** (Input-sorted recursion over  $\mathbb{W}$ ):

Example

$$f(\epsilon, \bar{x}; \bar{y}) = g(\epsilon, \bar{x}; \bar{y})$$

$$f(z0, \bar{x}; \bar{y}) = h(z0, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

$$f(z1, \bar{x}; \bar{y}) = h(z1, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

# Implicit recursion-theoretic approach:

**FPtime** = [ $\mathcal{SI}$ ; **SC**, **SR**] (Bellantoni-Cook 1992)

**FPspace** = [ $\mathcal{SI}$ ; **SC**, **STR**] (Mamino and O.)

**SR** (Input-sorted recursion over  $\mathbb{W}$ ):

Example

$$f(\epsilon, \bar{x}; \bar{y}) = g(\epsilon, \bar{x}; \bar{y})$$

$$f(z_0, \bar{x}; \bar{y}) = h(z_0, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

$$f(z_1, \bar{x}; \bar{y}) = h(z_1, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

**STR**:

Example

$$f(\epsilon, \bar{x}; \bar{y}, p) = g(\epsilon, \bar{x}; \bar{y}, p)$$

$$f(z_0, \bar{x}; \bar{y}, p) = h(z_0, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}, p_0), f(z, \bar{x}; \bar{y}, p_1))$$

$$f(z_1, \bar{x}; \bar{y}, p) = h(z_1, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}, p_0), f(z, \bar{x}; \bar{y}, p_1))$$

# Implicit recursion-theoretic approach: **FNptime**

$$\text{FPtime} \subseteq \underbrace{\text{FPtime} \cup \text{NP}}_{\text{FNptime}} \subseteq \text{FPspace}$$

$$\text{FNptime} = [ \text{FPtime}_{BC}; ? , ]$$

# Implicit recursion-theoretic approach: **FNptime**

$$\text{FPtime} \subseteq \underbrace{\text{FPtime} \cup \text{NP}}_{\text{FNptime}} \subseteq \text{FPspace}$$

$$\text{FNptime} = [ \text{FPtime}_{BC}; ? , ]$$

$$\text{COMP}_{BC}: f(\bar{x}) = h(\bar{g}(\bar{x})) \text{ with } \bar{g} \in \text{FPtime}_{BC}$$



# Implicit recursion-theoretic approach: **FNptime**

$$\text{FPtime} \subseteq \underbrace{\text{FPtime} \cup \text{NP}}_{\text{FNptime}} \subseteq \text{FPspace}$$

$$\text{FNptime} = [\text{FPtime}_{BC}; \text{COMP}_{BC}, ?]$$

$$\text{COMP}_{BC}: f(\bar{x}) = h(\bar{g}(\bar{x})) \text{ with } \bar{g} \in \text{FPtime}_{BC}$$

# Implicit recursion-theoretic approach: **FNptime**

$$\begin{array}{ccc} \text{FPtime} \subseteq \underbrace{\text{FPtime} \cup \text{NP}}_{\text{FNptime}} \subseteq \text{FPspace} & & \\ | & & | \\ \text{SR} & \text{?} & \text{STR} \end{array}$$

$$\mathbf{FNptime} = [\mathbf{FPtime}_{BC}; \mathbf{COMP}_{BC}, ?]$$

$$\mathbf{COMP}_{BC}: f(\bar{x}) = h(\bar{g}(\bar{x})) \text{ with } \bar{g} \in \text{FPtime}_{BC}$$

# Implicit recursion-theoretic approach: **FNPTIME**

$$\text{FPtime} \subseteq \underbrace{\text{FPtime} \cup \text{NP}}_{\text{FNPTIME}} \subseteq \text{FPspace}$$

|                          |

**SR**                          **?**                          **STR**

$$\text{FNPTIME} = [\text{FPtime}_{BC}; \text{COMP}_{BC}, ?]$$

**STR:**

$$f(\epsilon, \bar{x}; \bar{y}, p) = g(\epsilon, \bar{x}; \bar{y}, p)$$
$$f(z_0, \bar{x}; \bar{y}, p) = h(z_0, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}, p_0), f(z, \bar{x}; \bar{y}, p_1))$$
$$f(z_1, \bar{x}; \bar{y}, p) = h(z_1, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}, p_0), f(z, \bar{x}; \bar{y}, p_1))$$

# Implicit recursion-theoretic approach: **FNPtime**

$$\begin{array}{ccc} \text{FPtime} \subseteq & \underbrace{\text{FPtime} \cup \text{NP}}_{\text{FNPtime}} \subseteq & \text{FPspace} \\ | & & | \\ \text{SR} & ? & \text{STR} \end{array}$$

$$\text{FNPtime} = [\text{FPtime}_{BC}; \text{COMP}_{BC}, \text{STR}[\vee]] \quad (\text{O 2011})$$

**STR** $[\vee]$ :

$$\begin{aligned} f(\epsilon, \bar{x}; \bar{y}, p) &= g(\epsilon, \bar{x}; \bar{y}, p) \\ f(z0, \bar{x}; \bar{y}, p) &= \vee \left( \quad ; f(z, \bar{x}; \bar{y}, p0), f(z, \bar{x}; \bar{y}, p1) \right) \\ f(z1, \bar{x}; \bar{y}, p) &= \vee \left( \quad ; f(z, \bar{x}; \bar{y}, p0), f(z, \bar{x}; \bar{y}, p1) \right) \end{aligned}$$

# Implicit recursion-theoretic approach: **FNPTIME**

**STR**[ $\vee$ ]:

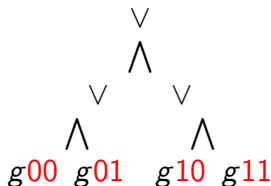
$$f(\epsilon, \bar{x}; \bar{y}, p) = g(\epsilon, \bar{x}; \bar{y}, p)$$

$$f(z0, \bar{x}; \bar{y}, p) = \vee( \quad ; f(z, \bar{x}; \bar{y}, p0), f(z, \bar{x}; \bar{y}, p1) )$$

$$f(z1, \bar{x}; \bar{y}, p) = \vee( \quad ; f(z, \bar{x}; \bar{y}, p0), f(z, \bar{x}; \bar{y}, p1) )$$

**Example:**

$f(11; \epsilon)$  leads to  $\vee(\vee(g(00), g(01)), \vee(g(10), g(11)))$



# Implicit recursion-theoretic approach: **FNPTIME**

**STR**[ $\vee$ ]:

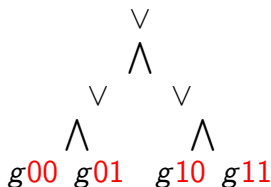
$$f(\epsilon, \bar{x}; \bar{y}, p) = g(\epsilon, \bar{x}; \bar{y}, p)$$

$$f(z_0, \bar{x}; \bar{y}, p) = \vee( \quad ; f(z, \bar{x}; \bar{y}, p_0), f(z, \bar{x}; \bar{y}, p_1) )$$

$$f(z_1, \bar{x}; \bar{y}, p) = \vee( \quad ; f(z, \bar{x}; \bar{y}, p_0), f(z, \bar{x}; \bar{y}, p_1) )$$

**Example:**

$f(11; \epsilon)$  leads to  $\vee(\vee(g(00), g(01)), \vee(g(10), g(11)))$



Only the addresses of the leaves are available.

All internal nodes have the same (disjunctive) label.



# Implicit recursion-theoretic approach

**Input-sorted composition SC:**  $f(\bar{x}; \bar{y}) = g(\bar{r}(\bar{x}; ); \bar{s}(\bar{x}; \bar{y}))$ .

If  $F(x; y)$  is in the class then

- ▶  $f(x, y; ) = F(x; y)$  is in the class;
- ▶  $f(; x, y) = F(x; y)$  is **NOT** in the class.



# Implicit recursion-theoretic approach: **FP**time

**SR:**

$$f(\epsilon, \bar{x}; \bar{y}) = g(\epsilon, \bar{x}; \bar{y})$$

$$f(z_0, \bar{x}; \bar{y}) = h(z_0, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

$$f(z_1, \bar{x}; \bar{y}) = h(z_1, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$



► Concatenation:  $\oplus(\epsilon; x) = x$

$$\oplus(y_0; x) = S_0(; \oplus(y; x))$$

$$\oplus(y_1; x) = S_1(; \oplus(y; x))$$

$\oplus(y, x; )$  is in the class.

$\oplus(; y, x)$  is **NOT** in the class.



# Implicit recursion-theoretic approach: **FP**time

**SR:**  $f(\epsilon, \bar{x}; \bar{y}) = g(\epsilon, \bar{x}; \bar{y})$



$$f(z_0, \bar{x}; \bar{y}) = h(z_0, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

$$f(z_1, \bar{x}; \bar{y}) = h(z_1, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

► Concatenation:  $\oplus(\epsilon; x) = x$

$$\oplus(y_0; x) = S_0(; \oplus(y; x))$$

$$\oplus(y_1; x) = S_1(; \oplus(y; x))$$

$\oplus(y, x; )$  is in the class.


$\oplus(; y, x)$  is **NOT** in the class.

► exp such that  $|\exp(z)| = 2^{|z|}$

$$\exp(\epsilon) = 1$$

$$\exp(z_i) = \oplus(\exp(z), \exp(z))$$

# Implicit recursion-theoretic approach: **FP**time

**SR:**  $f(\epsilon, \bar{x}; \bar{y}) = g(\epsilon, \bar{x}; \bar{y})$  

$$f(z0, \bar{x}; \bar{y}) = h(z0, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

$$f(z1, \bar{x}; \bar{y}) = h(z1, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

- ▶ Concatenation:  $\oplus(\epsilon; x) = x$   
 $\oplus(y0; x) = S_0(; \oplus(y; x))$   
 $\oplus(y1; x) = S_1(; \oplus(y; x))$

$\oplus(y, x; )$  is in the class.

$\oplus(; y, x)$  is **NOT** in the class.

- ▶ exp such that  $|\text{exp}(z)| = 2^{|z|}$

$$\text{exp}(\epsilon; ) = 1$$

$$\text{exp}(zi; ) = \oplus(; \text{exp}(z), \text{exp}(z)) \quad \text{PROBLEM!}$$

# Implicit recursion-theoretic approach: **FP**time

**SR (Input-sorted recursion over  $\mathbb{W}$ ):**

$$f(\epsilon, \bar{x}; \bar{y}) = g(\epsilon, \bar{x}; \bar{y})$$

$$f(z_0, \bar{x}; \bar{y}) = h(z_0, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

$$f(z_1, \bar{x}; \bar{y}) = h(z_1, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

**Example:**  $f(11; )$  leads to  $h(11; h(1; g(\epsilon; )))$

$h$   
|  
 $h$   
|  
 $g$

# Implicit recursion-theoretic approach: **FP**time

**SR (Input-sorted recursion over  $\mathbb{W}$ ):**

$$f(\epsilon, \bar{x}; \bar{y}) = g(\epsilon, \bar{x}; \bar{y})$$

$$f(z_0, \bar{x}; \bar{y}) = h(z_0, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

$$f(z_1, \bar{x}; \bar{y}) = h(z_1, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}))$$

**Example:**  $f(11; )$  leads to  $h(11; h(1; g(\epsilon; )))$

$h$   
|  
 $h$   
|  
 $g$

**SR** reproduces the **sequential structure** of deterministic computations.



# Implicit recursion-theoretic approach: **FPspace**

**STR:**

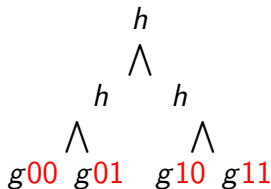
$$f(\epsilon, \bar{x}; \bar{y}, \rho) = g(\epsilon, \bar{x}; \bar{y}, \rho)$$

$$f(z0, \bar{x}; \bar{y}, \rho) = h(z0, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}, \rho0), f(z, \bar{x}; \bar{y}, \rho1))$$

$$f(z1, \bar{x}; \bar{y}, \rho) = h(z1, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}, \rho0), f(z, \bar{x}; \bar{y}, \rho1))$$

**Example:**  $f(11; \epsilon)$  leads to

$h(11; h(1; g(\epsilon; 00), g(\epsilon; 01)), h(1; g(\epsilon; 10), g(\epsilon; 11)))$ .



# Implicit recursion-theoretic approach: **FPspace**

**STR:**

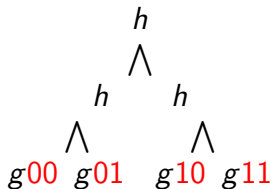
$$f(\epsilon, \bar{x}; \bar{y}, \rho) = g(\epsilon, \bar{x}; \bar{y}, \rho)$$


$$f(z0, \bar{x}; \bar{y}, \rho) = h(z0, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}, \rho0), f(z, \bar{x}; \bar{y}, \rho1))$$

$$f(z1, \bar{x}; \bar{y}, \rho) = h(z1, \bar{x}; \bar{y}, f(z, \bar{x}; \bar{y}, \rho0), f(z, \bar{x}; \bar{y}, \rho1))$$

**Example:**  $f(11; \epsilon)$  leads to

$h(11; h(1; g(\epsilon; 00), g(\epsilon; 01)), h(1; g(\epsilon; 10), g(\epsilon; 11)))$ .



The mentioned input is the **pointer**, and it gives the **address from the root of the tree to the leaves**. 

**STR** trivially extends **SR**.